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Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

**Net Portfolio Value:** **\$439,023.81**

**Your Financial Advisor:**  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK NY 11021-5306  
alexander.fischman@ml.com  
1-800-876-8770

## ■ CMA® ACCOUNT


This account is enrolled in the Merrill Lynch Investment Advisory Program

January 01, 2019 - January 31, 2019

	<i>This Statement</i>	<i>Year to Date</i>
<b>Opening Value (01/01)</b>	<b>\$489,060.68</b>	
Total Credits	75,237.15	75,089.44
Total Debits	(150,407.55)	(150,407.55)
Securities You Transferred In/Out	-	-
Market Gains/(Losses)	25,133.53	25,133.53
<b>Closing Value (01/31)</b>	<b>\$439,023.81</b>	

<b>ASSETS</b>	<i>January 31</i>	<i>December 31</i>
Cash/Money Accounts	12,939.11	156,051.74
Fixed Income	-	-
Equities	96,221.01	25,523.88
Mutual Funds	329,863.69	307,485.06
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	<b>439,023.81</b>	<b>489,060.68</b>
<b>TOTAL ASSETS</b>	<b>\$439,023.81</b>	<b>\$489,060.68</b>

<b>LIABILITIES</b>		
Debit Balance	-	-
Short Market Value	-	-
<b>TOTAL LIABILITIES</b>	-	-
<b>NET PORTFOLIO VALUE</b>	<b>\$439,023.81</b>	<b>\$489,060.68</b>

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## CMA® ACCOUNT

January 01, 2019 - January 31, 2019

## CASH FLOW

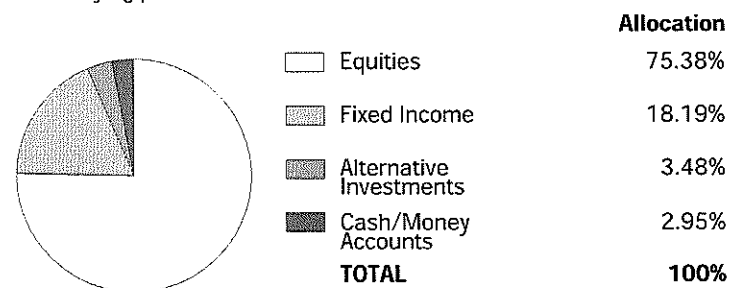
	<i>This Statement</i>	<i>Year to Date</i>
<b>Opening Cash/Money Accounts</b>	<b>\$156,051.74</b>	
<b>CREDITS</b>		
Funds Received	-	-
Electronic Transfers	74,950.00	74,950.00
Other Credits	-	-
<i>Subtotal</i>	<b>74,950.00</b>	<b>74,950.00</b>
<b>DEBITS</b>		
Electronic Transfers	(150,000.00)	(150,000.00)
Margin Interest Charged	-	-
Other Debits	-	-
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Advisory and other fees	(407.55)	(407.55)
<i>Subtotal</i>	<b>(150,407.55)</b>	<b>(150,407.55)</b>
<b>Net Cash Flow</b>	<b>(\$75,457.55)</b>	<b>(\$75,457.55)</b>

## OTHER TRANSACTIONS

Dividends/Interest Income	287.15	139.44
Security Purchases/Debits	(67,942.23)	(67,942.23)
Security Sales/Credits	-	-
<b>Closing Cash/Money Accounts</b>	<b>\$12,939.11</b>	

## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



## DOCUMENT PREFERENCES THIS PERIOD

	<i>Mail</i>	<i>Online Delivery</i>
Statements	X	
Performance Reports	X	
Trade Confirms	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	

NINA FISCHMAN

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## ACCOUNT INVESTMENT OBJECTIVE

January 01, 2019 - January 31, 2019

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## INVESTMENT ADVISORY PROGRAM

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

## YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	79	1,010	.00	0.64	0
Bank of America CA, N.A.	1	0	.00	0.00	0
<b>TOTAL ML Bank Deposit Program</b>	<b>80</b>			<b>0.64</b>	<b>0</b>

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	155,971	79,668	2.07	138.80	12,938
<b>TOTAL Preferred Deposit</b>	<b>155,971</b>			<b>138.80</b>	<b>12,938</b>

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## YOUR CMA ASSETS

January 01, 2019 - January 31, 2019

CASH/MONEY ACCOUNTS		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
Description							
CASH		1.11	1.11		1.11		
✚PREFERRED DEPOSIT		12,938.00	12,938.00	1.0000	12,938.00	268	2.07
✚FDIC INSURED NOT SIPC COVERED							
<b>TOTAL</b>			12,939.11		12,939.11	268	2.07

EQUITIES		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Current Income	Yield%
Description											
AMAZON COM INC COM		AMZN	01/16/19	11	1,702.0445	18,722.49	1,718.7300	18,906.03	183.54		
CHIPOTLE MEXICAN GRILL		CMG	01/07/19	41	482.2429	19,771.96	529.6100	21,714.01	1,942.05		
GOLDMAN SACHS GROUP INC		GS	01/16/19	103	189.2339	19,491.10	198.0100	20,395.03	903.93	330	1.61
HERBALIFE NUTRITION LTD		HLF	01/16/19	171	58.2261	9,956.68	59.7000	10,208.70	252.02		
VERIZON COMMUNICATNS COM		VZ	10/19/18	454	54.9859	24,963.64	55.0600	24,997.24	33.60	1,095	4.37
<b>TOTAL</b>						92,905.87		96,221.01	3,315.14	1,425	1.48

MUTUAL FUNDS/CLOSED END FUNDS/UIT		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
Description										
GRANITESHARES GOLD SHARES SYMBOL: BAR Initial Purchase: 08/09/18 Alternative Investments 100%		116	14,036.00	131.6194	15,267.85	1,231.85	14,036	1,231		
HEALTH CARE SELECT SPDR SYMBOL: XLV Initial Purchase: 09/12/18 Equity 100%		194	18,007.00	90.6700	17,589.98	(417.02)	18,007	(417)	265	1.50
.8592 Fractional Share			73.63	90.6700	77.90	4.27			2	1.50
ISHARES 1-3 YEAR TREASURY BOND ETF SYMBOL: SHY Initial Purchase: 08/09/18		485	40,335.74	83.8300	40,657.55	321.81	40,169	488	698	1.71

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## YOUR CMA ASSETS

January 01, 2019 - January 31, 2019

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
Fixed Income 100%									
.3645 Fractional Share		30.43	83.8300	30.56	.13			1	1.71
ISHARES 0-5 YEAR HIGH CORPORATE BOND ETF SYMBOL: SHYG Initial Purchase: 08/09/18 Fixed Income 100%	503	23,548.24	46.3600	23,319.08	(229.16)	23,231	87	1,322	5.66
.6945 Fractional Share		30.50	46.3600	32.20	1.70			2	5.66
ISHARES INTEREST RATE HEDGED HIGH YIELD BOND ETF SYMBOL: HYGH Initial Purchase: 08/09/18 Fixed Income 100%	178	16,325.70	88.8517	15,815.60	(510.10)	16,325	(510)	973	6.15
ISHARES S&P 500 INDEX FUND CL INSTL SYMBOL: BSPIX Initial Purchase: 08/31/17 Equity 100%	292	86,493.92	321.1900	93,787.48	7,293.56	84,567	9,220	1,698	1.81
.5610 Fractional Share		175.95	321.1900	180.19	4.24			4	1.81
SECTOR SPDR INDUSTRIAL SYMBOL: XLI Initial Purchase: 08/09/18 Equity 100%	561	42,593.02	71.7700	40,262.97	(2,330.05)	42,400	(2,137)	777	1.92
.4122 Fractional Share		26.45	71.7700	29.58	3.13			1	1.92
SPDR S P BIOTECH SYMBOL: XBI Initial Purchase: 11/08/18 Equity 100%	350	29,833.69	83.6000	29,260.00	(573.69)	29,833	(573)	71	.24
SPDR US FINANCIAL SECTOR ETF	1,376	39,020.09	25.9400	35,693.44	(3,326.65)	38,830	(3,136)	682	1.90

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## YOUR CMA ASSETS

January 01, 2019 - January 31, 2019

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
SYMBOL: XLF Initial Purchase: 08/09/18 Equity 100% .3631 Fractional Share		8.61	25.9400	9.42	.81			1	1.90
VANGUARD MATERIALS ETF 149 SYMBOL: VAW Initial Purchase: 08/09/18 Equity 100% .8480 Fractional Share		20,129.90	119.1200	17,748.88	(2,381.02)	20,129	(2,381)	335	1.88
		93.97	119.1200	101.01	7.04			2	1.88
Subtotal (Fixed Income)				79,854.99					
Subtotal (Equities)				234,740.85					
Subtotal (Alternative Investments)				15,267.85					
<b>TOTAL</b>		330,762.84		329,863.69	(899.15)		1,872	6,834	2.07
<b>LONG PORTFOLIO</b>			Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income		Current Yield%
<b>TOTAL</b>			436,607.82	439,023.81	2,415.99		8,526		1.94

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

**Sales Charge Discounts or Waivers:** Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

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## YOUR CMA TRANSACTIONS

January 01, 2019 - January 31, 2019

### DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Description	Transaction Type	Quantity	Income	Income Year To Date
<b>Taxable Interest</b>					
01/18	BANK DEPOSIT INT 01/18	Bank Interest		.64	
01/31	BANK DEPOSIT INTEREST	Bank Interest		.80	
	PREFERRED DEPOSIT	Income Total		138.00	
	<b>Subtotal (Taxable Interest)</b>			<b>139.44</b>	<b>139.44</b>
<b>Taxable Dividends</b>					
01/04	ISHARES INTEREST RATE	Dividend		147.71	
	HEDGED HIGH YIELD BOND ETF HOLDING 178.0000 PAY DATE 01/04/2019 PAYABLE IN 2019 REPORTABLE IN 2018				
	<b>Subtotal (Taxable Dividends)</b>			<b>147.71</b>	<b>.00</b>
	<b>NET TOTAL</b>			<b>287.15</b>	<b>139.44</b>

### SECURITY TRANSACTIONS

#### TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	(Debit)/ Credit	Accrued Interest Earned/(Paid)
<b>Purchases</b>							
01/09	CHIPOTLE MEXICAN GRILL	Purchase	41.0000	(19,771.96)		(19,771.96)	
	[Redacted] 05 UNIT PRICE 482.2429						
01/18	AMAZON COM INC COM	Purchase	11.0000	(18,722.49)		(18,722.49)	
	[Redacted] 06 UNIT PRICE 1702.0444						
01/18	GOLDMAN SACHS GROUP INC	Purchase	103.0000	(19,491.10)		(19,491.10)	
	[Redacted] 04 UNIT PRICE 189.2340						
01/18	HERBALIFE NUTRITION LTD	Purchase	171.0000	(9,956.68)		(9,956.68)	
	[Redacted] UNIT PRICE 58.2262						
	<b>Subtotal (Purchases)</b>			<b>(67,942.23)</b>		<b>(67,942.23)</b>	
	<b>TOTAL</b>			<b>(67,942.23)</b>		<b>(67,942.23)</b>	
	<b>TOTAL SECURITY PURCHASES/(DEBITS)</b>					<b>(67,942.23)</b>	
	<b>TOTAL SECURITY SALES/CREDITS</b>						

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**YOUR CMA TRANSACTIONS**

January 01, 2019 - January 31, 2019

**CASH/OTHER TRANSACTIONS**

<i>Date</i>	<i>Description</i>	<i>Transaction Type</i>	<i>Quantity</i>	<i>Debit</i>	<i>Credit</i>
<b>Electronic Transfers</b>					
01/15	WIRE TRF OUTP49015034122	Wire Transfer Out		75,000.00	
01/15	WIRE TRF IN D49015031248 ORG=/[Redacted] 155 NINA FISCH	Wire Transfer In			74,950.00
01/16	WIRE TRF OUTP49016027755	Wire Transfer Out		75,000.00	
<b>Subtotal (Electronic Transfers)</b>				<b>150,000.00</b>	<b>74,950.00</b>
<b>NET TOTAL</b>				<b>75,050.00</b>	

**ADVISORY AND OTHER FEES**

<i>Date</i>	<i>Fee Type</i>	<i>Quantity</i>	<i>Description</i>	<i>Debit</i>	<i>Credit</i>
01/03	Advisory Program Fee		INV. ADVISORY FEE JAN	80.00	
01/07	Advisory Program Fee		INV. ADVISORY FEE JAN	148.45	
01/08	Advisory Program Fee		INV. ADVISORY FEE JAN	179.10	
<b>NET TOTAL</b>				<b>407.55</b>	

**YOUR CMA MONEY ACCOUNT TRANSACTIONS**

<i>Date</i>	<i>Description</i>	<i>Withdrawals</i>	<i>Deposits</i>	<i>Date</i>	<i>Description</i>	<i>Withdrawals</i>	<i>Deposits</i>
01/03	ML BANK DEPOSIT PROGRAM	80.00		01/15	PREFERRED DEPOSIT	75,000.00	
01/07	PREFERRED DEPOSIT	20,000.00		01/16	PREFERRED DEPOSIT	10,971.00	
01/08	ML BANK DEPOSIT PROGRAM		19,820.00	01/17	ML BANK DEPOSIT PROGRAM		10,970.00
01/09	ML BANK DEPOSIT PROGRAM	19,772.00		01/17	PREFERRED DEPOSIT	37,200.00	
01/15	ML BANK DEPOSIT PROGRAM	48.00		01/18	ML BANK DEPOSIT PROGRAM	10,970.00	
<b>NET TOTAL</b>				<b>143,251.00</b>			

## Customer Service

Please promptly report any inaccuracy, discrepancy, and/or concern by calling Wealth Management Client Support at (800-MERRILL) within ten (10) business days after delivery of or communication of the account statement. You should re-confirm any oral communications in writing to protect your rights.

## About Us

You may review our financial statement at our offices: Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S or ML), One Bryant Park, New York, New York 10036. If you request a copy of our financial statement, we will mail it to you.

We act as a market maker, dealer, block positioner or arbitrageur in certain securities. These activities may put us or one of our affiliates on the opposite side of transactions we execute for you and potentially result in trading profits for us or our affiliates.

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Investment products offered by Investment Banking Affiliates, including MLPF&S, ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

## Additional Information

We will route your equity and option orders to market centers consistent with our duty of best execution.

Except for certain custodial accounts, we hold bonds and preferred stocks in bulk segregation. If there is a partial call for those securities, securities will be randomly selected from those held in bulk. The probability of your holdings being selected is proportional to the total number of customer holdings of that particular security that we hold.

This statement serves as a confirmation of certain transactions during the period permitted to be reported periodically. Additional information, including the time of execution for any trade, is available upon written request.

In accordance with applicable law, rules and regulations, your free credit balance is not segregated and we can use these funds in our business. Your free credit balance is the amount of funds payable upon your demand. You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

For clients enrolled in a sweep program, the balance in any bank deposit account or shares of any money market mutual fund in which you have a beneficial interest can be withdrawn or liquidated on your order and the proceeds returned to your securities account or remitted to you.

You will have the right to vote full shares and we may solicit voting instructions concerning these full shares in your account. Voting shares in your account will be governed by the then current rules and policies of FINRA and the Securities Exchange Commission or other applicable exchanges or regulatory bodies.

All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearinghouse, if any, where the transactions are executed, and if not executed on any exchange, FINRA.

You may obtain an investor brochure that includes information describing the FINRA Regulation Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or your broker contact the FINRA Regulation Public Disclosure Program Hotline at (800)289-9999 or access the FINRA website

at [www.finra.org](http://www.finra.org)

We receive a fee from ISA® banks of up to 2% per annum of the average daily balances. We receive a fee from our affiliated banks of up to \$100 per annum for each account that sweeps balances to the banks under the RASP<sup>SM</sup> and ML bank deposit programs. We also receive a fee from Bank of America, N.A. based on the average daily Preferred Deposit<sup>®</sup> and Preferred Deposit for Business<sup>®</sup> balances.

## Options Customers

For all customers, including those who own options, please promptly advise us of any material change in your investment objectives or financial condition. Individual options commission charges have been included in your confirmation. You may request a summary of this information.

## Margin Customers

If this statement is for a margin account, it is a combined statement of your margin account and special memorandum account maintained for you pursuant to applicable regulations. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request. You should retain this statement for use with your next statement to calculate interest charges, if any, for the period covered by this statement. The interest charge period will parallel the statement period, except that interest due for the final day of the statement period will be carried over and appear on your next statement.

## Coverage for your Account

The Securities Investor Protection Corporation (SIPC) and our excess-SIPC insurance policy do not cover commodities futures contracts, fixed annuity contracts, hedge funds, private equity funds, commodity pools and other investment contracts (such as limited partnerships) that are not registered with the US Securities Exchange Commission, precious metals, other assets that are not securities, as defined by SIPC, and assets that are not held at MLPF&S, such as cash on deposit at Bank of America, N.A. or Bank of America California, N.A. (Merrill Lynch affiliated banks) or other depository institutions. Those bank deposits are protected by the FDIC up to applicable limits. MLPF&S is not a bank. Unless otherwise disclosed, INVESTMENTS THROUGH MLPF&S ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202)371-8300.

### **Fixed Income Securities**

Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates. These values assume standard market conditions, are not firm bids or offers and may vary from prices achieved in actual transactions, especially for thinly traded securities. These values are generally for transactions of \$1 million or more, which often reflect more favorable pricing than transactions in smaller amounts. You may pay more than these values if you purchase smaller amounts of securities, or receive less if you sell smaller amounts of securities.

### **Prices and Valuations**

While we believe our pricing information to be reliable, we cannot guarantee its accuracy. Pricing information provided for certain thinly traded securities may be stale.

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Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate trusts which are not listed on any exchange), and alternative investments (e.g. commodity pools, private equity funds, private debit funds, and hedge funds) are generally illiquid investments. No formal trading market exists for these securities and their current values will likely be different from the purchase price. Unless otherwise indicated, and except for certain alternative investment funds sponsored by affiliates of MLPF&S, the value shown on this statement for an investment in these securities has been provided by the management, administrator or sponsor of each program or a third-party vendor, in each case without independent verification by MLPF&S. The values shown may not reflect actual market value or be realized upon a sale. If an estimated value is not provided, accurate valuation information is not available.

### **Cost Data/Realized Capital Gains & Losses**

Cost Data and Realized Capital Gains/Losses are provided in this statement for informational purposes only. Please review for accuracy. Merrill Lynch is not responsible for omitted or restated data. Please consult your tax advisor to determine the tax consequences of your securities transactions. Your statement is not an official accounting of gains/losses. Please refer to your records, trade confirmations, and your Consolidated Tax Reporting Statement (Form 1099).

### **Insurance Policies and Annuity Contracts**

Information is based on data from the issuing insurer. We are not responsible for the calculation of policy/contract values. Insurance policies and annuity contracts are generally not held in your MLPF&S account. If we, as custodian or trustee, hold an annuity contract that is a security, SIPC and excess-SIPC coverage apply.

### **Estimated Annual Income and Current Yield**

Estimated Annual Income and Current Yield for certain types of securities could include a return of principal or capital gains in which case the Estimated Annual Income and Current Yield would be overstated. Estimated Annual Income and Current Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Current Yield is based upon Estimated Annual Income and the current price of the security and will fluctuate.

### **Market-Linked Investments (MLI)**

MLIs are debt securities or Certificates of Deposit linked to an underlying reference asset. They are reflected on your statement by their underlying reference asset – equities (e.g., stocks, ETFs, equity indices), alternative investments (e.g., commodities, currencies), or fixed income (e.g., interest rates). This classification method illustrates your asset allocation.

### **Symbols and Abbreviations**

☐	Interest reported to the IRS
■	Gross Proceeds reported to the IRS
*	Dividends reported to the IRS
:	Transactions reported to the IRS
OCC	Options Clearing Corporation
#	Transaction you requested same day payment. Prior day's dividend retained to offset cost of advancing payment on your behalf
N/A	Price, value and/or cost data not available
N/C	Not-Calculated
N/N	Non-negotiable securities
N/O	Securities registered in your name
N/O CUST	Non-negotiable securities registered in the name of the custodian
↑ ↓	Indicates that BofA Merrill Lynch Research has upgraded (↑) or downgraded (↓) its fundamental equity opinion on a security.

Primary Account: [Redacted] 7155

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

If you have questions on your statement,  
call 24-Hour Assistance:  
(800) MERRILL  
(800) 637-7455

Investment Advice and Guidance:  
Call Your Financial Advisor

Your Financial Advisor:  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK NY 11021-5306  
alexander.fischman@ml.com  
1-800-876-8770

Up-to-date account information can be viewed  
at: [www.mymerrill.com](http://www.mymerrill.com), where your statements  
are archived for three or more years.

Questions about MyMerrill? Click the "help" tab  
at the top of the screen once you log in.

## YOUR MERRILL LYNCH REPORT

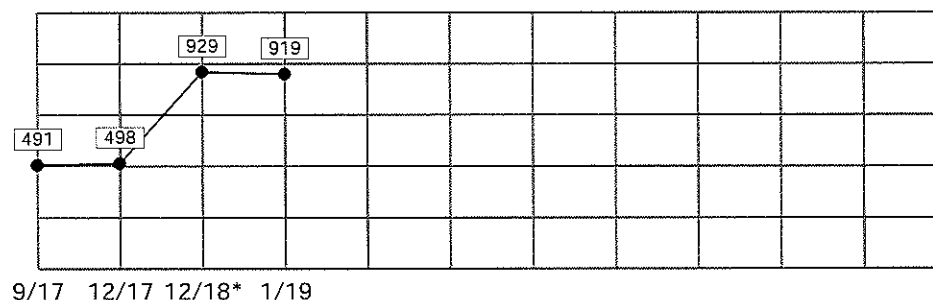
January 01, 2019 - January 31, 2019

### PORTFOLIO SUMMARY

	January 31	December 31	Month Change
<b>Net Portfolio Value</b>	<b>\$919,193.38</b>	<b>\$928,580.54</b>	<b>(\$9,387.16) ▼</b>
Your assets	\$919,193.38	\$928,580.54	(\$9,387.16) ▼
Your liabilities	-	-	
Your Net Cash Flow (Inflows/Outflows)	(\$75,823.82)	(\$834.64)	
Securities You Transferred In/Out	-	-	
<b>Subtotal Net Contributions</b>	<b>(\$75,823.82)</b>	<b>(\$834.64)</b>	
Your Dividends/Interest Income	\$414.12	\$3,378.02	
Your Market Gains/(Losses)	\$66,022.54	(\$75,530.85)	
<b>Subtotal Investment Earnings</b>	<b>\$66,436.66</b>	<b>(\$72,152.83)</b>	

\* Link relationship change.

Total Value (Net Portfolio Value plus Assets Not Held/Valued By MLPF&S, if any) in thousands, 2017-2019



### YOU MAY STILL BE ABLE TO MAKE A 2018 IRA CONTRIBUTION UNTIL

4/15/2019. If you earned income in 2018 and have not yet made an IRA contribution for 2018, you have until April 15, 2019 to complete your contribution. Call your advisor today for details.

Merrill Lynch Wealth Management makes available products and services offered by Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) and other subsidiaries of Bank of America Corporation. MLPF&S is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products:

Are Not FDIC Insured | Are Not Bank Guaranteed | May Lose Value

Primary Account: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## ■ YOUR ACCOUNTS

January 01, 2019 - January 31, 2019

	Account No.	Account Type/Managing Firm	January 31	December 31	Page
<b>■ INVESTMENTS &amp; CASH MANAGEMENT</b>					
NINA FISCHMAN	[Redacted] 6722	CMA/Investment Advisory Program	<b>480,169.57</b>	439,519.86	<b>6</b>
NINA FISCHMAN	[Redacted] 7155	CMA/Investment Advisory Program	<b>439,023.81</b>	489,060.68	<b>12</b>
Subtotal			<b>919,193.38</b>	928,580.54	

## ■ RETIREMENT

*You may still be able to make a 2018 IRA contribution until 4/15/2019. Call your advisor today for details.*

## ■ CREDIT & LENDING

*Do you need a flexible, convenient way to consolidate debt? Call your advisor to learn more.*

## ■ ESTATE PLANNING SERVICES

*A revocable trust may help you control and transfer your wealth. Contact your advisor to learn more.*

## ■ SOLUTIONS FOR BUSINESS

*Tax bill due? Earn a competitive yield with Preferred Deposit for Business®. Talk to your advisor today.*

*All brokerage accounts are held at Merrill Lynch, Pierce, Fenner & Smith Incorporated, Member SIPC. Bank deposits are held at Merrill Lynch affiliated banks or other depository institutions and are covered by FDIC insurance up to applicable limits. They are not protected by SIPC, see the section titled "Coverage for Your Account" on the second to last page of your statement for more information.*

*These summary reports are provided for informational purposes only and contain information from accounts linked for delivery in a single package. The underlying accounts may have different owners and use of "you" or "your" in these reports refer to all owners. The enclosed separate account statements are the official record for each account.*

Primary Account: [Redacted] 7155

## YOUR BALANCE SHEET (for your ML accounts)

January 01, 2019 - January 31, 2019

### ASSETS

	January 31	December 31
Cash/Money Accounts	40,605.36	184,047.17
Fixed Income	-	-
Equities	312,510.26	219,307.10
Mutual Funds	566,077.76	525,226.27
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	<b>919,193.38</b>	<b>928,580.54</b>
<b>TOTAL ASSETS</b>	<b>\$919,193.38</b>	<b>\$928,580.54</b>

### LIABILITIES

Margin Loan/Debit Balance	-	-
Short Market Value	-	-
<i>Subtotal</i>	-	-
<b>NET PORTFOLIO VALUE</b>	<b>\$919,193.38</b>	<b>\$928,580.54</b>

### OTHER LIABILITIES (not included in Net Portfolio Value)

Loan Management Account <sup>(1)</sup>	-	-
Mortgages	-	-
Home Equity Loans	-	-
Business Loans	-	-
<i>Subtotal</i>	-	-
<b>TOTAL LIABILITIES</b>	-	-

<sup>(1)</sup> Secured by assets in a Merrill Lynch account

### CASH FLOW

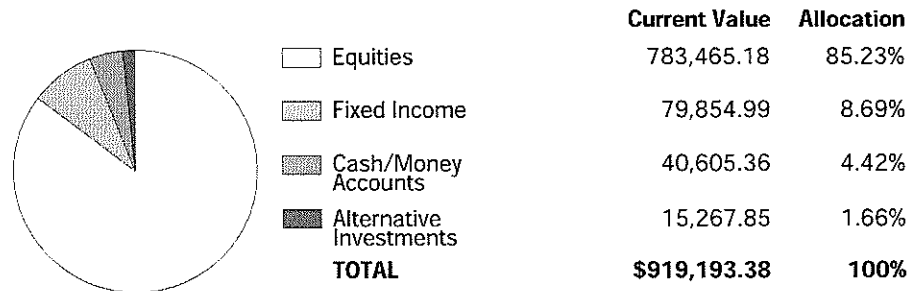
	This Report	Year to Date
<b>Opening Cash/Money Accounts</b>	<b>\$184,047.17</b>	
<b>CREDITS</b>		
Funds Received	-	-
Electronic Transfers	74,950.00	74,950.00
Other Credits	-	-
<i>Subtotal</i>	<b>74,950.00</b>	<b>74,950.00</b>
<b>DEBITS</b>		
Electronic Transfers	(150,000.00)	(150,000.00)
Margin Interest Charged	-	-
Other Debits	-	-
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Advisory and other fees	(773.82)	(773.82)
<i>Subtotal</i>	<b>(150,773.82)</b>	<b>(150,773.82)</b>
<b>Net Cash Flow</b>	<b>(\$75,823.82)</b>	<b>(\$75,823.82)</b>
Dividends/Interest Income	414.12	266.41
Dividend Reinvestments	(89.88)	(89.88)
Security Purchases/Debits	(67,942.23)	(67,942.23)
Security Sales/Credits	-	-
<b>Closing Cash/Money Accounts</b>	<b>\$40,605.36</b>	

# YOUR PORTFOLIO REVIEW

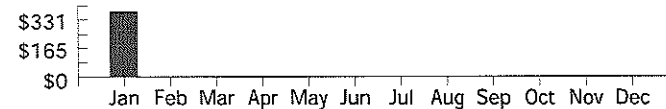
January 01, 2019 - January 31, 2019

## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



## CURRENT INCOME



	This Report	Year To Date
Tax-Exempt Interest	-	-
Taxable Interest	176.53	176.53
Tax-Exempt Dividends	-	-
Taxable Dividends	237.59	89.88
<b>Total</b>	<b>\$414.12</b>	<b>\$266.41</b>

**Your Estimated Annual Income** **\$14,934.69**

## TOP FIVE PORTFOLIO HOLDINGS

Based on Estimated Market Value

	Current Value	% of Portfolio
VANGUARD 500 INDEX FUND	139,706.29	15.19%
INVESCO QQQ TR SER 1	96,507.78	10.49%
ISHARES S&P 500	93,967.67	10.22%
AMAZON COM INC COM	79,061.58	8.60%
APPLE INC	76,537.75	8.32%

## FINANCIAL MARKET INDICATORS

	This Report	Last Report	Previous Year End
S&P 500	2704.10	2506.85	2506.85
Three-Month Treasury Bills	2.38%	2.35%	2.35%
Long-Term Treasury Bonds	3.00%	3.01%	3.01%
One-Month LIBOR	2.50%	2.51%	2.51%
NASDAQ	7281.74	6635.28	6635.28

Primary Account: [Redacted] 7155

## ■ YOUR MONTHLY INCOME & GAIN/(LOSS) REVIEW

January 01, 2019 - January 31, 2019

### INCOME SUMMARY

Account No.	This Report					Year to Date				
	Tax-Exempt Interest	Taxable Interest	Tax-Exempt Dividends	Taxable Dividends	Total This Report Income	Tax-Exempt Interest	Taxable Interest	Tax-Exempt Dividends	Taxable Dividends	Total YTD Income
<b>Non-Retirement</b>										
[Redacted] 6722	-	37	-	90	<b>127</b>	-	37	-	90	<b>127</b>
[Redacted] 7155	-	139	-	148	<b>287</b>	-	139	-	-	<b>139</b>
<b>TOTAL</b>	-	\$177	-	\$238	<b>\$414</b>	-	\$177	-	\$90	<b>\$266</b>

### GAIN/(LOSS) SUMMARY

Account No.	Realized Gains/(Losses)				Long Term Capital Gain Distributions	Unrealized Gains/(Losses)	
	This Report Short Term	YTD Short Term	This Report Long Term	YTD Long Term		Short Term	Long Term
Non-Retirement							
[Redacted] 6722	-	-	-	-	-	(8,844.74)	17,498.07
[Redacted] 7155	-	-	-	-	-	(4,877.57)	7,293.56
TOTAL	-	-	-	-	-	(\$13,722.31)	\$24,791.63

## 2019 TAX REPORTING STATEMENT

ORIGINAL 1099 02/18/2020

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

<u>Table of Contents</u>	<u>Page</u>
Summary Pages.....	3
Sale Proceeds and Gain/Loss Details.....	7
Dividend Income Activity.....	11
Interest Income Activity.....	17
Other Distributions and Charges.....	18



We would like you to note the following item(s) which may affect your tax return. Please discuss these matters with your Tax Advisor prior to completing your tax return.

### IMPORTANT ITEMS FOR YOUR ATTENTION

As a holder of a Widely Held Fixed Investment Trust, Real Estate Mortgage Investment Conduit and/or certain Collateralized Debt Obligations, you will be receiving a Supplemental Tax Information Statement in addition to this statement. The Supplemental Statement must be used in conjunction with this Tax Statement to complete your Tax Return. The Supplemental Statement will be produced and mailed to you on or before the March 19th IRS deadline.

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

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ORIGINAL 1099 02/18/2020

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

Your Financial Advisor 4709  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK, NY 11021-5306

Payer:  
Merrill Lynch, Pierce, Fenner & Smith Inc  
1500 American Boulevard, NJ2-150-01-13  
Pennington, NJ 08534-4129  
Federal Identification Number 13-5674085

## 2019 TAX REPORTING STATEMENT

Form 1099-DIV		2019 Dividends and Distributions	(OMB NO. 1545-0110)
			Amount
1a	Total Ordinary Dividends	Sched. B, Line 5 &/or Form 1040, Line 3b	19,769.75
1b	Qualified Dividends	Form 1040, Line 3a	14,023.40
2a	Total Capital Gain Distributions	Sched. D, Line 13 or Form 1040, Line 13	4,304.73
2b	Unrecap. Sec. 1250 Gain	Sched. D, Line 19	0.00
2c	Section 1202 Gain	See Sched. D Instructions	0.00
2d	Collectibles (28%) Gain	Sched. D, Line 18	0.00
3	Nondividend Distributions	See Publication 550	3.82
4	<b>Federal Income Tax Withheld</b>	<b>Form 1040, Line 17</b>	<b>0.00</b>
5	Section 199A Dividends	See Instructions	0.00
6	Investment Expenses	See Instructions	0.00
7	Foreign Tax Paid	Form 1116 &/or Sched. 3, Line 1	0.00
8	Foreign Country or U.S. Possession	See Instructions	
9	Liquidation Distributions Cash	See Instructions	0.00
10	Liquidation Distributions Non-Cash	See Instructions	0.00
11	Exempt-Interest Dividends	Form 1040, Line 2a	0.00
12	Spec. Private Activity Bond Int. Div.	See Instructions for Form 6251	0.00
13	State	See Instructions	NY
14	State Identification No.	See Instructions	135674085/000
15	State Tax Withheld	See Instructions	0.00

Form 1099-INT		2019 Interest Income	(OMB NO. 1545-0112)
			Amount
1	Interest Income	Sched. B, Line 1 &/or Form 1040, Line 2b	2,791.16
2	Early Withdrawal Penalty	Sched. 1, Line 17	0.00
3	Int. on U.S. Savings Bonds & Treas.	See Publication 550	0.00
4	<b>Federal Income Tax Withheld</b>	<b>Form 1040, Line 17</b>	<b>0.00</b>
5	Investment Expenses	See Instructions	0.00
6	Foreign Tax Paid	Form 1116 &/or Sched. 3, Line 1	0.00
7	Foreign Country or U.S. Possession	See Instructions	
8	Tax-Exempt Interest	Form 1040, Line 2a	0.00
9	Specified Private Activity Bond Interest	See Instructions for Form 6251	0.00
10	Market Discount	See Instructions	0.00
11	Bond Premium	See Instructions	0.00
12	Bond Premium on Treas. Obligations	See Instructions	0.00
13	Bond Premium on Tax-Exempt Bond	See Instructions	0.00
14	Tax-Exempt/Tax Credit Bond CUSIP No.	See Instructions	
15	State	See Instructions	NY
16	State Identification No.	See Instructions	135674085/000
17	State Tax Withheld	See Instructions	0.00

Summary of 2019 Original Issue Discount Items		
		Amount
Original Issue Discount	See Publication 1212	0.00
Market Discount	See Publication 1212	0.00
Acquisition Premium	See Publication 1212	0.00
OID on U.S. Treasury Obligations	See Publication 1212	0.00
Tax-Exempt Original Issue Discount	See Publication 1212	0.00
Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2019 Original Issue Discount detail section of this statement.		

Summary of 2019 Sales Proceeds		
		Amount
Sales Proceeds	See Form 8949	698,273.89
Federal Income Tax Withheld	Form 1040, Line 17	0.00
Gross Proceeds from each of your 2019 securities trades are individually reported to the IRS. Refer to the 2019 Proceeds from Broker and Barter Exchange Transactions detail section of this statement.		

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 800.637.6326.

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S or Merrill) is a registered broker-dealer and wholly owned subsidiary of Bank of America Corporation Member, Securities Investor Protection Corporation (SIPC)

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

Form 1099-MISC	2019 Miscellaneous Income	(OMB NO. 1545-0115)	Amount
2	Royalties	Sched. E, Line 4	0.00
3	Other Income	Sched. 1, Line 8	0.00
4	<b>Federal Income Tax Withheld</b>	<b>Form 1040, Line 17</b>	<b>0.00</b>
8	Sub. Payments in Lieu of Div. or Int.	Sched. 1, Line 8	0.00
16	State Tax Withheld	See Instructions	0.00
17	State/Payer's State No.	See Instructions	NY/135674085/000

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Distributions and Charges*		Amount
Limited Partnership Income	.....	0.00
Non-Reportable Dividends and Interest	.....	0.00
Non-Reportable Tax-Exempt Interest	.....	0.00
Taxable Muni Accrued Int. Paid	.....	0.00
Non-Tax Muni Accrued Int. Paid	.....	0.00
Other Accrued Interest Paid	.....	0.00
Margin Interest	.....	0.00
Fees	.....	12,738.72
Non-Reportable Distribution Expenses	.....	0.00
Excess Bond Premium	.....	0.00
Additional Bond Premium	.....	0.00

\*Dollar amounts above may reflect as net, please review the detail section to determine impact. This information is not reported to the IRS, consult with your Tax Advisor for more information.

### INSTRUCTIONS FOR RECIPIENT OF FORMS 1099, COPY B - READ CAREFULLY

The amounts listed on Forms 1099-DIV, 1099-INT, 1099-MISC, 1099-OID and 1099-B represent dividends, interest, miscellaneous income, original issue discount and/or gross proceeds payments made or credited to your account during the calendar year.

If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (Form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you directly from the limited partnership, for inclusion on your income tax return.

**Nominees** - If your federal Taxpayer Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file and furnish Form 1099-DIV, 1099-INT, 1099-MISC, 1099-OID or 1099-B, as applicable, with the IRS, for each of the other owners to show their share of the income. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2019 General Instructions for Certain Information Returns.

**Foreign Tax Paid** - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See the Instructions for Form 1040. **Foreign country** or **U.S. possession** will always be displayed as **various**.

**Backup Withholding** - Persons not furnishing their taxpayer identification number (TIN) to the payer become subject to backup withholding on certain payments at a rate of 24%, including dividends, interest, and gross proceeds from dispositions of securities. See Form W-9 for information on backup withholding. **Include this amount on your income tax return as tax withheld.**

**1099-MISC - MISCELLANEOUS INCOME** (OMB No. 1545-0115)  
Each royalty trust is required to provide their shareholders with detailed information regarding items of trust income and deductions. Because the information reported to shareholders by the royalty trust includes the information we report to you on Form 1099-MISC, you should be careful not to report this income on your tax return twice.

**Line 1** - Shows the income received from rental property. **Report on Schedule E (Form 1040).** See Publication 527.

**Line 2** - Shows the royalty trust income paid to your account during the tax year. **Report on Schedule E (Form 1040).**

**Line 3** - Generally, report this amount on the "Other Income" line of Form 1040 and identify the payment. The amount shown may be payments you received as the beneficiary of a deceased employee, prizes, awards, taxable damages, or other taxable income. See Publication 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 8** - Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other Income" line of Form 1040.

**Line 16-18** Show state or local income tax withheld from the payments.

#### 1099-DIV - DIVIDENDS AND DISTRIBUTIONS

(OMB No. 1545-0110)

**Line 1a** - Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required.

**Line 1b** - Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a** - Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See **How To Report** in the Instructions for Schedule D (Form 1040). But, if **no amount** is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 rather than Schedule D. See the Instructions for Form 1040.

**Line 2b** - Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the **Unrecaptured Section 1250 Gain Worksheet-Line 19** in the Instructions for Schedule D (Form 1040).

**Line 2c** - Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Instructions for Schedule D (Form 1040).

**Line 2d** - Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the **28% Rate Gain Worksheet-Line 18** in the Instructions for Schedule D (Form 1040).

**Line 3** - Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Publication 550.

**Line 5** - Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

**Line 6** - Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included on line 1a.

**Lines 9 and 10** - Shows cash and noncash liquidation distributions.

**Line 11** - Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding.

**Line 12** - Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included on line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting boxes.

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

### 099-INT - INTEREST INCOME

(OMB No. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium in a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Line 1 - Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown on line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2019 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912.

Line 3 - Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Publication 550. This interest is exempt from state and local income taxes. This interest is not included on line 1.

Line 5 - Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included on line 1.

Line 8 - Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the instructions for Form 1040. This amount may be subject to backup withholding. See line 4.

Line 9 - Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on line 8. See the instructions for Form 6251.

Line 10 - For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 099-01D. Report the accrued market discount on your income tax return as directed in the instructions for Form 1040. Market discount in a tax-exempt security is includible in taxable income as interest income.

Line 11 - For a taxable covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B). If the amount on this line is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12 - For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B). If the amount on this line is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13 - For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If the amount on this line is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14 - Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year.

Lines 15-17 - State tax withheld reporting lines

### 099-01D - ORIGINAL ISSUE DISCOUNT

(OMB No. 1545-0117)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

Line 1 - Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount on line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Publication 1212 for details on how to figure the correct OID.

Line 5 - For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. Report the accrued market discount on your income tax return as directed in the instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 6 - For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B). If there is an amount on both lines 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount on line 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year.

Line 8 - Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Publication 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included on line 1.

Line 11 - For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. In general, report the amount of tax-exempt OID on Form 1040.

### 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

(OMB-1545-0715)

Applicable check box on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for Schedule D and/or Form 8949.

Code A - Indicates a short-term transaction for which the cost or other basis is being reported to the IRS.

Code B - Indicates a short-term transaction for which the cost or other basis is not being reported to the IRS.

Code D - Indicates a long-term transaction for which the cost or other basis is being reported to the IRS.

Code E - Indicates a long-term transaction for which the cost or other basis is not being reported to the IRS.

Code X - Indicates a transaction for which the holding period is unknown.

Line 1a - Shows a brief description of the item or service for which amounts are being reported.

Line 1b - This line may be blank if line 5 is noted or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c - Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale.

Line 1d - Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This line does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

Line 1e - Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If line 5 is noted, line 1e may be blank. See the instructions for Form 8949, Instructions for Schedule D, or Publication 550 for details.

Line 1f - Shows the amount of accrued market discount. For details on market discount, see the instructions for Schedule D (Form 1040), Instructions for Form 8949, and Publication 550. If line 5 is noted, line 1f may be blank.

Line 1g - Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the instructions for Schedule D (Form 1040), Instructions for Form 8949, and Publication 550. If line 5 is noted, line 1g may be blank.

Line 3 - If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 5 - If noted, the securities sold were noncovered securities and lines 1b, 1e, 1f, 1g and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6 - If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount on line 1d was adjusted for option premium.

Line 7 - If noted, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported on line 1d. See the Form 8949 and the instructions for Schedule D. The broker should advise you of any losses on a separate statement.

Line 12 - If applicable, this is noted on Form(s) 1099-B.



Account No.  
[Redacted] 7155

Taxpayer No.  
XXX-XX- [Redacted]

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NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

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NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2019 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, Option Transactions and less complex debt securities acquired on or after January 1, 2014, and more complex debt securities and options issued as part of a debt instrument acquired on or after January 1, 2016. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### Form 1099-B 2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
<b>SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds</b>							
<b>COVERED TRANSACTIONS - Cost basis reported to IRS - Form 8949, Part I, (A)</b>							
ALPHABET INC SHS CL A	CUSIP Number 02079K305						
15.0000 Sale	08/09/18	03/01/19	17,097.95	18,937.35	0.00	0.00	(1,839.40)
BOEING COMPANY	CUSIP Number 097023105						
45.0000 Sale	10/03/18	05/02/19	16,843.23	17,665.52	0.00	0.00	(822.29)
50.0000 Sale	10/03/18	09/20/19	19,088.23	19,628.35	0.00	0.00	(540.12)
1.0000 Sale	06/10/19	09/20/19	381.76	385.72	0.00	0.00	(3.96)
.2191 Sale	06/10/19	09/20/19	82.71	77.63	0.00	0.00	5.08
.2900 Sale	09/05/19	09/20/19	109.47	105.26	0.00	0.00	4.21
<b>Security Subtotal</b>			<b>36,505.40</b>	<b>37,862.48</b>	<b>0.00</b>	<b>0.00</b>	<b>(1,357.08)</b>
CHIPOTLE MEXICAN GRILL	CUSIP Number 169656105						
15.0000 Sale	01/07/19	04/04/19	10,461.76	7,233.64	0.00	0.00	3,228.12
26.0000 Sale	01/07/19	06/20/19	19,144.18	12,538.32	0.00	0.00	6,605.86
10.0000 Sale	05/10/19	06/20/19	7,363.15	6,995.99	0.00	0.00	367.16
<b>Security Subtotal</b>			<b>36,969.09</b>	<b>26,767.95</b>	<b>0.00</b>	<b>0.00</b>	<b>10,201.14</b>
DUNKIN BRANDS GROUP INC	CUSIP Number 265504100						
250.0000 Sale	08/28/19	10/07/19	18,913.65	20,788.05	0.00	0.00	(1,874.40)
1.0000 Sale	09/11/19	10/07/19	75.65	80.35	0.00	0.00	(4.70)
.1668 Sale	09/11/19	10/17/19	12.68	13.40	0.00	0.00	(0.72)

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
<i>JUNKIN BRANDS GROUP INC</i>							
<i>Security Subtotal</i>			<b>19,001.98</b>	<b>20,881.80</b>	<b>0.00</b>	<b>0.00</b>	<b>(1,879.82)</b>
<i>DISNEY (WALT) CO COM STK</i>							
120.0000 Sale	05/08/19	08/28/19	16,397.74	16,182.00	0.00	0.00	215.74
<i>FEDEX CORP DELAWARE COM</i>							
180.0000 Sale	06/13/19	06/26/19	28,312.46	29,784.28	0.00	0.00	(1,471.82)
<i>VANGUARD MATERIALS ETF</i>							
1.0000 Sale	03/27/19	08/19/19	123.53	112.36	0.00	0.00	11.17
1.0000 Sale	06/27/19	08/19/19	123.53	123.37	0.00	0.00	0.16
.3912 Sale	06/27/19	08/19/19	48.09	49.42	0.00	0.00	(1.33)
<i>Security Subtotal</i>			<b>295.15</b>	<b>285.15</b>	<b>0.00</b>	<b>0.00</b>	<b>10.00</b>
<i>HERBALIFE NUTRITION LTD</i>							
171.0000 Sale	01/16/19	02/20/19	9,500.64	9,956.68	0.00	0.00	(456.04)
<i>HOME DEPOT INC</i>							
55.0000 Sale	02/27/19	11/20/19	12,337.08	10,144.77	0.00	0.00	2,192.31
.1736 Sale	09/18/19	11/20/19	38.52	40.12	0.00	0.00	(1.60)
40.0000 Sale	05/10/19	11/20/19	8,972.43	7,678.61	0.00	0.00	1,293.82
.6109 Sale	06/21/19	11/20/19	137.03	129.19	0.00	0.00	7.84
.3891 Sale	09/18/19	11/20/19	87.28	89.91	0.00	0.00	(2.63)
<i>Security Subtotal</i>			<b>21,572.34</b>	<b>18,082.60</b>	<b>0.00</b>	<b>0.00</b>	<b>3,489.74</b>
<i>VETFLIX COM INC</i>							
29.0000 Sale	10/16/18	04/04/19	10,611.72	10,035.33	0.00	0.00	576.39
13.0000 Sale	10/17/18	04/04/19	4,756.98	4,839.71	0.00	0.00	(82.73)
<i>Security Subtotal</i>			<b>15,368.70</b>	<b>14,875.04</b>	<b>0.00</b>	<b>0.00</b>	<b>493.66</b>
<i>PLANET FITNESS INC CL A</i>							
333.0000 Sale	07/02/19	11/01/19	21,047.86	25,150.92	0.00	0.00	(4,103.06)
<i>QUALCOMM INC</i>							
377.0000 Sale	02/22/19	04/16/19	24,843.78	19,947.03	0.00	0.00	4,896.75
4.0000 Sale	03/29/19	04/16/19	263.60	228.03	0.00	0.00	35.57
.1001 Sale	03/29/19	04/17/19	7.88	5.71	0.00	0.00	2.17
<i>Security Subtotal</i>			<b>25,115.26</b>	<b>20,180.77</b>	<b>0.00</b>	<b>0.00</b>	<b>4,934.49</b>
<i>ROKU INC CL A</i>							
150.0000 Sale	05/08/19	06/24/19	14,994.41	9,725.13	0.00	0.00	5,269.28
33.0000 Sale	09/09/19	09/23/19	3,508.16	5,297.70	0.00	1,789.54 (w)	0.00
158.0000 Sale	05/08/19	09/27/19	15,932.80	10,243.80	0.00	0.00	5,689.00

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
<b>ROKU INC CL A CUSIP Number 77543R102</b>							
33.0000 Sale	09/09/19	09/27/19	3,327.74	6,161.10	0.00	0.00 (N)	(2,833.36)
4.0000 Sale	09/18/19	09/27/19	403.36	529.89	0.00	0.00	(126.53)
<b>Security Subtotal</b>			<b>38,166.47</b>	<b>31,957.62</b>	<b>0.00</b>	<b>1,789.54</b>	<b>7,998.39</b>
<b>HEALTH CARE SELECT SPDR CUSIP Number 81369Y209</b>							
90.0000 Sale	09/12/18	05/02/19	7,993.14	8,353.76	0.00	0.00	(360.62)
104.0000 Sale	09/12/18	08/21/19	9,508.00	9,653.24	0.00	0.00	(145.24)
1.0000 Sale	03/21/19	08/21/19	91.43	86.47	0.00	0.00	4.96
1.0000 Sale	06/27/19	08/21/19	91.42	91.53	0.00	0.00	(0.11)
.0448 Sale	06/27/19	08/22/19	4.05	4.12	0.00	0.00	(0.07)
<b>Security Subtotal</b>			<b>17,688.04</b>	<b>18,189.12</b>	<b>0.00</b>	<b>0.00</b>	<b>(501.08)</b>
<b>SPDR US FINANCIAL SECTOR ETF CUSIP Number 81369Y605</b>							
8.0000 Sale	12/28/18	08/19/19	214.72	189.68	0.00	0.00	25.04
7.0000 Sale	03/21/19	08/19/19	187.88	181.72	0.00	0.00	6.16
557.0000 Sale	05/10/19	08/19/19	14,949.67	15,133.63	0.00	0.00	(183.96)
1.0000 Sale	06/27/19	08/19/19	26.84	25.70	0.00	0.00	1.14
.4995 Sale	06/27/19	08/19/19	13.41	13.52	0.00	0.00	(0.11)
8.5005 Sale	06/27/19	08/19/19	228.15	230.12	0.00	0.00	(1.97)
.4995 Sale	06/27/19	08/19/19	13.32	13.52	0.00	0.00	(0.20)
<b>Security Subtotal</b>			<b>15,633.99</b>	<b>15,787.89</b>	<b>0.00</b>	<b>0.00</b>	<b>(153.90)</b>
<b>SECTOR SPDR INDUSTRIAL CUSIP Number 81369Y704</b>							
230.0000 Sale	08/09/18	05/02/19	17,749.83	17,476.92	0.00	0.00	272.91
<b>SPLUNK INC COMMON SHARES CUSIP Number 848637104</b>							
220.0000 Sale	03/01/19	04/04/19	27,495.46	29,644.10	0.00	0.00	(2,148.64)
<b>UBER TECHNOLOGIES INC CUSIP Number 90353T100</b>							
700.0000 Sale	05/10/19	05/10/19	29,049.40	30,372.00	0.00	0.00	(1,322.60)
<b>VERIZON COMMUNICATIONS COM CUSIP Number 92343V104</b>							
454.0000 Sale	10/19/18	03/19/19	26,252.29	24,963.64	0.00	0.00	1,288.65
5.0000 Sale	02/04/19	03/19/19	289.13	272.82	0.00	0.00	16.31
.0132 Sale	02/04/19	03/20/19	0.75	0.72	0.00	0.00	0.03
<b>Security Subtotal</b>			<b>26,542.17</b>	<b>25,237.18</b>	<b>0.00</b>	<b>0.00</b>	<b>1,304.99</b>
<b>PERSHING SQUARE HOLDINGS USD PAR ORDINARY CUSIP Number G7016V101</b>							
5555.0000 Sale	07/03/19	12/06/19	101,187.23	100,140.54	0.00	0.00	1,046.69



NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
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(W) This transaction has been identified as a "Wash Sale". The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

### 2019 DIVIDENDS, QUALIFIED AND SECTION 199A DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified	Section 199A	Remarks
<b>UNITED STATES</b>							
APPLE INC	459	02/14/19	Dividend	335.69	335.69	0.00	
	511	05/16/19	Dividend	394.09	394.09	0.00	
	273	08/15/19	Dividend	210.92	210.92	0.00	
	274	11/14/19	Dividend	211.71	211.71	0.00	
			<b>Security Subtotal</b>	<b>1,152.41</b>	<b>1,152.41</b>	<b>0.00</b>	
BOEING COMPANY	95	03/01/19	Dividend	196.22	196.22	0.00	
	50	06/07/19	Dividend	104.65	104.65	0.00	
	51	09/06/19	Dividend	105.26	105.26	0.00	
			<b>Security Subtotal</b>	<b>406.13</b>	<b>406.13</b>	<b>0.00</b>	
COSTCO WHOLESALE CRP DEL	70	11/15/19	Dividend	45.50	45.50	0.00	
CATERPILLAR INC DEL	158	11/20/19	Dividend	162.74	162.74	0.00	
CITIGROUP INC COM NEW	160	11/22/19	Dividend	81.60	81.60	0.00	
DUNKIN BRANDS GROUP INC	250	09/12/19	Dividend	93.75	93.75	0.00	
DISNEY (WALT) CO COM STK	244	07/25/19	Dividend	214.72	214.72	0.00	
FEDEX CORP DELAWARE COM	180	07/08/19	Dividend	117.00	117.00	0.00	
GOLDMAN SACHS GROUP INC	103	03/28/19	Dividend	82.40	82.40	0.00	
	143	06/27/19	Dividend	121.91	121.91	0.00	
	144	09/27/19	Dividend	180.03	180.03	0.00	
	144	12/30/19	Dividend	181.11	181.11	0.00	
			<b>Security Subtotal</b>	<b>565.45</b>	<b>565.45</b>	<b>0.00</b>	

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

### 2019 DIVIDENDS, QUALIFIED AND SECTION 199A DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified	Section 199A	Remarks
HCA HEALTHCARE INC	200	09/30/19	Dividend	80.00	80.00	0.00	
	200	12/27/19	Dividend	80.27	80.27	0.00	
			<b>Security Subtotal</b>	<b>160.27</b>	<b>160.27</b>	<b>0.00</b>	
HOME DEPOT INC	55	03/28/19	Dividend	74.80	74.80	0.00	
	95	06/20/19	Dividend	129.20	129.20	0.00	
	95	09/19/19	Dividend	130.03	130.03	0.00	
			<b>Security Subtotal</b>	<b>334.03</b>	<b>334.03</b>	<b>0.00</b>	
JPMORGAN CHASE & CO	113	04/30/19	Dividend	90.58	90.58	0.00	
	214	07/31/19	Dividend	171.21	171.21	0.00	
	215	10/31/19	Dividend	193.94	193.94	0.00	
			<b>Security Subtotal</b>	<b>455.73</b>	<b>455.73</b>	<b>0.00</b>	
MSCI INC CLASS A	75	08/30/19	Dividend	51.00	51.00	0.00	
	75	11/27/19	Dividend	51.15	51.15	0.00	
			<b>Security Subtotal</b>	<b>102.15</b>	<b>102.15</b>	<b>0.00</b>	
MCDONALDS CORP COM	192	06/17/19	Dividend	222.72	222.72	0.00	
	193	09/17/19	Dividend	223.98	223.98	0.00	
	294	12/16/19	Dividend	367.70	367.70	0.00	
			<b>Security Subtotal</b>	<b>814.40</b>	<b>814.40</b>	<b>0.00</b>	
MICROSOFT CORP	200	09/12/19	Dividend	92.00	92.00	0.00	
	200	12/12/19	Dividend	102.34	102.34	0.00	
			<b>Security Subtotal</b>	<b>194.34</b>	<b>194.34</b>	<b>0.00</b>	
NIKE INC CL B	385	07/01/19	Dividend	84.70	84.70	0.00	
	385	09/30/19	Dividend	84.92	84.92	0.00	
			<b>Security Subtotal</b>	<b>169.62</b>	<b>169.62</b>	<b>0.00</b>	
QUALCOMM INC	377	03/28/19	Dividend	233.74	233.74	0.00	
TIJX COS INC NEW	300	12/05/19	Dividend	69.00	69.00	0.00	
TARGET CORP COM	285	09/10/19	Dividend	188.10	188.10	0.00	
	286	12/10/19	Dividend	189.26	189.26	0.00	
			<b>Security Subtotal</b>	<b>377.36</b>	<b>377.36</b>	<b>0.00</b>	
VERIZON COMMUNICATNS COM	454	02/01/19	Dividend	273.54	273.54	0.00	

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

### 2019 DIVIDENDS, QUALIFIED AND SECTION 199A DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified	Section 199A	Remarks
JISA INC CL A SHRS	172	09/03/19	Dividend	43.00	43.00	0.00	
	172	12/03/19	Dividend	51.67	51.67	0.00	
			<b>Security Subtotal</b>	<b>94.67</b>	<b>94.67</b>	<b>0.00</b>	
WORLD WRESTLING ENTERTAINMENT CL A	370	06/25/19	Dividend	44.40	44.40	0.00	
<b>DIVIDENDS FROM UNITED STATES</b>				<b>6,162.55</b>	<b>6,162.55</b>	<b>0.00</b>	
<b>UNITED KINGDOM</b>							
PERSHING SQUARE HOLDINGS	5555	09/20/19	Foreign Dividend	555.50	0.00	0.00	
USD PAR ORDINARY	5555	12/20/19	Foreign Dividend	555.50	0.00	0.00	
			<b>Security Subtotal</b>	<b>1,111.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>DIVIDENDS FROM UNITED KINGDOM</b>				<b>1,111.00</b>	<b>0.00</b>	<b>0.00</b>	
<b>NONCLASSIFIED SECURITIES</b>							
SHARES 1-3 YEAR TREASURY BOND ETF	485	02/07/19	Dividend	73.88	0.00	0.00	
	486	03/07/19	Dividend	75.05	0.00	0.00	
	487	04/05/19	Dividend	79.78	0.00	0.00	
	488	05/07/19	Dividend	76.32	0.00	0.00	
	589	06/07/19	Dividend	99.35	0.00	0.00	
	590	07/08/19	Dividend	92.04	0.00	0.00	
	591	08/07/19	Dividend	88.70	0.00	0.00	
	592	09/09/19	Dividend	86.61	0.00	0.00	
	593	10/07/19	Dividend	80.10	0.00	0.00	
	594	11/07/19	Dividend	82.93	0.00	0.00	
	595	12/06/19	Dividend	79.84	0.00	0.00	
	596	12/26/19	Dividend	81.40	0.00	0.00	
			<b>Security Subtotal</b>	<b>996.00</b>	<b>0.00</b>	<b>0.00</b>	
VANGUARD MATERIALS ETF	149	03/26/19	Dividend	85.61	85.61	0.00	
	150	06/26/19	Dividend	105.57	105.57	0.00	
			<b>Security Subtotal</b>	<b>191.18</b>	<b>191.18</b>	<b>0.00</b>	
SPDR S P BIOTECH	450	09/25/19	Dividend	1.43	1.43	0.00	
	450	12/26/19	Dividend	0.39	0.39	0.00	
			<b>Security Subtotal</b>	<b>1.82</b>	<b>1.82</b>	<b>0.00</b>	

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

### 2019 DIVIDENDS, QUALIFIED AND SECTION 199A DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified	Section 199A	Remarks
NVESCO QQQ TR SER 1	573	04/30/19	Dividend	186.07	186.07	0.00	
	614	07/31/19	Dividend	255.53	255.53	0.00	
	616	10/31/19	Dividend	236.71	236.71	0.00	
	617	12/31/19	Dividend	282.55	282.55	0.00	
	<b>Security Subtotal</b>			<b>960.86</b>	<b>960.86</b>	<b>0.00</b>	
VANGUARD 500 INDEX FUND SHS ETF	563	03/26/19	Dividend	819.67	819.67	0.00	
	616	07/02/19	Dividend	854.38	854.38	0.00	
	619	10/01/19	Dividend	806.36	806.36	0.00	
	622	12/27/19	Dividend	889.33	889.33	0.00	
	<b>Security Subtotal</b>			<b>3,369.74</b>	<b>3,369.74</b>	<b>0.00</b>	
SHARES 0-5 YEAR HIGH CORPORATE BOND ETF	503	02/07/19	Dividend	107.53	0.00	0.00	
	506	03/07/19	Dividend	102.06	0.00	0.00	
	508	04/05/19	Dividend	105.82	0.00	0.00	
	510	05/07/19	Dividend	102.65	0.00	0.00	
	712	06/07/19	Dividend	150.44	0.00	0.00	
	715	07/08/19	Dividend	150.40	0.00	0.00	
	719	08/07/19	Dividend	146.83	0.00	0.00	
	722	09/09/19	Dividend	148.40	0.00	0.00	
	725	10/07/19	Dividend	145.45	0.00	0.00	
	728	11/07/19	Dividend	150.51	0.00	0.00	
	731	12/06/19	Dividend	151.14	0.00	0.00	
	735	12/26/19	Dividend	150.37	0.00	0.00	
	<b>Security Subtotal</b>			<b>1,611.60</b>	<b>0.00</b>	<b>0.00</b>	
SHARES INTEREST RATE HEDGED HIGH YIELD BOND ETF	178	02/08/19	Dividend	81.82	0.00	0.00	
	178	03/08/19	Dividend	69.93	0.00	0.00	
	178	04/08/19	Dividend	70.34	0.00	0.00	
	179	05/08/19	Dividend	66.50	0.00	0.00	
	180	06/10/19	Dividend	71.37	0.00	0.00	
	181	07/09/19	Dividend	70.27	0.00	0.00	
	181	08/08/19	Dividend	64.98	0.00	0.00	
	182	09/10/19	Dividend	63.04	0.00	0.00	
	183	10/08/19	Dividend	58.22	0.00	0.00	
	184	11/08/19	Dividend	59.09	0.00	0.00	
	184	12/09/19	Dividend	59.88	0.00	0.00	
	185	12/31/19	Dividend	54.86	0.00	0.00	
	<b>Security Subtotal</b>			<b>790.30</b>	<b>0.00</b>	<b>0.00</b>	

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

### 2019 DIVIDENDS, QUALIFIED AND SECTION 199A DIVIDEND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Qualified	Section 199A	Remarks
HEALTH CARE SELECT SPDR	194	03/20/19	Dividend	66.43	51.02	0.00	
	105	06/26/19	Dividend	42.06	32.31	0.00	
			<b>Security Subtotal</b>	<b>108.49</b>	<b>83.33</b>	<b>0.00</b>	
SPDR US FINANCIAL SECTOR ETF	1376	03/20/19	Dividend	185.34	185.34	0.00	
	1940	06/26/19	Dividend	270.63	270.63	0.00	
			<b>Security Subtotal</b>	<b>455.97</b>	<b>455.97</b>	<b>0.00</b>	
SECTOR SPDR INDUSTRIAL	561	03/20/19	Dividend	240.13	240.13	0.00	
	334	06/26/19	Dividend	112.92	112.92	0.00	
	336	09/25/19	Dividend	139.35	139.35	0.00	
	337	12/26/19	Dividend	136.31	136.31	0.00	
			<b>Security Subtotal</b>	<b>628.71</b>	<b>628.71</b>	<b>0.00</b>	
LORD ABBETT SHORT DURATION INCOME FD CL F		06/03/19	Dividend	150.32	0.00	0.00	
		07/01/19	Dividend	157.61	0.00	0.00	
		08/01/19	Dividend	158.75	0.00	0.00	
		09/03/19	Dividend	153.80	0.00	0.00	
		10/01/19	Dividend	156.78	0.00	0.00	
		11/01/19	Dividend	146.67	0.00	0.00	
		12/02/19	Dividend	145.50	0.00	0.00	
		12/31/19	Dividend	142.86	0.00	0.00	
			<b>Security Subtotal</b>	<b>1,212.29</b>	<b>0.00</b>	<b>0.00</b>	
SHARES S&P 500 INDEX FUND CL INSTL		04/01/19	Dividend	484.78	484.78	0.00	
		07/02/19	Dividend	455.92	455.92	0.00	
		10/01/19	Dividend	478.45	478.45	0.00	
		12/16/19	Dividend	750.09	750.09	0.00	
			<b>Security Subtotal</b>	<b>2,169.24</b>	<b>2,169.24</b>	<b>0.00</b>	
DIVIDENDS FROM NONCLASSIFIED SECURITIES				12,496.20	7,860.85	0.00	
TOTAL ORDINARY DIVIDENDS (LINE 1A 1099-DIV)				19,769.75			
TOTAL QUALIFIED DIVIDENDS (LINE 1B 1099-DIV)					14,023.40		
TOTAL SECTION 199A DIVIDENDS (LINE 5 1099-DIV)						0.00	
TOTAL FEDERAL INCOME TAX WITHHELD (INCLUDED IN LINE 4 1099-DIV)				0.00			
TOTAL FOREIGN TAX PAID (INCLUDED IN LINE 7 1099-DIV)				0.00			

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

### 2019 DIVIDENDS AND DISTRIBUTIONS

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
<b>CAPITAL GAINS DISTRIBUTIONS</b>					
<b>LONG-TERM CAPITAL GAINS DISTRIBUTIONS</b>					
<b>NONCLASSIFIED SECURITIES</b>					
FRANKLIN BIOTECH DISCOVERY FD CL ADV		12/19/19	Long Term Capital Gain	4,304.73	
<b>LONG-TERM CAPITAL GAINS FROM NONCLASSIFIED SECURITIES</b>				<b>4,304.73</b>	
<b>TOTAL LONG-TERM CAPITAL GAINS DISTRIBUTIONS</b>				<b>4,304.73</b>	
<b>TOTAL CAPITAL GAIN DISTRIBUTIONS (LINE 2A 1099-DIV)</b>				<b>4,304.73</b>	
<b>NONDIVIDEND DISTRIBUTIONS</b>					
<b>NONCLASSIFIED SECURITIES</b>					
SHARES INTEREST RATE	178	02/08/19	Prin Payment	0.51	
HEDGED HIGH YIELD	178	03/08/19	Prin Payment	0.43	
BOND ETF	178	04/08/19	Prin Payment	0.44	
	179	05/08/19	Prin Payment	0.41	
	180	06/10/19	Prin Payment	0.44	
	181	07/09/19	Prin Payment	0.44	
	181	08/08/19	Prin Payment	0.40	
	182	09/10/19	Prin Payment	0.39	
	183	10/08/19	Prin Payment	0.36	
<b>Security Subtotal</b>				<b>3.82</b>	
<b>NONDIVIDEND DISTRIBUTIONS FROM NONCLASSIFIED SECURITIES</b>				<b>3.82</b>	
<b>TOTAL NONDIVIDEND DISTRIBUTIONS (LINE 3 1099-DIV)</b>				<b>3.82</b>	
<b>TOTAL FEDERAL INCOME TAX WITHHELD (INCLUDED IN LINE 4 1099-DIV)</b>				<b>0.00</b>	
<b>TOTAL FOREIGN TAX PAID (INCLUDED IN LINE 7 1099-DIV)</b>				<b>0.00</b>	

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

### 2019 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
<b>INTEREST</b>					
<b>NONCLASSIFIED SECURITIES</b>					
VL BANK DEPOSIT PROGRAM		01/15/19	Bank Interest	0.42	
		01/18/19	Bank Interest	0.22	
		02/28/19	Bank Interest	11.68	
		03/05/19	Bank Interest	0.95	
		03/29/19	Bank Interest	3.23	
		04/30/19	Bank Interest	10.84	
		05/03/19	Bank Interest	0.94	
		05/15/19	Bank Interest	22.75	
		05/31/19	Bank Interest	7.24	
		06/28/19	Bank Interest	19.87	
		07/03/19	Bank Interest	0.02	
		07/31/19	Bank Interest	62.37	
		08/16/19	Bank Interest	2.76	
		08/30/19	Bank Interest	0.86	
		09/30/19	Bank Interest	4.67	
		10/31/19	Bank Interest	13.71	
		11/29/19	Bank Interest	5.87	
		12/31/19	Bank Interest	5.72	
			<b>Security Subtotal</b>	<b>174.12</b>	
PREFERRED DEPOSIT		01/31/19	Bank Interest	138.80	
		02/28/19	Bank Interest	19.70	
		03/29/19	Bank Interest	3.22	
		04/30/19	Bank Interest	57.61	
		05/31/19	Bank Interest	8.73	
		06/28/19	Bank Interest	55.83	
		07/31/19	Bank Interest	667.77	
		08/30/19	Bank Interest	529.78	
		09/30/19	Bank Interest	460.37	
		10/31/19	Bank Interest	374.22	
		11/29/19	Bank Interest	174.70	
		12/31/19	Bank Interest	126.31	
			<b>Security Subtotal</b>	<b>2,617.04</b>	
<b>INTEREST FROM NONCLASSIFIED SECURITIES</b>				<b>2,791.16</b>	

NINA FISCHMAN

## 2019 TAX REPORTING STATEMENT

### 2019 INTEREST INCOME

Security Description	Quantity	Date	Transaction Description	Amount	Remarks
<b>TOTAL INTEREST (LINE 1 1099-INT)</b>				<b>2,791.16</b>	
<b>TOTAL FEDERAL INCOME TAX WITHHELD (INCLUDED IN LINE 4 1099-INT)</b>				<b>0.00</b>	

### 2019 OTHER DISTRIBUTIONS AND CHARGES\*

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
<b><u>FEE INFORMATION</u></b>						
NV ADVISORY FEE				(12,738.72)		
<b>TOTAL FEES</b>				<b>(12,738.72)</b>		

\* Information in the Other Distributions and Charges section is provided to you in order to assist in tax preparation. We do not report this information to the IRS. Information in this section may have an impact on your Alternative Minimum Tax (AMT) calculation. Consult your Tax Advisor for more details.

\*\*\*END OF STATEMENT FOR THIS ACCOUNT\*\*\*

## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

ORIGINAL 1099 03/17/2020

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

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Sale Proceeds and Gain/Loss Details.....	9
Other Distributions and Charges.....	12



We would like you to note the following item(s) which may affect your tax return. Please discuss these matters with your Tax Advisor prior to completing your tax return.

### IMPORTANT ITEMS FOR YOUR ATTENTION

You are receiving this Supplemental Tax Statement as a holder of a Widely Held Fixed Investment Trust, Real Estate Mortgage Investment Conduit and/or certain Collateralized Debt Obligations. This Supplemental Tax Statement is NOT a replacement to any other Tax Statement we have provided you. The information within this Supplemental Tax Statement should be used in addition to the information within any other Tax Statement we have sent you to complete your Tax Return.

Commodity Trusts historically have not generated Principal or Income reporting. WHFIT legislation requires the Trusts to pass along Investment Expenses as well as the associated sales made within the Trust to cover expenses. Merrill Lynch reports Trust Asset Sales to the IRS on Form 1099-B. Commodity Trust Investment Expenses are reflected in the Other Distributions and Charges section and are provided for informational purposes only. Please discuss your specific situation with your Tax Advisor.

NINA FISCHMAN

## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

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ORIGINAL 1099 03/17/2020

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

Your Financial Advisor 4709  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK, NY 11021-5306

Payer:  
Merrill Lynch, Pierce, Fenner & Smith Inc  
1500 American Boulevard, NJ2-150-01-13  
Pennington, NJ 08534-4129  
Federal Identification Number 13-5674085

## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

Form 1099-DIV 2019 Dividends and Distributions (OMB NO. 1545-0110)			Form 1099-INT 2019 Interest Income (OMB NO. 1545-0112)		
		Amount			Amount
1a	Total Ordinary Dividends	Sched. B, Line 5 &/or Form 1040, Line 3b	1	Interest Income	Sched. B, Line 1 &/or Form 1040, Line 2b
1b	Qualified Dividends	Form 1040, Line 3a	2	Early Withdrawal Penalty	Sched. 1, Line 17
2a	Total Capital Gain Distributions	Sched. D, Line 13 or Form 1040, Line 13	3	Int. on U.S. Savings Bonds & Treas.	See Publication 550
2b	Unrecap. Sec. 1250 Gain	Sched. D, Line 19	4	<b>Federal Income Tax Withheld</b>	<b>Form 1040, Line 17</b>
2c	Section 1202 Gain	See Sched. D Instructions	5	Investment Expenses	See Instructions
2d	Collectibles (28%) Gain	Sched. D, Line 18	6	Foreign Tax Paid	Form 1116 &/or Sched. 3, Line 1
3	Nondividend Distributions	See Publication 550	7	Foreign Country or U.S. Possession	See Instructions
4	<b>Federal Income Tax Withheld</b>	<b>Form 1040, Line 17</b>	8	Tax-Exempt Interest	Form 1040, Line 2a
5	Section 199A Dividends	See Instructions	9	Specified Private Activity Bond Interest	See Instructions for Form 6251
6	Investment Expenses	See Instructions	14	Tax-Exempt/Tax Credit Bond CUSIP No.	See Instructions
7	Foreign Tax Paid	Form 1116 &/or Sched. 3, Line 1	15	State	See Instructions
8	Foreign Country or U.S. Possession	See Instructions	16	State Identification No.	See Instructions
9	Liquidation Distributions Cash	See Instructions	17	State Tax Withheld	See Instructions
10	Liquidation Distributions Non-Cash	See Instructions			
11	Exempt-Interest Dividends	Form 1040, Line 2a			
12	Spec. Private Activity Bond Int. Div.	See Instructions for Form 6251			
13	State	See Instructions			
14	State Identification No.	See Instructions			
15	State Tax Withheld	See Instructions			
		135674085/000			
		0.00			

Summary of 2019 Original Issue Discount Items			Summary of 2019 Sales Proceeds		
		Amount			Amount
Original Issue Discount	See Publication 1212	0.00	Sales Proceeds	See Form 8949	51,098.38
OID on U.S. Treasury Obligations	See Publication 1212	0.00	<b>Federal Income Tax Withheld</b>	<b>Form 1040, Line 17</b>	<b>0.00</b>
Investment Expenses	See Instructions	0.00			
Amounts of Original Issue Discount are individually reported to the IRS. Refer to the 2019 Original Issue Discount detail section of this statement.			Gross Proceeds from each of your 2019 securities trades are individually reported to the IRS. Refer to the 2019 Proceeds from Broker and Barter Exchange Transactions detail section of this statement.		

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

You may reach a Tax Representative at 800.637.6326.

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S or Merrill) is a registered broker-dealer and wholly owned subsidiary of Bank of America Corporation Member, Securities Investor Protection Corporation (SIPC)

NINA FISCHMAN

## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

Form 1099-MISC		2019 Miscellaneous Income	(OMB NO. 1545-0115)
			Amount
1	Rental Income	Sched. E	0.00
2	Royalties	Sched. E, Line 4	0.00
3	Other Income	Sched. 1, Line 8	0.00
4	Federal Income Tax Withheld	Form 1040, Line 17	0.00
8	Sub. Payments in Lieu of Div. or Int.	Sched. 1, Line 8	0.00
16	State Tax Withheld	See Instructions	0.00
17	State/Payer's State No.	See Instructions	NY/135674085/000

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Distributions, Charges and Expenses		Amount
Distributions and Charges		
Non-Reportable Dividends and Interest		0.00
Non-Reportable Tax-Exempt Interest		0.00
Other Accrued Interest Paid		0.00
Margin Interest		0.00
Non-Reportable Distribution Expenses		0.00
Expenses Subj to 2%-Deductions Suspended		
Investment from Proceeds		87.89
Investment from Tax-Exempt Interest		0.00
Other		0.00
Expenses Not Subject to 2%		
Administrative		0.00
Severance		0.00
Investment from Interest		0.00
Other		0.00
Non-Deductible Expenses		
Organizational		0.00

### INSTRUCTIONS FOR RECIPIENT OF FORMS 1099, COPY B - READ CAREFULLY

The amounts listed on Forms 1099-DIV, 1099-INT, 1099-MISC, 1099-OID and 1099-B represent dividends, interest, miscellaneous income, original issue discount and/or gross proceeds payments made or credited to your account during the calendar year.

If you are an investor in a limited partnership, information concerning your distributive share of the partnership's taxable income or loss (Form 1065, Schedule K-1) will not appear on the Tax Reporting Statement. Such information will be sent to you directly from the limited partnership, for inclusion on your income tax return.

**Nominees** - If your federal Taxpayer Identification Number is shown on this form and includes amounts belonging to another person, you are considered a nominee recipient. You must file and furnish Form 1099-DIV, 1099-INT, 1099-MISC, 1099-OID or 1099-B, as applicable, with the IRS, for each of the other owners to show their share of the income. A husband or wife is not required to file a nominee return to show amounts owned by the other. See the 2019 General Instructions for Certain Information Returns.

**Foreign Tax Paid** - You may be able to claim this foreign tax as a deduction or a credit on Form 1040. See the Instructions for Form 1040. Foreign country or U.S. possession will always be displayed as various.

**Backup Withholding** - Persons not furnishing their taxpayer identification number (TIN) to the payer become subject to backup withholding on certain payments at a rate of 24%, including dividends, interest, and gross proceeds from dispositions of securities. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**1099-MISC - MISCELLANEOUS INCOME** (OMB No. 1545-0115)

Each royalty trust is required to provide their shareholders with detailed information regarding items of trust income and deductions. Because the information reported to shareholders by the royalty trust includes the information we report to you on Form 1099-MISC, you should be careful not to report this income on your tax return twice.

Line 1 - Shows the income received from rental property. Report on Schedule E (Form 1040). See Publication 527.

Line 2 - Shows the royalty trust income paid to your account during the tax year. Report on Schedule E (Form 1040).

Line 3 - Generally, report this amount on the "Other Income" line of Form 1040 and identify the payment. The amount shown may be payments you received as the beneficiary of a deceased employee, prizes, awards, taxable damages, or other taxable income. See Publication 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 8 - Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other Income" line of Form 1040.

Line 16-18 Show state or local income tax withheld from the payments.

#### 1099-DIV - DIVIDENDS AND DISTRIBUTIONS

(OMB No. 1545-0110)

Line 1a - Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040. Also, report it on Schedule B (1040), if required.

Line 1b - Shows the portion of the amount on line 1a that may be eligible for reduced capital gains rates. See the Instructions for Form 1040 for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a - Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown on lines 2c-2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown on line 2a on your Form 1040 rather than Schedule D. See the Instructions for Form 1040.

Line 2b - Shows the portion of the amount on line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet-Line 19 in the Instructions for Schedule D (Form 1040).

Line 2c - Shows the portion of the amount on line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Instructions for Schedule D (Form 1040).

Line 2d - Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet-Line 18 in the Instructions for Schedule D (Form 1040).

Line 3 - Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Publication 550.

Line 5 - Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the Instructions for Form 1040.

Line 6 - Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included on line 1a.

Lines 9 and 10 - Shows cash and noncash liquidation distributions.

Line 11 - Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Form 1040 for where to report. This amount may be subject to backup withholding.

Line 12 - Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included on line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting boxes.



NINA FISCHMAN

## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

### 099-INT - INTEREST INCOME

(OMB No. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium in a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Line 1** - Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown on line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2019 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912.

**Line 3** - Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not be all taxable. See Publication 550. This interest is exempt from state and local income taxes. **This interest is not included on line 1.**

**Line 5** - Any amount shown is your share of investment expenses of a single-class REMIC. This amount is included on line 1.

**Line 8** - Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the instructions for Form 1040. This amount may be subject to backup withholding. See line 4.

**Line 9** - Shows tax-exempt interest subject to the alternative minimum tax. This amount is included on line 8. See the instructions for Form 6251.

**Line 10** - For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 099-OID. Report the accrued market discount on your income tax return as directed in the instructions for Form 1040. Market discount in a tax-exempt security is includible in taxable income as interest income.

**Line 11** - For a taxable covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B). If the amount on this line is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12** - For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B). If the amount on this line is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13** - For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If the amount on this line is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14** - Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year.

**Lines 15-17** - State tax withheld reporting lines

### 099-OID - ORIGINAL ISSUE DISCOUNT

(OMB No. 1545-0117)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the instructions for Form 8949. For a covered security acquired with acquisition premium, your payer generally must report a gross amount for both the OID and the acquisition premium amortization for the year. For a noncovered security acquired with acquisition premium, your payer is only required to report the gross amount of OID.

**Line 1** - Shows the OID on a taxable obligation for the part of the year you owned it. Report the amount on line 1 as interest income on your income tax return. However, depending on the type of debt instrument, the issue or acquisition date, and other factors (for example, if you paid acquisition or bond premium, or the obligation is a stripped bond or coupon), you may have to figure the correct amount of OID to report on your return. See Publication 1212 for details on how to figure the correct OID.

**Line 5** - For a covered security acquired with OID, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you. For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, shows the market discount that accrued on the obligation during the year while held by you. Report the accrued market discount on your income tax return as directed in the instructions for Form 1040. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 6** - For a taxable covered security, shows the amount of acquisition premium amortization for the year that reduces the amount of OID that is included as interest on your income tax return. If an amount is reported on this line, see the instructions for Form 1040 (Schedule B). If there is an amount on both lines 6 and 11, for a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, and issued with OID, the amount on line 6 shows the amount of acquisition premium amortization for the year that reduces the amount of your tax-exempt OID for the year.

**Line 8** - Shows OID on a U.S. Treasury obligation for the part of the year you owned it. Report this amount as interest income on your federal income tax return, and see Publication 1212 to figure any appropriate adjustments to this amount. This OID is exempt from state and local income taxes and is not included on line 1.

**Line 11** - For a tax-exempt obligation that is a covered security acquired on or after January 1, 2017, shows the tax-exempt OID on the obligation for the part of the year you owned it. In general, report the amount of tax-exempt OID on Form 1040.

### 1099-B - PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

(OMB-1545-0715)

**Applicable check box on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D, and which check box is applicable. See the instructions for Schedule D and/or Form 8949.

**Code A** - Indicates a short-term transaction for which the cost or other basis is being reported to the IRS.

**Code B** - Indicates a short-term transaction for which the cost or other basis is not being reported to the IRS.

**Code D** - Indicates a long-term transaction for which the cost or other basis is being reported to the IRS.

**Code E** - Indicates a long-term transaction for which the cost or other basis is not being reported to the IRS.

**Code X** - Indicates a transaction for which the holding period is unknown.

**Line 1a** - Shows a brief description of the item or service for which amounts are being reported.

**Line 1b** - This line may be blank if line 5 is noted or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c** - Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale.

**Line 1d** - Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This line does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (whichever is applicable) as explained in the instructions for Schedule D.

**Line 1e** - Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If line 5 is noted, line 1e may be blank. See the instructions for Form 8949, Instructions for Schedule D, or Publication 550 for details.

**Line 1f** - Shows the amount of accrued market discount. For details on market discount, see the instructions for Schedule D (Form 1040), Instructions for Form 8949, and Publication 550. If line 5 is noted, line 1f may be blank.

**Line 1g** - Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the instructions for Schedule D (Form 1040), Instructions for Form 8949, and Publication 550. If line 5 is noted, line 1g may be blank.

**Line 3** - If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 5** - If noted, the securities sold were noncovered securities and lines 1b, 1e, 1f, 1g and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6** - If the exercise of a noncompensatory option resulted in a sale of a security, indicates whether the amount on line 1d was adjusted for option premium.

**Line 7** - If noted, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported on line 1d. See the Form 8949 and the instructions for Schedule D. The broker should advise you of any losses on a separate statement.

**Line 12** - If applicable, this is noted on Form(s) 1099-B.

NINA FISCHMAN

## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

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NINA FISCHMAN

## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

GRANITESHARES GOLD

CUSIP: 38748G101

DIVIDENDS AND DISTRIBUTIONS										
1A. Total Ordinary Dividends	1B. Qualified Dividends	2A. Total Capital Gain Distributions	2B. Unrecap. Section 1250 Gain	3. Nondividend Distributions	4. Federal Income Tax Withheld	5. Section 199A Dividends	6. Investment Expenses	7. Foreign Tax Paid	11. Exempt-Interest Dividends	12. Specified Private Activity Bond Interest
0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00 *	0.00	0.00
9. Liquidation Distributions Cash				0.00						

INTEREST INCOME							
1. Interest Income	2. Early Withdrawal Penalty	3. Interest on U.S. Savings Bonds & Treasury Obligations	4. Federal Income Tax Withheld	5. Investment Expenses	6. Foreign Tax Paid	8. Tax-Exempt Interest	9. Specified Private Activity Bond Interest
0.00	0.00	0.00	0.00	0.00	0.00 *	0.00	0.00

ORIGINAL ISSUE DISCOUNT			SUMMARY OF SALES PROCEEDS	
Original Issue Discount	Original Issue Discount on US Treasury Obligations	Investment Expenses	Sales Proceeds	Federal Income Tax Withheld
0.00	0.00	0.00	16,816.10	0.00

MISCELLANEOUS INCOME				
1. Rental Income	2. Royalties	3. Other Income	4. Federal Income Tax Withheld	8. Substitute Payments in Lieu of Dividends or Interest
0.00	0.00	0.00	0.00	0.00

EXPENSES SUBJECT TO 2%-DEDUCTIONS SUSPENDED			EXPENSES NOT SUBJECT TO 2%			NON DEDUCTIBLE EXPENSES	
Investment From Proceeds	Investment From Tax-Exempt Interest	Other	Administrative	Severance	Investment From Interest	Other	Organizational
7.40	0.00	0.00	0.00	0.00	0.00	0.00	0.00

\*This dollar amount represents Foreign Tax Paid, and may reflect taxes paid to various foreign countries or U.S. Possessions.

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## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

SPDR GOLD TRUST

CUSIP: 78463V107

DIVIDENDS AND DISTRIBUTIONS											
1A. Total Ordinary Dividends	1B. Qualified Dividends	2A. Total Capital Gain Distributions	2B. Unrecap. Section 1250 Gain	3. Nondividend Distributions	4. Federal Income Tax Withheld	5. Section 199A Dividends	6. Investment Expenses	7. Foreign Tax Paid	11. Exempt-Interest Dividends	12. Specified Private Activity Bond Interest	
0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00 *	0.00	0.00	
9. Liquidation Distributions Cash				0.00							

INTEREST INCOME							
1. Interest Income	2. Early Withdrawal Penalty	3. Interest on U.S. Savings Bonds & Treasury Obligations	4. Federal Income Tax Withheld	5. Investment Expenses	6. Foreign Tax Paid	8. Tax-Exempt Interest	9. Specified Private Activity Bond Interest
0.00	0.00	0.00	0.00	0.00	0.00 *	0.00	0.00

ORIGINAL ISSUE DISCOUNT				SUMMARY OF SALES PROCEEDS	
Original Issue Discount	Original Issue Discount on US Treasury Obligations	Investment Expenses		Sales Proceeds	Federal Income Tax Withheld
0.00	0.00	0.00		34,282.28	0.00

MISCELLANEOUS INCOME					8. Substitute Payments in Lieu of Dividends or Interest
1. Rental Income	2. Royalties	3. Other Income	4. Federal Income Tax Withheld		
0.00	0.00	0.00	0.00		0.00

EXPENSES SUBJECT TO 2%-DEDUCTIONS SUSPENDED			EXPENSES NOT SUBJECT TO 2%				NON DEDUCTIBLE EXPENSES
Investment From Proceeds	Investment From Tax-Exempt Interest	Other	Administrative	Severance	Investment From Interest	Other	Organizational
80.49	0.00	0.00	0.00	0.00	0.00	0.00	0.00

\*This dollar amount represents Foreign Tax Paid, and may reflect taxes paid to various foreign countries or U.S. Possessions.

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## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

The following sections are provided to facilitate your review and the preparation of your tax return.

Our Supplemental Tax Information Statement that follows is a summary of the gains and losses on your Widely Held Fixed Investment Trust (WHFIT) securities. As a WHFIT interest holder, you must report your pro-rata share of the securities sold by the WHFIT trust in addition to any gain (loss) from the sales that you have made of your WHFIT securities. This section includes your pro-rata share of principal payments made by Real Estate Mortgage Investment Conduits (REMICs) and Collateralized Debt Obligations (CDOs).

The 2019 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, Option Transactions and less complex debt securities acquired on or after January 1, 2014, and more complex debt securities and options issued as part of a debt instrument acquired on or after January 1, 2016. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-B

### 2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
<b>SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2 - Box 6 Net Proceeds</b>							
<b>NONCOVERED TRANSACTIONS-Cost basis not reported to IRS - Form 8949, Part I, (B)</b>							
SPDR GOLD TRUST	CUSIP Number 78463V107						
117.0000 Trust Asset Sale	06/24/19	07/09/19	4.78 (C)	4.84	0.00	0.00	(0.06)
117.0000 Trust Asset Sale	06/24/19	08/13/19	5.12 (C)	4.81	0.00	0.00	0.31
117.0000 Trust Asset Sale	06/24/19	09/05/19	5.34 (C)	4.93	0.00	0.00	0.41
133.0000 Trust Asset Sale	08/13/19	09/05/19	6.08 (C)	5.98	0.00	0.00	0.10
117.0000 Sale	06/24/19	11/11/19	16,006.44 (C)	15,538.97	0.00	0.00	467.47
133.0000 Sale	08/13/19	11/11/19	18,195.35 (C)	18,865.39	0.00	0.00	(670.04)
<b>Security Subtotal</b>			<b>34,223.11</b>	<b>34,424.92</b>	<b>0.00</b>	<b>0.00</b>	<b>(201.81)</b>
<b>Noncovered Short Term Capital Gains and Losses Subtotal</b>			<b>34,223.11</b>	<b>34,424.92</b>	<b>0.00</b>	<b>0.00</b>	<b>(201.81)</b>
<b>NET SHORT TERM CAPITAL GAINS AND LOSSES</b>			<b>34,223.11</b>	<b>34,424.92</b>	<b>0.00</b>	<b>0.00</b>	<b>(201.81)</b>

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## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

### Form 1099-B 2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
<b>LONG TERM COLLECTIBLES (28%) GAINS - 1099-B Line 2 - Box 6 Net Proceeds</b>							
<b>NONCOVERED TRANSACTIONS-Cost basis not reported to IRS - Form 8949, Part II, (E)</b>							
<i>GRANITESHARES GOLD CUSIP Number 38748G101</i>							
SHARES							
1160.0000 Sale	08/09/18	11/25/19	16,808.70 (c)	14,027.48	0.00	0.00	2,781.22
<b>Noncovered Long Term Collectibles (28%) Gains Subtotal</b>			<b>16,808.70</b>	<b>14,027.48</b>	<b>0.00</b>	<b>0.00</b>	<b>2,781.22</b>
<b>NET LONG TERM COLLECTIBLES (28%) GAINS</b>			<b>16,808.70</b>	<b>14,027.48</b>	<b>0.00</b>	<b>0.00</b>	<b>2,781.22</b>
<b>OTHER TRANSACTIONS - Cost basis not reported to IRS - Form 8949, (X)</b>							
<i>GRANITESHARES GOLD CUSIP Number 38748G101</i>							
SHARES							
116.0000 Sale	01/09/19	01/09/19	1.58 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	02/06/19	02/06/19	1.95 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	03/08/19	03/08/19	2.01 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	04/08/19	04/08/19	0.22 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	05/08/19	05/08/19	0.20 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	06/07/19	06/07/19	0.21 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	07/05/19	07/05/19	0.23 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	08/05/19	08/05/19	0.24 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	09/06/19	09/06/19	0.25 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	10/04/19	10/04/19	0.25 (c)	N/A	0.00	0.00	N/A
116.0000 Sale	11/07/19	11/07/19	0.26 (c)	N/A	0.00	0.00	N/A
<b>Security Subtotal</b>			<b>7.40</b>		<b>0.00</b>	<b>0.00</b>	
<i>SPDR GOLD TRUST CUSIP Number 78463V107</i>							
257.0000 Sale	08/13/19	09/05/19	11.74 (c)	N/A	0.00	0.00	N/A
507.0000 Sale	08/13/19	10/10/19	22.96 (c)	N/A	0.00	0.00	N/A
257.0000 Sale	08/13/19	11/13/19	12.60 (c)	N/A	0.00	0.00	N/A
257.0000 Sale	08/13/19	12/10/19	11.87 (c)	N/A	0.00	0.00	N/A
<b>Security Subtotal</b>			<b>59.17</b>		<b>0.00</b>	<b>0.00</b>	
<b>Other Transactions Subtotal</b>			<b>66.57</b>		<b>0.00</b>	<b>0.00</b>	
<b>SALES PROCEEDS AND NET GAINS AND LOSSES*</b>			<b>51,098.38</b>	<b>48,452.40</b>	<b>0.00</b>	<b>0.00</b>	<b>2,579.41</b>

NINA FISCHMAN

## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

Form 1099-B

2019 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1a. Description of Property	1b. Date Acquired	1c. Date Sold or Disposed	1d. Proceeds	1e. Cost Basis	1f. Accrued Market Discount	1g. Wash Sale Loss Disallowed	Gain or Loss
UNCOVERED SHORT TERM GAINS/LOSSES							(201.81)
UNCOVERED LONG TERM COLLECTIBLES (28%) GAINS							2,781.22
OTHER TRANSACTIONS							N/C

(C) The proceeds from this transaction are from collectible securities, Line 12 Form 1099-B.

\* The totals reflected with Sales Proceeds and Net Gains and Losses are not accurately calculating due to incomplete information in Other Transactions.

N/A Cost basis information is not available. As a result, gain/loss will not be calculated (N/C).

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## 2019 SUPPLEMENTAL TAX INFORMATION STATEMENT

### 2019 OTHER DISTRIBUTIONS AND CHARGES\*

Security Description	Quantity	Date	Transaction Description	Total Amount	AMT Amount	Remarks
<b>EXPENSES</b>						
<b>INVESTMENT EXPENSES FROM PROCEEDS</b>						
GRANITESHARES GOLD	116	01/09/19	Investment Expenses	(1.58)	0.00	
	116	02/06/19	Investment Expenses	(1.95)	0.00	
	116	03/08/19	Investment Expenses	(2.01)	0.00	
	116	04/08/19	Investment Expenses	(0.22)	0.00	
	116	05/08/19	Investment Expenses	(0.20)	0.00	
	116	06/07/19	Investment Expenses	(0.21)	0.00	
	116	07/05/19	Investment Expenses	(0.23)	0.00	
	116	08/05/19	Investment Expenses	(0.24)	0.00	
	116	09/06/19	Investment Expenses	(0.25)	0.00	
	116	10/04/19	Investment Expenses	(0.25)	0.00	
	116	11/07/19	Investment Expenses	(0.26)	0.00	
			<b>Security Subtotal</b>	<b>(7.40)</b>	<b>0.00</b>	
SPDR GOLD TRUST	117	07/09/19	Investment Expenses	(4.78)	0.00	
	117	08/13/19	Investment Expenses	(5.12)	0.00	
	507	09/05/19	Investment Expenses	(23.16)	0.00	
	507	10/10/19	Investment Expenses	(22.96)	0.00	
	257	11/13/19	Investment Expenses	(12.60)	0.00	
	257	12/10/19	Investment Expenses	(11.87)	0.00	
				<b>Security Subtotal</b>	<b>(80.49)</b>	<b>0.00</b>
<b>TOTAL INVESTMENT EXPENSES FROM PROCEEDS</b>				<b>(87.89)</b>	<b>0.00</b>	

\* Information in the Other Distributions and Charges section is provided to you in order to assist in tax preparation. We do not report this information to the IRS. Information in this section may have an impact on your Alternative Minimum Tax (AMT) calculation. Consult your Tax Advisor for more details.

\*\*\*END OF STATEMENT FOR THIS ACCOUNT\*\*\*



## 2019 TAX REPORTING HOUSEHOLD LINK STATUS

AS OF 03/17/2020

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

We are providing a mailing status for all accounts in your statement link for which we are required to provide Annual Tax Reporting (Forms 1099).  
PLEASE NOTE: There may be more than one account in this statement package. Please review your Link Summary information carefully.

Account Name	Account No.	Status	Pages In Package
NINA FISCHMAN	XXX-X7155	Tax Statement Produced 2/18	n/a
	XXX-X7155	<b>Supplemental Tax Statement Enclosed</b>	<b>1-12</b>
NINA FISCHMAN	XXX-X6722	Tax Statement Produced 2/18	n/a



NINA FISCHMAN

## 2019 TAX REPORTING HOUSEHOLD LINK STATUS

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## 2019 TAX REPORTING HOUSEHOLD LINK STATUS

AS OF 02/18/2020

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

We are providing a mailing status for all accounts in your statement link for which we are required to provide Annual Tax Reporting (Forms 1099).  
PLEASE NOTE: There may be more than one account in this statement package. Please review your Link Summary information carefully.

Account Name	Account No.	Status	Pages In Package
NINA FISCHMAN	XXX-X7155	Tax Statement Enclosed	1-18
	XXX-X7155	Supplemental Tax Statement Due by 3/19	n/a
NINA FISCHMAN	XXX-X6722	Tax Statement Enclosed	19-26



NINA FISCHMAN

## 2019 TAX REPORTING HOUSEHOLD LINK STATUS

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Online at: [www.mymerrill.com](http://www.mymerrill.com)

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

**Net Portfolio Value:** **\$1,820,854.77**

**Your Financial Advisor:**  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK NY 11021-5306  
alexander.fischman@ml.com  
1-800-876-8770

## ■ CMA® ACCOUNT

This account is enrolled in the Merrill Lynch Investment Advisory Program

November 30, 2019 - December 31, 2019

	<i>This Statement</i>	<i>Year to Date</i>
<b>Opening Value</b> (11/30)	<b>\$1,778,253.80</b>	
Total Credits	8,958.13	823,925.10
Total Debits	(1,481.79)	(169,738.72)
Securities You Transferred In/Out	-	459,294.56
Market Gains/(Losses)	35,124.63	218,165.44
<b>Closing Value</b> (12/31)	<b>\$1,820,854.77</b>	

<b>ASSETS</b>	<i>December 31</i>	<i>November 29</i>
Cash/Money Accounts	146,981.37	147,109.13
Fixed Income	147,965.96	147,995.56
Equities	834,604.40	705,334.00
Mutual Funds	690,991.63	777,711.31
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	<b>1,820,543.36</b>	1,778,150.00
Estimated Accrued Interest	311.41	103.80
<b>TOTAL ASSETS</b>	<b>\$1,820,854.77</b>	\$1,778,253.80

<b>LIABILITIES</b>		
Debit Balance	-	-
Short Market Value	-	-
<b>TOTAL LIABILITIES</b>	-	-
<b>NET PORTFOLIO VALUE</b>	<b>\$1,820,854.77</b>	\$1,778,253.80



This statement is eligible for online delivery. Go to [ml.com/gopaperless](http://ml.com/gopaperless) or scan this code with your phone's camera to get started.

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Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

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## CMA® ACCOUNT

November 30, 2019 - December 31, 2019

## CASH FLOW

	<i>This Statement</i>	<i>Year to Date</i>
<b>Opening Cash/Money Accounts</b>	<b>\$147,109.13</b>	
<b>CREDITS</b>		
Funds Received	-	694,490.59
Electronic Transfers	-	74,950.00
Other Credits	-	27,615.05
<i>Subtotal</i>	-	797,055.64
<b>DEBITS</b>		
Electronic Transfers	-	(150,000.00)
Margin Interest Charged	-	-
Other Debits	-	(7,000.00)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Advisory and other fees	(1,481.79)	(12,738.72)
<i>Subtotal</i>	<b>(1,481.79)</b>	<b>(169,738.72)</b>
<b>Net Cash Flow</b>	<b>(\$1,481.79)</b>	<b>\$627,316.92</b>

## OTHER TRANSACTIONS

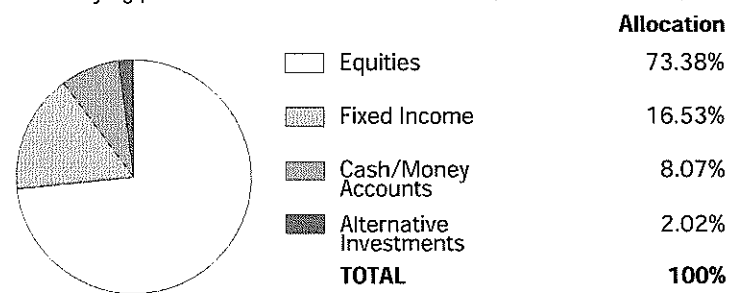
Dividends/Interest Income	8,958.13	26,869.46
Dividend Reinvestments	(8,270.60)	(22,693.17)
Security Purchases/Debits	(151,855.74)	(1,389,995.67)
Security Sales/Credits	152,522.24	749,284.38
<b>Closing Cash/Money Accounts</b>	<b>\$146,981.37</b>	

## Fees Included in Transactions Above

Commissions/Trading Fees	(2.09)	(13.64)
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## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



## DOCUMENT PREFERENCES THIS PERIOD

	<i>Mail</i>	<i>Online Delivery</i>
Statements	X	
Performance Reports	X	
Trade Confirms	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	

NINA FISCHMAN

Account Number: [Redacted] 7155

## ACCOUNT INVESTMENT OBJECTIVE

November 30, 2019 - December 31, 2019

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## INVESTMENT ADVISORY PROGRAM

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

## YOUR CMA BANK DEPOSIT INTEREST SUMMARY

<i>Money Account Description</i>	<i>Opening Balance</i>	<i>Average Deposit Balance</i>	<i>Current Yield%</i>	<i>Interest on Deposits</i>	<i>Closing Balance</i>
Bank of America, N.A.	40,171	29,338	.22	5.72	<b>9,583</b>
<b>TOTAL ML Bank Deposit Program</b>	40,171			5.72	<b>9,583</b>

<i>Money Account Description</i>	<i>Opening Balance</i>	<i>Average Deposit Balance</i>	<i>Current Yield%</i>	<i>Interest on Deposits</i>	<i>Closing Balance</i>
Bank of America, N.A.	106,936	110,623	1.31	126.31	<b>86,062</b>
<b>TOTAL Preferred Deposit</b>	106,936			126.31	<b>86,062</b>

NINA FISCHMAN

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

November 30, 2019 - December 31, 2019

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%
CASH	51,336.37	51,336.37		<b>51,336.37</b>		
+ML BANK DEPOSIT PROGRAM	9,583.00	9,583.00	1.0000	<b>9,583.00</b>	<b>21</b>	.22
+FDIC INSURED NOT SIPC COVERED						
+PREFERRED DEPOSIT	86,062.00	86,062.00	1.0000	<b>86,062.00</b>	<b>1,127</b>	1.31
+FDIC INSURED NOT SIPC COVERED						
<b>TOTAL</b>		<b>146,981.37</b>		<b>146,981.37</b>	<b>1,148</b>	1.20

CDs/EQUIVALENTS			Adjusted/Total	Estimated	Estimated	Unrealized	Estimated	Estimated
Description	Acquired	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Accrued Interest	Annual Income
CD TRUIST BANK CHARLOTTE, NC 01.600% MAY 13 2020 CUSIP: 105133GP1 CURRENT YIELD 1.60%	11/05/19	148,000	147,926.00	99.9770	147,965.96	39.96	311.41	2,368
TOTAL YIELD 1.60%		148,000	147,926.00		147,965.96	39.96	311.41	2,368

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES				Unit	Total	Estimated	Estimated	Unrealized	Estimated
Description	Symbol	Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income
ADVNC D MICRO INC	AMD	12/19/19	1,200.0000	43.1279	51,753.48	45.8600	55,032.00	3,278.52	
AMAZON COM INC COM	AMZN	11/27/17	35.0000	1,207.9362	42,277.77	1,847.8400	64,674.40	22,396.63	
		01/16/19	11.0000	1,702.0445	18,722.49	1,847.8400	20,326.24	1,603.75	
		05/09/19	7.0000	1,887.8000	13,214.60	1,847.8400	12,934.88	(279.72)	
Subtotal			53.0000		74,214.86		97,935.52	23,720.66	
APPLE INC	AAPL	11/27/17	213.0000	175.8575	37,457.66	293.6500	62,547.45	25,089.79	657
CURRENT YIELD 1.04%		02/16/18	1.0000	175.9100	175.91	293.6500	293.65	117.74	4
		05/18/18	1.0000	180.7100	180.71	293.6500	293.65	112.94	4
		05/18/18	1.0000	191.0200	191.02	293.6500	293.65	102.63	4
		08/17/18	1.0000	203.1200	203.12	293.6500	293.65	90.53	4
		08/17/18	1.0000	214.5500	214.55	293.6500	293.65	79.10	4

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NINA FISCHMAN

Account Number: [Redacted] 7155

## YOUR CMA ASSETS

November 30, 2019 - December 31, 2019

<b>EQUITIES</b> (continued)								
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual Income
APPLE INC	AAPL	11/16/18	1.0000	190.5300	190.53	293.6500	293.65	103.12
		02/15/19	1.0000	190.0400	190.04	293.6500	293.65	103.61
		02/15/19	1.0000	171.4700	171.47	293.6500	293.65	122.18
		05/09/19	50.0000	198.3414	9,917.07	293.6500	14,682.50	4,765.43
		05/17/19	0.9165	173.2896	158.82	293.6500	269.13	110.31
		05/17/19	2.0000	187.0500	374.10	293.6500	587.30	213.20
		08/14/19	1.0357	203.6497	210.92	293.6500	304.13	93.21
		11/13/19	0.8019	264.0104	211.71	293.6500	235.48	23.77
<i>Subtotal</i>			<b>275.7541</b>		<b>49,847.63</b>		<b>80,975.19</b>	<b>31,127.56</b>
BEYOND MEAT INC REG SHS	BYND	10/29/19	230.0000	87.1778	20,050.90	75.6000	<b>17,388.00</b>	(2,662.90)
REG SHS								
BOEING COMPANY	BA	11/19/19	55.0000	372.3780	20,480.79	325.7600	<b>17,916.80</b>	(2,563.99)
CURRENT YIELD 2.52%								<b>453</b>
CATERPILLAR INC DEL	CAT	09/09/19	158.0000	125.6800	19,857.44	147.6800	23,333.44	3,476.00
CURRENT YIELD 2.78%		11/19/19	1.1426	142.4295	162.74	147.6800	168.74	6.00
<i>Subtotal</i>			<b>159.1426</b>		<b>20,020.18</b>		<b>23,502.18</b>	<b>3,482.00</b>
CITIGROUP INC COM NEW	C	08/14/19	160.0000	61.6500	9,864.00	79.8900	12,782.40	2,918.40
CURRENT YIELD 2.55%		11/21/19	1.1011	74.1077	81.60	79.8900	87.97	6.37
<i>Subtotal</i>			<b>161.1011</b>		<b>9,945.60</b>		<b>12,870.37</b>	<b>2,924.77</b>
COSTCO WHOLESALE CRP DEL	COST	09/26/19	70.0000	288.0940	20,166.58	293.9200	20,574.40	407.82
CURRENT YIELD 0.88%		11/14/19	0.1490	305.3691	45.50	293.9200	43.79	(1.71)
<i>Subtotal</i>			<b>70.1490</b>		<b>20,212.08</b>		<b>20,618.19</b>	<b>406.11</b>
DISNEY (WALT) CO COM STK	DIS	05/08/19	124.0000	134.8500	16,721.40	144.6300	17,934.12	1,212.72
CURRENT YIELD 1.21%		07/25/19	0.4971	143.4319	71.30	144.6300	71.90	.60
		07/25/19	1.0000	143.4200	143.42	144.6300	144.63	1.21
<i>Subtotal</i>			<b>125.4971</b>		<b>16,936.12</b>		<b>18,150.65</b>	<b>1,214.53</b>
FACEBOOK INC	FB	10/29/19	157.0000	189.6440	29,774.12	205.2500	<b>32,224.25</b>	2,450.13
CLASS A COMMON STOCK								

NINA FISCHMAN

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

November 30, 2019 - December 31, 2019

<b>EQUITIES</b> (continued)								
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual Income
FORTINET INC	FTNT	11/12/19	200.0000	99.1050	19,821.00	106.7600	<b>21,352.00</b>	1,531.00
GOLDMAN SACHS GROUP INC	GS	01/16/19	103.0000	189.2339	19,491.10	229.9300	23,682.79	4,191.69
CURRENT YIELD 2.17%		05/10/19	40.0000	200.0445	8,001.78	229.9300	9,197.20	1,195.42
		06/28/19	1.0000	198.8800	198.88	229.9300	229.93	31.05
		06/28/19	0.0268	203.3582	5.45	229.9300	6.16	.71
		09/26/19	0.8579	209.8496	180.03	229.9300	197.26	17.23
		12/27/19	0.7837	231.0960	181.11	229.9300	180.20	(0.91)
<i>Subtotal</i>			<i>145.6684</i>		<i>28,058.35</i>		<b>33,493.54</b>	<i>5,435.19</i>
↑ HCA HEALTHCARE INC	HCA	08/21/19	200.0000	123.5213	24,704.26	147.8100	29,562.00	4,857.74
CURRENT YIELD 1.08%		09/27/19	0.6728	118.9060	80.00	147.8100	99.45	19.45
		12/26/19	0.5412	148.3185	80.27	147.8100	79.99	(0.28)
<i>Subtotal</i>			<i>201.2140</i>		<i>24,864.53</i>		<b>29,741.44</b>	<i>4,876.91</i>
JPMORGAN CHASE & CO	JPM	06/15/18	111.0000	107.2699	11,906.96	139.4000	15,473.40	3,566.44
CURRENT YIELD 2.58%		11/02/18	1.0000	112.7700	112.77	139.4000	139.40	26.63
		02/01/19	1.0000	105.1800	105.18	139.4000	139.40	34.22
		05/01/19	1.0000	112.9800	112.98	139.4000	139.40	26.42
		05/01/19	0.0078	115.3846	0.90	139.4000	1.09	.19
		05/10/19	100.0000	111.6455	11,164.55	139.4000	13,940.00	2,775.45
		07/30/19	1.4858	115.2308	171.21	139.4000	207.12	35.91
		10/30/19	1.5604	124.2886	193.94	139.4000	217.52	23.58
<i>Subtotal</i>			<i>217.0540</i>		<i>23,768.49</i>		<b>30,257.33</b>	<i>6,488.84</i>
MCDONALDS CORP COM	MCD	04/30/19	152.0000	197.3300	29,994.16	197.6100	30,036.72	42.56
CURRENT YIELD 2.53%		05/10/19	40.0000	197.9352	7,917.41	197.6100	7,904.40	(13.01)
		06/18/19	0.0861	205.1103	17.66	197.6100	17.01	(0.65)
		06/18/19	1.0000	205.0700	205.07	197.6100	197.61	(7.46)
		09/16/19	1.0770	207.9665	223.98	197.6100	212.83	(11.15)
		10/31/19	100.0000	197.3499	19,734.99	197.6100	19,761.00	26.01
		12/13/19	1.8583	197.8690	367.70	197.6100	367.22	(0.48)
<i>Subtotal</i>			<i>296.0214</i>		<i>58,460.97</i>		<b>58,496.79</b>	<i>35.82</i>

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NINA FISCHMAN

Account Number: [Redacted] 7155

## YOUR CMA ASSETS

November 30, 2019 - December 31, 2019

<b>EQUITIES</b> (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
MICROSOFT CORP	MSFT	07/22/19	200.0000	138.8262	27,765.24	157.7000	31,540.00	3,774.76	408
CURRENT YIELD 1.29%		09/11/19	0.6684	137.6421	92.00	157.7000	105.41	13.41	2
		12/11/19	0.6750	151.6148	102.34	157.7000	106.45	4.11	2
<b>Subtotal</b>			<b>201.3434</b>		<b>27,959.58</b>		<b>31,751.86</b>	<b>3,792.28</b>	<b>412</b>
MSCI INC	MSCI	07/02/19	75.0000	243.6668	18,275.01	258.1800	19,363.50	1,088.49	204
CLASS A		08/29/19	0.2149	237.3196	51.00	258.1800	55.48	4.48	1
CURRENT YIELD 1.05%									
		11/26/19	0.1959	261.1026	51.15	258.1800	50.58	(0.57)	1
<b>Subtotal</b>			<b>75.4108</b>		<b>18,377.16</b>		<b>19,469.56</b>	<b>1,092.40</b>	<b>206</b>
NIKE INC CL B	NKE	03/19/19	285.0000	87.5825	24,961.04	101.3100	28,873.35	3,912.31	280
CURRENT YIELD 0.96%		05/10/19	100.0000	82.8946	8,289.46	101.3100	10,131.00	1,841.54	98
		07/02/19	0.9911	85.4605	84.70	101.3100	100.41	15.71	1
		09/27/19	0.9178	92.5256	84.92	101.3100	92.98	8.06	1
<b>Subtotal</b>			<b>386.9089</b>		<b>33,420.12</b>		<b>39,197.74</b>	<b>5,777.62</b>	<b>380</b>
PEPSICO INC	PEP	09/12/19	150.0000	137.6151	20,642.27	136.6700	20,500.50	(141.77)	574
CURRENT YIELD 2.79%									
SNAP INC CL A	SNAP	09/20/19	575.0000	16.9668	9,755.91	16.3300	9,389.75	(366.16)	
TARGET CORP COM	TGT	07/23/19	285.0000	87.9418	25,063.44	128.2100	36,539.85	11,476.41	753
CURRENT YIELD 2.05%		09/09/19	1.7527	107.3201	188.10	128.2100	224.71	36.61	5
		12/09/19	1.5018	126.0221	189.26	128.2100	192.55	3.29	4
<b>Subtotal</b>			<b>288.2545</b>		<b>25,440.80</b>		<b>36,957.11</b>	<b>11,516.31</b>	<b>762</b>
TESLA INC	TSLA	12/12/19	70.0000	358.7400	25,111.80	418.3300	29,283.10	4,171.30	
TJX COS INC NEW	TJX	08/20/19	300.0000	50.8170	15,245.10	61.0600	18,318.00	3,072.90	276
CURRENT YIELD 1.50%		12/04/19	1.1500	60.0000	69.00	61.0600	70.22	1.22	2
<b>Subtotal</b>			<b>301.1500</b>		<b>15,314.10</b>		<b>18,388.22</b>	<b>3,074.12</b>	<b>278</b>
UBER TECHNOLOGIES INC	UBER	11/20/19	750.0000	28.0258	21,019.38	29.7400	22,305.00	1,285.62	
VERIZON COMMUNICATNS COM	VZ	12/12/19	407.0000	61.4017	24,990.53	61.4000	24,989.80	(0.73)	1,002
CURRENT YIELD 4.00%									

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## YOUR CMA ASSETS

November 30, 2019 - December 31, 2019

EQUITIES (continued)				Unit	Total	Estimated	Estimated	Unrealized	Estimated
Description	Symbol	Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income
VISA INC CL A SHRS	V	07/02/19	172.0000	174.2337	29,968.20	187.9000	32,318.80	2,350.60	207
CURRENT YIELD 0.63%		08/30/19	0.2382	180.5205	43.00	187.9000	44.76	1.76	1
		12/02/19	0.2871	179.9721	51.67	187.9000	53.95	2.28	1
Subtotal			172.5253		30,062.87		32,417.51	2,354.64	209
<b>TOTAL</b>	YIELD 1.18%				720,303.62		834,604.40	114,300.78	9,849

MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs		Quantity	Total	Estimated	Estimated	Unrealized	Total Client	Cumulative	Estimated
Description			Cost Basis	Market Price	Market Value	Gain/(Loss)	Investment	Investment	Annual
INVESCO QQQ TR SER 1		618.7290	109,704.68	212.6100	131,547.97	21,843.29	108,099	23,448	979
CURRENT YIELD 0.74%									
SYMBOL: QQQ	Initial Purchase: 03/13/18								
Equity 100%									
ISHARES 1-3 YEAR		597.1619	49,767.71	84.6300	50,537.81	770.10	48,575	1,962	1,071
TREASURY BOND ETF	CURRENT YIELD 2.11%								
SYMBOL: SHY	Initial Purchase: 08/09/18								
Fixed Income 100%									
ISHARES 0-5 YEAR HIGH		738.5083	34,476.32	46.4300	34,288.93	(187.39)	32,517	1,771	1,827
CORPORATE BOND ETF	CURRENT YIELD 5.32%								
SYMBOL: SHYG	Initial Purchase: 08/09/18								
Fixed Income 100%									
ISHARES INTEREST RATE		186.0464	17,037.49	89.6157	16,672.68	(364.81)	16,325	346	816
HEDGED HIGH YIELD									
BOND ETF									
CURRENT YIELD 4.88%									
SYMBOL: HYGH	Initial Purchase: 08/09/18								
Fixed Income 100%									
ISHARES S&P 500		298.6800	88,839.11	382.6300	114,283.93	25,444.82	84,567	29,716	2,197
INDEX FUND CL INSTL	CURRENT YIELD 1.92%								
SYMBOL: BSPIX	Initial Purchase: 08/31/17								
Equity 100%									

NINA FISCHMAN

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## YOUR CMA ASSETS

November 30, 2019 - December 31, 2019

MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
LORD ABBETT SHORT DURATION INCOME FD CL F CURRENT YIELD 3.72% SYMBOL: LDLFX Initial Purchase: 05/02/19 Fixed Income 100%	12,215.7410	51,069.43	4.2100	51,428.27	358.84	49,996	1,431	1,918
SECTOR SPDR INDUSTRIAL CURRENT YIELD 1.94% SYMBOL: XLI Initial Purchase: 08/09/18 Equity 100%	339.6086	25,771.26	81.4700	27,667.91	1,896.65	24,923	2,744	538
SPDR GOLD TRUST SYMBOL: GLD Initial Purchase: 08/13/19 Alternative Investments 100%	257.0000	36,465.73	142.9000	36,725.30	259.57	36,465	259	
SPDR S P BIOTECH CURRENT YIELD 0.00% SYMBOL: XBI Initial Purchase: 11/08/18 Equity 100%	450.0219	38,156.77	95.1100	42,801.58	4,644.81	38,154	4,646	2
VANGUARD 500 INDEX FUND SHS ETF CURRENT YIELD 1.88% SYMBOL: VOO Initial Purchase: 10/25/17 Equity 100%	625.5485	152,249.91	295.8000	185,037.25	32,787.34	145,592	39,444	3,485
Subtotal (Fixed Income)				152,927.69				
Subtotal (Equities)				501,338.64				
Subtotal (Alternative Investments)				36,725.30				
<b>TOTAL</b> YIELD 1.86%		603,538.41		690,991.63	87,453.22		105,767	12,833
<b>LONG PORTFOLIO</b>			Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	
<b>TOTAL</b> YIELD 1.44%		1,618,749.40		1,820,543.36	201,793.96	311.41	26,198	

## YOUR CMA ASSETS

November 30, 2019 - December 31, 2019

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

**Sales Charge Discounts or Waivers:** Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETNs (collectively, "ETPs"). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor; and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPF&S and its affiliates.

**Notes**  
For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

## YOUR CMA TRANSACTIONS

## DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Description	Transaction Type	Quantity	Reinvestment	Income	Income Year To Date
<b>Taxable Interest</b>						
12/31	BANK DEPOSIT INTEREST	Bank Interest			.72	
12/31	BANK DEPOSIT INTEREST	Bank Interest			.31	
	ML BANK DEPOSIT PROGRAM	Income Total			5.00	
	PREFERRED DEPOSIT	Income Total			126.00	
	<b>Subtotal (Taxable Interest)</b>				<b>132.03</b>	<b>2,791.16</b>

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NINA FISCHMAN

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## YOUR CMA TRANSACTIONS

November 30, 2019 - December 31, 2019

### DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Reinvestment	Income	Income Year To Date
<b>Taxable Dividends</b>						
12/02	LORD ABBETT SHORT	* Dividend			145.50	
	DURATION INCOME FD CL F PAY DATE 11/29/2019					
12/02	LORD ABBETT SHORT	Reinvestment Program		(145.50)		
	DURATION INCOME FD CL F					
12/02	LORD ABBETT SHORT	Reinvestment Share(s)	34.5610			
	DURATION INCOME FD CL F AGENT REINV AMOUNT \$145.50 REINV PRICE \$4.21000 QUANTITY BOT 34.5610 AS OF 11/29					
12/03	VISA INC CL A SHRS	* Dividend			51.67	
	HOLDING 172.2382 PAY DATE 12/03/2019					
12/03	VISA INC CL A SHRS	Reinvestment Program		(51.67)		
12/03	VISA INC CL A SHRS	Reinvestment Share(s)	.2871			
	PRINCIPAL REINV AMOUNT \$51.67 REINV PRICE \$180.00000 QUANTITY BOT .2871					
12/05	TJX COS INC NEW	* Dividend			69.00	
	HOLDING 300.0000 PAY DATE 12/05/2019					
12/05	TJX COS INC NEW	Reinvestment Program		(69.00)		
12/05	TJX COS INC NEW	Reinvestment Share(s)	1.1500			
	PRINCIPAL REINV AMOUNT \$69.00 REINV PRICE \$60.00000 QUANTITY BOT 1.1500					
12/06	ISHARES 1-3 YEAR	* Dividend			79.84	
	TREASURY BOND ETF HOLDING 595.2556 PAY DATE 12/06/2019					
12/06	ISHARES 1-3 YEAR	Reinvestment Program		(79.84)		
	TREASURY BOND ETF					
12/06	ISHARES 1-3 YEAR	Reinvestment Share(s)	.9437			
	TREASURY BOND ETF PRINCIPAL REINV AMOUNT \$79.84 REINV PRICE \$84.60000 QUANTITY BOT .9437					
12/06	ISHARES 0-5 YEAR HIGH	* Dividend			151.14	
	CORPORATE BOND ETF HOLDING 731.9855 PAY DATE 12/06/2019					
12/06	ISHARES 0-5 YEAR HIGH	Reinvestment Program		(151.14)		
	CORPORATE BOND ETF					
12/06	ISHARES 0-5 YEAR HIGH	Reinvestment Share(s)	3.2828			
	CORPORATE BOND ETF PRINCIPAL REINV AMOUNT \$151.14 REINV PRICE \$46.04000 QUANTITY BOT 3.2828					

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## YOUR CMA TRANSACTIONS

November 30, 2019 - December 31, 2019

## DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Reinvestment	Income	Income Year To Date
<b>Taxable Dividends</b>						
12/09	ISHARES INTEREST RATE HEDGED HIGH YIELD BOND ETF HOLDING 184.7556 PAY DATE 12/09/2019	* Dividend			59.88	
12/09	ISHARES INTEREST RATE HEDGED HIGH YIELD BOND ETF	Reinvestment Program		(59.88)		
12/09	ISHARES INTEREST RATE HEDGED HIGH YIELD BOND ETF PRINCIPAL REINV AMOUNT \$59.88 REINV PRICE \$88.34000 QUANTITY BOT	Reinvestment Share(s)	.6778	.6778		
12/10	TARGET CORP COM HOLDING 286.7527 PAY DATE 12/10/2019	* Dividend			189.26	
12/10	TARGET CORP COM	Reinvestment Program		(189.26)		
12/10	TARGET CORP COM PRINCIPAL REINV AMOUNT \$189.26 REINV PRICE \$126.02000 QUANTITY BOT	Reinvestment Share(s)	1.5018	1.5018		
12/12	MICROSOFT CORP HOLDING 200.6684 PAY DATE 12/12/2019	* Dividend			102.34	
12/12	MICROSOFT CORP	Reinvestment Program		(102.34)		
12/12	MICROSOFT CORP PRINCIPAL REINV AMOUNT \$102.34 REINV PRICE \$151.61700 QUANTITY BOT	Reinvestment Share(s)	.6750	.6750		
12/16	MCDONALDS CORP COM HOLDING 294.1631 PAY DATE 12/16/2019	* Dividend			367.70	
12/16	MCDONALDS CORP COM	Reinvestment Program		(367.70)		
12/16	MCDONALDS CORP COM PRINCIPAL REINV AMOUNT \$367.70 REINV PRICE \$197.86500 QUANTITY BOT	Reinvestment Share(s)	1.8583	1.8583		
12/16	ISHARES S&P 500 INDEX FUND CL INSTL PAY DATE 12/13/2019	* Dividend			750.09	
12/16	ISHARES S&P 500 INDEX FUND CL INSTL	Reinvestment Program		(750.09)		
12/16	ISHARES S&P 500 INDEX FUND CL INSTL AGENT REINV AMOUNT \$750.09 REINV PRICE \$375.00000 QUANTITY BOT	Reinvestment Share(s)	2.0000	2.0000 AS OF 12/12		
12/19	FRANKLIN BIOTECH	»* Long Term Capital Gain			4,304.73	

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## YOUR CMA TRANSACTIONS

November 30, 2019 - December 31, 2019

### DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Reinvestment	Income	Income Year To Date
<b>Taxable Dividends</b>						
	DISCOVERY FD CL ADV PAY DATE 12/18/2019					
12/19	FRANKLIN BIOTECH	Reinvestment Program		(4,304.73)		
	DISCOVERY FD CL ADV					
12/19	FRANKLIN BIOTECH	Reinvestment Share(s)	27.5790			
	DISCOVERY FD CL ADV AGENT REINV AMOUNT \$4304.73 REINV PRICE \$156.09000 QUANTITY BOT 27.5790 AS OF 12/16					
12/20	PERSHING SQUARE HOLDINGS	* Foreign Dividend			555.50	
	USD PAR ORDINARY HOLDING 5555.0000 PAY DATE 12/20/2019					
12/26	ISHARES 1-3 YEAR	* Dividend			81.40	
	TREASURY BOND ETF HOLDING 596.1993 PAY DATE 12/26/2019					
12/26	ISHARES 1-3 YEAR	Reinvestment Program		(81.40)		
	TREASURY BOND ETF					
12/26	ISHARES 1-3 YEAR	Reinvestment Share(s)	.9626			
	TREASURY BOND ETF PRINCIPAL REINV AMOUNT \$81.40 REINV PRICE \$84.56000 QUANTITY BOT .9626					
12/26	SPDR S P BIOTECH	* Dividend			.39	
	HOLDING 450.0180 PAY DATE 12/26/2019					
12/26	SPDR S P BIOTECH	Reinvestment Program		(.39)		
12/26	SPDR S P BIOTECH	Reinvestment Share(s)	.0039			
	PRINCIPAL REINV AMOUNT \$.39 REINV PRICE \$98.79000 QUANTITY BOT .0039					
12/26	ISHARES 0-5 YEAR HIGH	* Dividend			150.37	
	CORPORATE BOND ETF HOLDING 735.2683 PAY DATE 12/26/2019					
12/26	ISHARES 0-5 YEAR HIGH	Reinvestment Program		(150.37)		
	CORPORATE BOND ETF					
12/26	ISHARES 0-5 YEAR HIGH	Reinvestment Share(s)	3.2400			
	CORPORATE BOND ETF PRINCIPAL REINV AMOUNT \$150.37 REINV PRICE \$46.41000 QUANTITY BOT 3.2400					
12/26	SECTOR SPDR INDUSTRIAL	* Dividend			136.31	
	HOLDING 337.9455 PAY DATE 12/26/2019					
12/26	SECTOR SPDR INDUSTRIAL	Reinvestment Program		(136.31)		
12/26	SECTOR SPDR INDUSTRIAL	Reinvestment Share(s)	1.6631			

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## YOUR CMA TRANSACTIONS

November 30, 2019 - December 31, 2019

## DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Reinvestment	Income	Income Year To Date
<b>Taxable Dividends</b>						
	PRINCIPAL REINV AMOUNT \$136.31 REINV PRICE \$81.96000 QUANTITY BOT 1.6631					
12/27	HCA HEALTHCARE INC	* Dividend			80.27	
	HOLDING 200.6728 PAY DATE 12/27/2019					
12/27	HCA HEALTHCARE INC	Reinvestment Program		(80.27)		
12/27	HCA HEALTHCARE INC	Reinvestment Share(s)	.5412			
	PRINCIPAL REINV AMOUNT \$80.27 REINV PRICE \$148.31000 QUANTITY BOT .5412					
12/27	VANGUARD 500 INDEX FUND	* Dividend			889.33	
	SHS ETF HOLDING 622.5591 PAY DATE 12/27/2019					
12/27	VANGUARD 500 INDEX FUND	Reinvestment Program		(889.33)		
	SHS ETF					
12/27	VANGUARD 500 INDEX FUND	Reinvestment Share(s)	2.9894			
	SHS ETF PRINCIPAL REINV AMOUNT \$889.33 REINV PRICE \$297.49500 QUANTITY BOT 2.9894					
12/30	GOLDMAN SACHS GROUP INC	* Dividend			181.11	
	HOLDING 144.8847 PAY DATE 12/30/2019					
12/30	GOLDMAN SACHS GROUP INC	Reinvestment Program		(181.11)		
12/30	GOLDMAN SACHS GROUP INC	Reinvestment Share(s)	.7837			
	PRINCIPAL REINV AMOUNT \$181.11 REINV PRICE \$231.10000 QUANTITY BOT .7837					
12/31	INVESCO QQQ TR SER 1	* Dividend			282.55	
	HOLDING 617.3933 PAY DATE 12/31/2019					
12/31	INVESCO QQQ TR SER 1	Reinvestment Program		(282.55)		
12/31	INVESCO QQQ TR SER 1	Reinvestment Share(s)	1.3357			
	PRINCIPAL REINV AMOUNT \$282.55 REINV PRICE \$211.53000 QUANTITY BOT 1.3357					
12/31	ISHARES INTEREST RATE	* Dividend			54.86	
	HEDGED HIGH YIELD BOND ETF HOLDING 185.4334 PAY DATE 12/31/2019					
12/31	ISHARES INTEREST RATE	Reinvestment Program		(54.86)		
	HEDGED HIGH YIELD BOND ETF					
12/31	ISHARES INTEREST RATE	Reinvestment Share(s)	.6130			
	HEDGED HIGH YIELD BOND ETF PRINCIPAL REINV AMOUNT \$54.86 REINV PRICE \$89.50000 QUANTITY BOT .6130					

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## YOUR CMA TRANSACTIONS

November 30, 2019 - December 31, 2019

### DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Reinvestment	Income	Income Year To Date
<b>Taxable Dividends</b>						
12/31	LORD ABBETT SHORT	* Dividend			142.86	
	DURATION INCOME FD CL F PAY DATE 12/31/2019					
12/31	LORD ABBETT SHORT	Reinvestment Program		(142.86)		
	DURATION INCOME FD CL F					
<b>Subtotal (Taxable Dividends)</b>					<b>8,826.10</b>	<b>24,078.30</b>
<b>NET TOTAL</b>				<b>(8,270.60)</b>	<b>8,958.13</b>	<b>26,869.46</b>

» Long Term Capital Gain Distributions

4,304.73 4,304.73

The long-term capital gain distribution amounts may change due to income reclassification information provided by the issuer. In particular, distributions made by Mutual Funds, REITs and UITs often need to be reclassified as a different type of distribution (including long-term capital gain distributions) after the end of the year in which the distribution was originally paid.

### SECURITY TRANSACTIONS

#### TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	(Debit)/ Credit	Accrued Interest Earned/(Paid)
<b>Purchases</b>							
12/10	FRANKLIN BIOTECH	Purchase	303.9140	(49,999.93)		(49,999.93)	
	DISCOVERY FD CL ADV FRAC SHR QUANTITY .914 FUND SUBJECT TO RED FEE. [Redacted]			98 UNIT PRICE 164.5200			
12/16	TESLA INC	Purchase	70.0000	(25,111.80)		(25,111.80)	
	[Redacted] 01 UNIT PRICE 358.7400						
12/16	VERIZON COMMUNICATNS COM	Purchase	407.0000	(24,990.53)		(24,990.53)	
	[Redacted] 04 UNIT PRICE 61.4018						
12/23	ADVNC D MICRO D INC	Purchase	1,200.0000	(51,753.48)		(51,753.48)	
	[Redacted] 07 UNIT PRICE 43.1279						
<b>Subtotal (Purchases)</b>				<b>(151,855.74)</b>		<b>(151,855.74)</b>	
<b>Sales</b>							
12/10	■ PERSHING SQUARE HOLDINGS	Sale	-5,555.0000	101,189.32	(2.09)	101,187.23	

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NINA FISCHMAN

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24-Hour Assistance: (800) MERRILL

## YOUR CMA TRANSACTIONS

November 30, 2019 - December 31, 2019

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	(Debit)/ Credit	Accrued Interest Earned/(Paid)
<b>Sales</b>							
	USD PAR ORDINARY [Redacted]	UNIT PRICE	18.2159				
12/31	■ FRANKLIN BIOTECH	Sale	-331.4930	51,335.01		51,335.01	
	DISCOVERY FD CL ADV FRAC SHR QUANTITY .493 [Redacted]	98 UNIT PRICE	154.8600				
	<b>Subtotal (Sales)</b>			<b>152,524.33</b>	<b>(2.09)</b>	<b>152,522.24</b>	
	<b>TOTAL</b>			<b>668.59</b>	<b>(2.09)</b>	<b>666.50</b>	
	<b>TOTAL SECURITY PURCHASES/(DEBITS)</b>					<b>(151,855.74)</b>	
	<b>TOTAL SECURITY SALES/CREDITS</b>					<b>152,522.24</b>	

## REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) ☼ This Statement	Year to Date
<b>Subtotal (Long-Term)</b>							(249.44)
PERSHING SQUARE HOLDINGS	5555.0000	07/03/19	12/06/19	101,187.23	100,140.54	1,046.69	
FRANKLIN BIOTECH	303.9140	12/09/19	12/30/19	47,064.13	49,999.93	(2,935.80)	
FRANKLIN BIOTECH	27.5790	12/18/19	12/30/19	4,270.88	4,304.73	(33.85)	
<b>Subtotal (Short-Term)</b>						(1,922.96)	9,097.99
<b>TOTAL</b>				<b>152,522.24</b>	<b>154,445.20</b>	<b>(1,922.96)</b>	<b>8,848.55</b>

☼ - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2019 tax return. These reportable transactions will appear on your January statement.

## ADVISORY AND OTHER FEES

Date	Fee Type	Quantity	Description	Debit	Credit
12/03	Advisory Program Fee		INV. ADVISORY FEE DEC	1,481.79	
	<b>NET TOTAL</b>			<b>1,481.79</b>	

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## YOUR CMA MONEY ACCOUNT TRANSACTIONS

November 30, 2019 - December 31, 2019

<i>Date</i>	<i>Description</i>	<i>Withdrawals</i>	<i>Deposits</i>	<i>Date</i>	<i>Description</i>	<i>Withdrawals</i>	<i>Deposits</i>
12/02	ML BANK DEPOSIT PROGRAM		2.00	12/13	ML BANK DEPOSIT PROGRAM		50,000.00
12/03	ML BANK DEPOSIT PROGRAM	1,482.00		12/16	ML BANK DEPOSIT PROGRAM	50,102.00	
12/10	ML BANK DEPOSIT PROGRAM	28,813.00		12/19	PREFERRED DEPOSIT	51,000.00	
12/10	PREFERRED DEPOSIT		80,000.00	12/20	ML BANK DEPOSIT PROGRAM		51,000.00
12/12	PREFERRED DEPOSIT	50,000.00		12/23	ML BANK DEPOSIT PROGRAM	51,198.00	
<b>NET TOTAL</b>						<b>51,593.00</b>	

## Customer Service

Please promptly report any inaccuracy, discrepancy, and/or concern by calling Wealth Management Client Support at (800-MERRILL) within ten (10) business days after delivery of or communication of the account statement. You should re-confirm any oral communications in writing to protect your rights.

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Bank of America Merrill Lynch is the marketing name for the global banking and global markets businesses of BAC. Lending, derivatives, and other commercial banking activities are performed globally by banking affiliates of BAC, including Bank of America, N.A., member Federal Deposit Insurance Corporation (FDIC). Securities, strategic advisory, and other investment banking activities are performed globally by investment banking affiliates of BAC ("Investment Banking Affiliates"), including, in the United States, MLPF&S and Merrill Lynch Professional Clearing Corp., all of which are registered broker dealers and members of Financial Industry Regulatory Authority (FINRA) and

Securities Investor Protection Corporation (SIPC), and, in other jurisdictions, locally registered entities.

Investment products offered by Investment Banking Affiliates, including MLPF&S, ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE.

## Additional Information

We will route your equity and option orders to market centers consistent with our duty of best execution.

Except for certain custodial accounts, we hold bonds and preferred stocks in bulk segregation. If there is a partial call for those securities, securities will be randomly selected from those held in bulk. The probability of your holdings being selected is proportional to the total number of customer holdings of that particular security that we hold.

This statement serves as a confirmation of certain transactions during the period permitted to be reported periodically. Additional information, including the time of execution for any trade, is available upon written request.

In accordance with applicable law, rules and regulations, your free credit balance is not segregated and we can use these funds in our business. Your free credit balance is the amount of funds payable upon your demand. You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

For clients enrolled in a sweep program, the balance in any bank deposit account or shares of any money market mutual fund in which you have a beneficial interest can be withdrawn or liquidated on your order and the proceeds returned to your securities account or remitted to you.

You will have the right to vote full shares and we may solicit voting instructions concerning these full shares in your account. Voting shares in your account will be governed by the then current rules and policies of FINRA and the Securities Exchange Commission or other applicable exchanges or regulatory bodies.

All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearinghouse, if any, where the transactions are executed, and if not executed on any exchange, FINRA.

You may obtain an investor brochure that includes information describing the FINRA Regulation Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or your broker contact the FINRA Regulation Public Disclosure Program Hotline at (800)289-9999 or access the FINRA website

at [www.finra.org](http://www.finra.org)

We receive a fee from ISA® banks of up to 2% per annum of the average daily balances. We receive a fee from our affiliated banks of up to \$100 per annum for each account that sweeps balances to the banks under the RASP<sup>SM</sup> and ML bank deposit programs. We also receive a fee from Bank of America, N.A. based on the average daily Preferred Deposit® and Preferred Deposit for Business® balances.

## Options Customers

For all customers, including those who own options, please promptly advise us of any material change in your investment objectives or financial condition. Individual options commission charges have been included in your confirmation. You may request a summary of this information.

## Margin Customers

If this statement is for a margin account, it is a combined statement of your margin account and special memorandum account maintained for you pursuant to applicable regulations. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request. You should retain this statement for use with your next statement to calculate interest charges, if any, for the period covered by this statement. The interest charge period will parallel the statement period, except that interest due for the final day of the statement period will be carried over and appear on your next statement.

## Coverage for your Account

The Securities Investor Protection Corporation (SIPC) and our excess-SIPC insurance policy do not cover commodities futures contracts, fixed annuity contracts, hedge funds, private equity funds, commodity pools and other investment contracts (such as limited partnerships) that are not registered with the US Securities Exchange Commission, precious metals, other assets that are not securities, as defined by SIPC, and assets that are not held at MLPF&S, such as cash on deposit at Bank of America, N.A. or Bank of America California, N.A. (Merrill Lynch affiliated banks) or other depository institutions. Those bank deposits are protected by the FDIC up to applicable limits. MLPF&S is not a bank. Unless otherwise disclosed, INVESTMENTS THROUGH MLPF&S ARE NOT FDIC INSURED, ARE NOT BANK GUARANTEED AND MAY LOSE VALUE. To obtain information about SIPC, including the SIPC Brochure, contact SIPC at <http://www.sipc.org> or (202)371-8300.

### **Fixed Income Securities**

Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates. These values assume standard market conditions, are not firm bids or offers and may vary from prices achieved in actual transactions, especially for thinly traded securities. These values are generally for transactions of \$1 million or more, which often reflect more favorable pricing than transactions in smaller amounts. You may pay more than these values if you purchase smaller amounts of securities, or receive less if you sell smaller amounts of securities.

### **Prices and Valuations**

While we believe our pricing information to be reliable, we cannot guarantee its accuracy. Pricing information provided for certain thinly traded securities may be stale.

Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates.

Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate trusts which are not listed on any exchange), and alternative investments (e.g., commodity pools, private equity funds, private debt funds, and hedge funds) are generally illiquid investments. No formal trading market exists for these securities and their current values will likely be different from the purchase price. Unless otherwise indicated, and except for certain alternative investment funds sponsored by affiliates of MLPF&S, the value shown on this statement for an investment in these securities has been provided by the management, administrator or sponsor of each program or a third-party vendor, in each case without independent verification by MLPF&S. The values shown may not reflect actual market value or be realized upon a sale. If an estimated value is not provided, accurate valuation information is not available.

### **Cost Data/Realized Capital Gains & Losses**

Cost Data and Realized Capital Gains/Losses are provided in this statement for informational purposes only. Please review for accuracy. Merrill Lynch is not responsible for omitted or restated data. Please consult your tax advisor to determine the tax consequences of your securities transactions. Your statement is not an official accounting of gains/losses. Please refer to your records, trade confirmations, and your Consolidated Tax Reporting Statement (Form 1099).

### **Insurance Policies and Annuity Contracts**

Information is based on data from the issuing insurer. We are not responsible for the calculation of policy/contract values. Insurance policies and annuity contracts are generally not held in your MLPF&S account. If we, as custodian or trustee, hold an annuity contract that is a security, SIPC and excess-SIPC coverage apply.

### **Estimated Annual Income and Current Yield**

Estimated Annual Income and Current Yield for certain types of securities could include a return of principal or capital gains in which case the Estimated Annual Income and Current Yield would be overstated. Estimated Annual Income and Current Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Current Yield is based upon Estimated Annual Income and the current price of the security and will fluctuate.

### **Market-Linked Investments (MLI)**

MLIs are debt securities or Certificates of Deposit linked to an underlying reference asset. They are reflected on your statement by their underlying reference asset – equities (e.g., stocks, ETFs, equity indices), alternative investments (e.g., commodities, currencies), or fixed income (e.g., interest rates). This classification method illustrates your asset allocation.

### **Symbols and Abbreviations**

☐	Interest reported to the IRS
■	Gross Proceeds reported to the IRS
*	Dividends reported to the IRS
:	Transactions reported to the IRS
OCC	Options Clearing Corporation
#	Transaction you requested same day payment. Prior day's dividend retained to offset cost of advancing payment on your behalf
N/A	Price, value and/or cost data not available
N/C	Not-Calculated
N/N	Non-negotiable securities
N/O	Securities registered in your name
N/O CUST	Non-negotiable securities registered in the name of the custodian
↑ ↓	Indicates that BofA Merrill Lynch Research has upgraded (↑) or downgraded (↓) its fundamental equity opinion on a security.

Primary Account: [Redacted] 7155

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

If you have questions on your statement,  
call 24-Hour Assistance:  
(800) MERRILL  
(800) 637-7455

Investment Advice and Guidance:  
Call Your Financial Advisor

Your Financial Advisor:  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK NY 11021-5306  
alexander.fischman@ml.com  
1-800-876-8770

Up-to-date account information can be viewed  
at: [www.mymerrill.com](http://www.mymerrill.com), where your statements  
are archived for three or more years.

Questions about MyMerrill? Click the "help" tab  
at the top of the screen once you log in.

## WEALTH MANAGEMENT REPORT

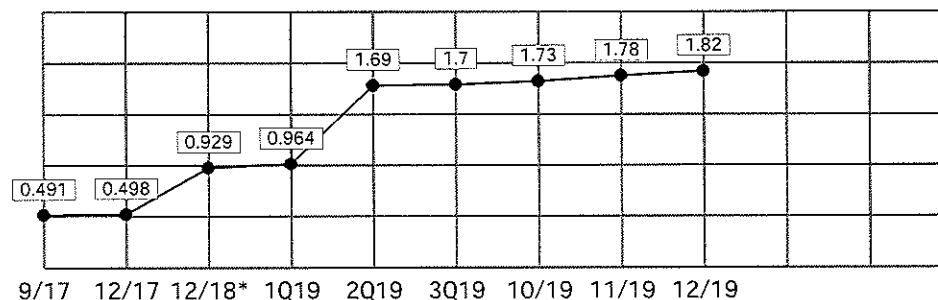
November 30, 2019 - December 31, 2019

### PORTFOLIO SUMMARY

	December 31	November 29	Month Change
<b>Net Portfolio Value</b>	<b>\$1,820,854.77</b>	<b>\$1,778,253.80</b>	<b>\$42,600.97 ▲</b>
Your assets	\$1,820,854.77	\$1,778,253.80	\$42,600.97 ▲
Your liabilities	-	-	
Your Net Cash Flow (Inflows/Outflows)	(\$1,481.79)	(\$1,442.39)	
Securities You Transferred In/Out	-	-	
<b>Subtotal Net Contributions</b>	<b>(\$1,481.79)</b>	<b>(\$1,442.39)</b>	
Your Dividends/Interest Income	\$8,958.13	\$1,172.47	
Your Market Gains/(Losses)	\$35,124.63	\$47,653.89	
<b>Subtotal Investment Earnings</b>	<b>\$44,082.76</b>	<b>\$48,826.36</b>	

\* Link relationship change.

Total Value (Net Portfolio Value plus Assets Not Held/Valued By MLPF&S, if any) in millions, 2017-2019



### LOOKING FOR YOUR TAX DOCUMENTS?

We will begin mailing the 1099 tax reporting statement to eligible clients during the last week of January. Most statements will be mailed or posted online before February 28. Sign up for online delivery to get your tax documents as soon as they become available.

Merrill Lynch, Pierce, Fenner & Smith Incorporated (also referred to as "MLPF&S" or "Merrill") makes available certain investment products sponsored, managed, distributed or provided by companies that are affiliates of Bank of America Corporation (BoFA Corp). MLPF&S is a registered broker-dealer, Member SIPC and a wholly owned subsidiary of BoFA Corp.

Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

Primary Account: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## ■ YOUR ACCOUNTS

November 30, 2019 - December 31, 2019

	Account No.	Account Type/Managing Firm	December 31	November 29	Page
■	<b>INVESTMENTS &amp; CASH MANAGEMENT</b>				
	NINA FISCHMAN	[Redacted] 7155 CMA/Investment Advisory Program	<b>1,820,854.77</b>	1,778,253.80	<b>6</b>

### ■ RETIREMENT

*Looking for opportunities to help make your money last longer in retirement? Talk with your advisor today.*

### ■ CREDIT & LENDING

*Do you need solutions for unexpected liquidity needs? Ask your advisor.*

### ■ ESTATE PLANNING SERVICES

*If you haven't reviewed your wealth transfer plans recently, now is the time. Call your advisor today.*

### ■ SOLUTIONS FOR BUSINESS

*Consider a Working Capital Management Account® to help manage business cash flow. Talk to your advisor.*

*All brokerage accounts are held at Merrill Lynch, Pierce, Fenner & Smith Incorporated, Member SIPC. Bank deposits are held at Merrill Lynch affiliated banks or other depository institutions and are covered by FDIC insurance up to applicable limits. They are not protected by SIPC, see the section titled "Coverage for Your Account" on the second to last page of your statement for more information.*

*These summary reports are provided for informational purposes only and contain information from accounts linked for delivery in a single package. The underlying accounts may have different owners and use of "you" or "your" in these reports refer to all owners. The enclosed separate account statements are the official record for each account.*

Primary Account: [Redacted] 7155

# YOUR BALANCE SHEET (for your Merrill accounts)

November 30, 2019 - December 31, 2019

## ASSETS

	December 31	November 29
Cash/Money Accounts	146,981.37	147,109.13
Fixed Income	147,965.96	147,995.56
Equities	834,604.40	705,334.00
Mutual Funds	690,991.63	777,711.31
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	1,820,543.36	1,778,150.00
Estimated Accrued Interest	311.41	103.80
<b>TOTAL ASSETS</b>	<b>\$1,820,854.77</b>	<b>\$1,778,253.80</b>

## LIABILITIES

Margin Loan/Debit Balance	-	-
Short Market Value	-	-
Subtotal	-	-
<b>NET PORTFOLIO VALUE</b>	<b>\$1,820,854.77</b>	<b>\$1,778,253.80</b>

## OTHER LIABILITIES (not included in Net Portfolio Value)

Loan Management Account <sup>(1)</sup>	-	-
Mortgages	-	-
Home Equity Loans	-	-
Business Loans	-	-
Subtotal	-	-
<b>TOTAL LIABILITIES</b>	<b>-</b>	<b>-</b>

<sup>(1)</sup> Secured by assets in a Merrill account

## CASH FLOW

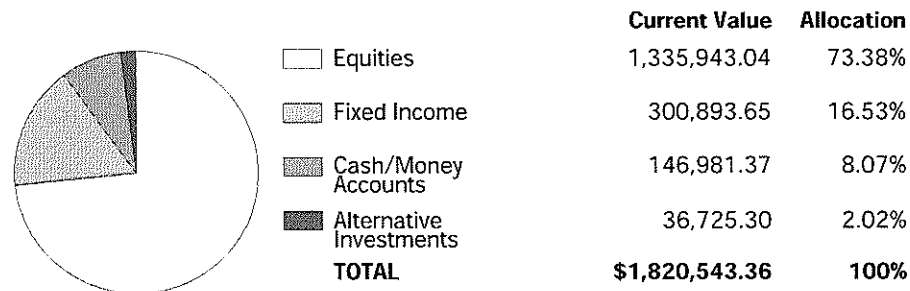
	This Report	Year to Date
<b>Opening Cash/Money Accounts</b>	<b>\$147,109.13</b>	
<b>CREDITS</b>		
Funds Received	-	694,490.59
Electronic Transfers	-	74,950.00
Other Credits	-	27,615.05
Subtotal	-	797,055.64
<b>DEBITS</b>		
Electronic Transfers	-	(150,000.00)
Margin Interest Charged	-	-
Other Debits	-	(7,000.00)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Advisory and other fees	(1,481.79)	(12,738.72)
Subtotal	(1,481.79)	(169,738.72)
<b>Net Cash Flow</b>	<b>(\$1,481.79)</b>	<b>\$627,316.92</b>
Dividends/Interest Income	8,958.13	26,869.46
Dividend Reinvestments	(8,270.60)	(22,693.17)
Security Purchases/Debits	(151,855.74)	(1,389,995.67)
Security Sales/Credits	152,522.24	749,284.38
<b>Closing Cash/Money Accounts</b>	<b>\$146,981.37</b>	
<b>Fees Included in Transactions Above</b>		
Commissions/Trading Fees	(2.09)	(13.64)

# YOUR PORTFOLIO REVIEW

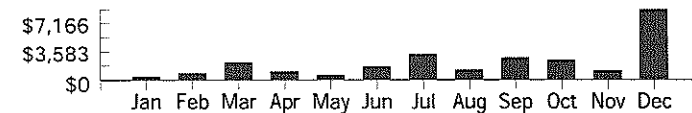
November 30, 2019 - December 31, 2019

## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



## CURRENT INCOME



	This Report	Year To Date
Tax-Exempt Interest	-	-
Taxable Interest	132.03	2,791.16
Tax-Exempt Dividends	-	-
Taxable Dividends	8,826.10	24,078.30
<b>Total</b>	<b>\$8,958.13</b>	<b>\$26,869.46</b>

**Your Estimated Annual Income** **\$26,198.49**

## BOND MATURITY SCHEDULE

Does not include Fixed Income Mutual Funds

Maturity Years	% of Total Bond Assets	Par Value	Estimated Market Value
<1	100%	148,000	147,965.96
<b>Total</b>	<b>100%</b>	<b>148,000</b>	<b>\$147,965.96</b>

## TOP FIVE PORTFOLIO HOLDINGS

Based on Estimated Market Value

	Current Value	% of Portfolio
VANGUARD 500 INDEX FUND	185,037.25	10.16%
CD TRUIST BANK	147,965.96	8.12%
INVESCO QQQ TR SER 1	131,547.97	7.22%
ISHARES S&P 500	114,283.93	6.27%
AMAZON COM INC COM	97,935.52	5.37%

Primary Account: [Redacted] 7155

## ■ YOUR MONTHLY INCOME & GAIN/(LOSS) REVIEW

November 30, 2019 - December 31, 2019

### INCOME SUMMARY

Account No.	This Report					Year to Date				
	Tax-Exempt Interest	Taxable Interest	Tax-Exempt Dividends	Taxable Dividends »	Total This Report Income	Tax-Exempt Interest	Taxable Interest	Tax-Exempt Dividends	Taxable Dividends »	Total YTD Income
Non-Retirement										
[Redacted] 7155	-	132	-	8,826	<b>8,958</b>	-	2,791	-	24,078	<b>26,869</b>
<b>TOTAL</b>	-	\$132	-	\$8,826	<b>\$8,958</b>	-	\$2,791	-	\$24,078	<b>\$26,869</b>

» Dividends may include long term capital gain distributions.

### GAIN/(LOSS) SUMMARY

Account No.	Realized Gains/(Losses)				Long Term Capital Gain Distributions	Unrealized Gains/(Losses)	
	This Report Short Term	YTD Short Term	This Report Long Term	YTD Long Term		Short Term	Long Term
Non-Retirement							
[Redacted] 7155	(1,922.96)	9,097.99	-	(249.44)	4,304.73	63,273.60	138,520.36
<b>TOTAL</b>	<b>(\$1,922.96)</b>	<b>\$9,097.99</b>	-	<b>(\$249.44)</b>	<b>\$4,304.73</b>	<b>\$63,273.60</b>	<b>\$138,520.36</b>

Online at: [www.mymerrill.com](http://www.mymerrill.com)

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

**Net Portfolio Value:** **\$1,778,253.80**

**Your Financial Advisor:**  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK NY 11021-5306  
alexander.fischman@ml.com  
1-800-876-8770

## ■ CMA® ACCOUNT

This account is enrolled in the Merrill Lynch Investment Advisory Program

November 01, 2019 - November 29, 2019

	<i>This Statement</i>	<i>Year to Date</i>
<b>Opening Value</b> (11/01)	<b>\$1,730,869.83</b>	
Total Credits	1,172.47	814,966.97
Total Debits	(1,442.39)	(168,256.93)
Securities You Transferred In/Out	-	459,294.56
Market Gains/(Losses)	47,653.89	183,040.81
<b>Closing Value</b> (11/29)	<b>\$1,778,253.80</b>	

<b>ASSETS</b>	<i>November 29</i>	<i>October 31</i>
Cash/Money Accounts	147,109.13	283,722.42
Fixed Income	147,995.56	-
Equities	705,334.00	638,081.98
Mutual Funds	777,711.31	809,065.43
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	<b>1,778,150.00</b>	<b>1,730,869.83</b>
Estimated Accrued Interest	103.80	-
<b>TOTAL ASSETS</b>	<b>\$1,778,253.80</b>	<b>\$1,730,869.83</b>

<b>LIABILITIES</b>		
Debit Balance	-	-
Short Market Value	-	-
<b>TOTAL LIABILITIES</b>	<b>-</b>	<b>-</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$1,778,253.80</b>	<b>\$1,730,869.83</b>



This statement is eligible for online delivery. Go to [ml.com/gopaperless](http://ml.com/gopaperless) or scan this code with your phone's camera to get started.

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Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

## CMA® ACCOUNT

November 01, 2019 - November 29, 2019

## CASH FLOW

	<i>This Statement</i>	<i>Year to Date</i>
<b>Opening Cash/Money Accounts</b>	<b>\$283,722.42</b>	
<b>CREDITS</b>		
Funds Received	-	694,490.59
Electronic Transfers	-	74,950.00
Other Credits	-	27,615.05
<i>Subtotal</i>	-	797,055.64
<b>DEBITS</b>		
Electronic Transfers	-	(150,000.00)
Margin Interest Charged	-	-
Other Debits	-	(7,000.00)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Advisory and other fees	(1,442.39)	(11,256.93)
<i>Subtotal</i>	<b>(1,442.39)</b>	<b>(168,256.93)</b>
<b>Net Cash Flow</b>	<b>(\$1,442.39)</b>	<b>\$628,798.71</b>

## OTHER TRANSACTIONS

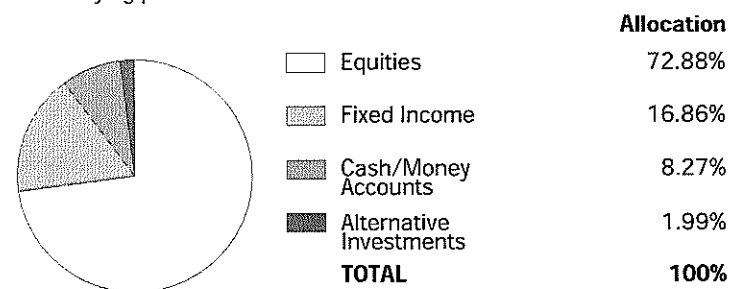
Dividends/Interest Income	1,172.47	17,911.33
Dividend Reinvestments	(991.90)	(14,422.57)
Security Purchases/Debits	(228,982.16)	(1,238,139.93)
Security Sales/Credits	93,630.69	596,762.14
<b>Closing Cash/Money Accounts</b>	<b>\$147,109.13</b>	

## Fees Included in Transactions Above

Commissions/Trading Fees	(1.95)	(11.55)
--------------------------	--------	---------

## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



## DOCUMENT PREFERENCES THIS PERIOD

	<i>Mail</i>	<i>Online Delivery</i>
Statements	X	
Performance Reports	X	
Trade Confirms	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	

NINA FISCHMAN

Account Number: [Redacted] 7155

## ACCOUNT INVESTMENT OBJECTIVE

November 01, 2019 - November 29, 2019

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## INVESTMENT ADVISORY PROGRAM

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

## YOUR CMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	26,959	29,588	.25	5.87	40,171
<b>TOTAL ML Bank Deposit Program</b>	26,959			5.87	40,171

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
Bank of America, N.A.	256,762	168,830	1.31	174.70	106,936
<b>TOTAL Preferred Deposit</b>	256,762			174.70	106,936

NINA FISCHMAN

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

November 01, 2019 - November 29, 2019

CASH/MONEY ACCOUNTS		Total	Estimated	Estimated	Estimated	Est. Annual
Description	Quantity	Cost Basis	Market Price	Market Value	Annual Income	Yield%
CASH	2.13	2.13		2.13		
+ML BANK DEPOSIT PROGRAM +FDIC INSURED NOT SIPC COVERED	40,171.00	40,171.00	1.0000	40,171.00	100	.25
+PREFERRED DEPOSIT +FDIC INSURED NOT SIPC COVERED	106,936.00	106,936.00	1.0000	106,936.00	1,401	1.31
<b>TOTAL</b>		147,109.13		147,109.13	1,501	1.02

CDs/EQUIVALENTS			Adjusted/Total	Estimated	Estimated	Unrealized	Estimated	Estimated
Description	Acquired	Quantity	Cost Basis	Market Price	Market Value	Gain/(Loss)	Accrued Interest	Annual Income
CD BB&T BANK BK & TR CO WINSTON SALEM, NC 01.600% MAY 13 2020 CUSIP: 105133GP1 CURRENT YIELD 1.60%	11/05/19	148,000	147,926.00	99.9970	147,995.56	69.56	103.80	2,368
TOTAL	YIELD 1.60%	148,000	147,926.00		147,995.56	69.56	103.80	2,368

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES				Unit	Total	Estimated	Estimated	Unrealized	Estimated
Description	Symbol	Acquired	Quantity	Cost Basis	Cost Basis	Market Price	Market Value	Gain/(Loss)	Annual Income
AMAZON COM INC COM	AMZN	11/27/17	35.0000	1,207.9362	42,277.77	1,800.8000	63,028.00	20,750.23	
		01/16/19	11.0000	1,702.0445	18,722.49	1,800.8000	19,808.80	1,086.31	
		05/09/19	7.0000	1,887.8000	13,214.60	1,800.8000	12,605.60	(609.00)	
		Subtotal	53.0000		74,214.86		95,442.40	21,227.54	
APPLE INC	AAPL	11/27/17	213.0000	175.8575	37,457.66	267.2500	56,924.25	19,466.59	657
CURRENT YIELD 1.15%		02/16/18	1.0000	175.9100	175.91	267.2500	267.25	91.34	4
		05/18/18	1.0000	180.7100	180.71	267.2500	267.25	86.54	4
		05/18/18	1.0000	191.0200	191.02	267.2500	267.25	76.23	4
		08/17/18	1.0000	203.1200	203.12	267.2500	267.25	64.13	4
		08/17/18	1.0000	214.5500	214.55	267.2500	267.25	52.70	4
		11/16/18	1.0000	190.5300	190.53	267.2500	267.25	76.72	4
		02/15/19	1.0000	190.0400	190.04	267.2500	267.25	77.21	4

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Account Number: [Redacted] 7155

## YOUR CMA ASSETS

November 01, 2019 - November 29, 2019

<b>EQUITIES</b> (continued)								
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual Income
APPLE INC	AAPL	02/15/19	1.0000	171.4700	171.47	267.2500	267.25	95.78 4
		05/09/19	50.0000	198.3414	9,917.07	267.2500	13,362.50	3,445.43 154
		05/17/19	0.9165	173.2896	158.82	267.2500	244.93	86.11 3
		05/17/19	2.0000	187.0500	374.10	267.2500	534.50	160.40 7
		08/14/19	1.0357	203.6497	210.92	267.2500	276.79	65.87 4
		11/13/19	0.8019	264.0104	211.71	267.2500	214.31	2.60 3
<i>Subtotal</i>			<b>275.7541</b>		<b>49,847.63</b>		<b>73,695.28</b>	<b>23,847.65 860</b>
BEYOND MEAT INC REG SHS	BYND	10/29/19	230.0000	87.1778	20,050.90	82.9600	19,080.80	(970.10)
BOEING COMPANY	BA	11/19/19	55.0000	372.3780	20,480.79	366.1800	20,139.90	(340.89) 453
<i>CURRENT YIELD 2.24%</i>								
CATERPILLAR INC DEL	CAT	09/09/19	158.0000	125.6800	19,857.44	144.7300	22,867.34	3,009.90 651
<i>CURRENT YIELD 2.84%</i>		11/19/19	1.1426	142.4295	162.74	144.7300	165.37	2.63 5
<i>Subtotal</i>			<b>159.1426</b>		<b>20,020.18</b>		<b>23,032.71</b>	<b>3,012.53 656</b>
CITIGROUP INC COM NEW	C	08/14/19	160.0000	61.6500	9,864.00	75.1200	12,019.20	2,155.20 327
<i>CURRENT YIELD 2.71%</i>		11/21/19	1.1011	74.1077	81.60	75.1200	82.71	1.11 3
<i>Subtotal</i>			<b>161.1011</b>		<b>9,945.60</b>		<b>12,101.91</b>	<b>2,156.31 330</b>
COSTCO WHOLESALE CRP DEL	COST	09/26/19	70.0000	288.0940	20,166.58	299.8100	20,986.70	820.12 182
<i>CURRENT YIELD 0.86%</i>		11/14/19	0.1490	305.3691	45.50	299.8100	44.67	(0.83) 1
<i>Subtotal</i>			<b>70.1490</b>		<b>20,212.08</b>		<b>21,031.37</b>	<b>819.29 183</b>
DISNEY (WALT) CO COM STK	DIS	05/08/19	124.0000	134.8500	16,721.40	151.5800	18,795.92	2,074.52 219
<i>CURRENT YIELD 1.16%</i>		07/25/19	0.4971	143.4319	71.30	151.5800	75.35	4.05 1
		07/25/19	1.0000	143.4200	143.42	151.5800	151.58	8.16 2
<i>Subtotal</i>			<b>125.4971</b>		<b>16,936.12</b>		<b>19,022.85</b>	<b>2,086.73 222</b>
FACEBOOK INC	FB	10/29/19	157.0000	189.6440	29,774.12	201.6400	31,657.48	1,883.36
<i>CLASS A COMMON STOCK</i>								
FORTINET INC	FTNT	11/12/19	200.0000	99.1050	19,821.00	105.1100	21,022.00	1,201.00

## YOUR CMA ASSETS

November 01, 2019 - November 29, 2019

<b>EQUITIES</b> (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
GOLDMAN SACHS GROUP INC	GS	01/16/19	103.0000	189.2339	19,491.10	221.3500	22,799.05	3,307.95	516
CURRENT YIELD 2.25%		05/10/19	40.0000	200.0445	8,001.78	221.3500	8,854.00	852.22	200
		06/28/19	1.0000	198.8800	198.88	221.3500	221.35	22.47	5
		06/28/19	0.0268	203.3582	5.45	221.3500	5.93	.48	1
		09/26/19	0.8579	209.8496	180.03	221.3500	189.90	9.87	5
<b>Subtotal</b>			<b>144.8847</b>		<b>27,877.24</b>		<b>32,070.23</b>	<b>4,192.99</b>	<b>727</b>
HCA HEALTHCARE INC	HCA	08/21/19	200.0000	123.5213	24,704.26	138.6600	27,732.00	3,027.74	320
CURRENT YIELD 1.15%		09/27/19	0.6728	118.9060	80.00	138.6600	93.29	13.29	2
<b>Subtotal</b>			<b>200.6728</b>		<b>24,784.26</b>		<b>27,825.29</b>	<b>3,041.03</b>	<b>322</b>
JPMORGAN CHASE & CO	JPM	06/15/18	111.0000	107.2699	11,906.96	131.7600	14,625.36	2,718.40	400
CURRENT YIELD 2.73%		11/02/18	1.0000	112.7700	112.77	131.7600	131.76	18.99	4
		02/01/19	1.0000	105.1800	105.18	131.7600	131.76	26.58	4
		05/01/19	1.0000	112.9800	112.98	131.7600	131.76	18.78	4
		05/01/19	0.0078	115.3846	0.90	131.7600	1.03	.13	1
		05/10/19	100.0000	111.6455	11,164.55	131.7600	13,176.00	2,011.45	360
		07/30/19	1.4858	115.2308	171.21	131.7600	195.77	24.56	6
		10/30/19	1.5604	124.2886	193.94	131.7600	205.60	11.66	6
<b>Subtotal</b>			<b>217.0540</b>		<b>23,768.49</b>		<b>28,599.04</b>	<b>4,830.55</b>	<b>785</b>
MCDONALDS CORP COM	MCD	04/30/19	152.0000	197.3300	29,994.16	194.4800	29,560.96	(433.20)	761
CURRENT YIELD 2.57%		05/10/19	40.0000	197.9352	7,917.41	194.4800	7,779.20	(138.21)	200
		06/18/19	0.0861	205.1103	17.66	194.4800	16.74	(0.92)	1
		06/18/19	1.0000	205.0700	205.07	194.4800	194.48	(10.59)	5
		09/16/19	1.0770	207.9665	223.98	194.4800	209.45	(14.53)	6
		10/31/19	100.0000	197.3499	19,734.99	194.4800	19,448.00	(286.99)	501
<b>Subtotal</b>			<b>294.1631</b>		<b>58,093.27</b>		<b>57,208.83</b>	<b>(884.44)</b>	<b>1,474</b>
MICROSOFT CORP	MSFT	07/22/19	200.0000	138.8262	27,765.24	151.3800	30,276.00	2,510.76	408
CURRENT YIELD 1.34%		09/11/19	0.6684	137.6421	92.00	151.3800	101.18	9.18	2
<b>Subtotal</b>			<b>200.6684</b>		<b>27,857.24</b>		<b>30,377.18</b>	<b>2,519.94</b>	<b>410</b>

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Account Number: [Redacted] 7155

## YOUR CMA ASSETS

November 01, 2019 - November 29, 2019

<b>EQUITIES</b> (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
MSCI INC	MSCI	07/02/19	75.0000	243.6668	18,275.01	259.1900	19,439.25	1,164.24	204
CLASS A		08/29/19	0.2149	237.3196	51.00	259.1900	55.70	4.70	1
CURRENT YIELD 1.04%									
		11/26/19	0.1959	261.1026	51.15	259.1900	50.78	(0.37)	1
Subtotal			75.4108		18,377.16		19,545.73	1,168.57	206
NIKE INC CL B	NKE	03/19/19	285.0000	87.5825	24,961.04	93.4900	26,644.65	1,683.61	280
CURRENT YIELD 1.04%		05/10/19	100.0000	82.8946	8,289.46	93.4900	9,349.00	1,059.54	98
		07/02/19	0.9911	85.4605	84.70	93.4900	92.66	7.96	1
		09/27/19	0.9178	92.5256	84.92	93.4900	85.81	.89	1
Subtotal			386.9089		33,420.12		36,172.12	2,752.00	380
PEPSICO INC	PEP	09/12/19	150.0000	137.6151	20,642.27	135.8300	20,374.50	(267.77)	573
CURRENT YIELD 2.81%									
SNAP INC CL A	SNAP	09/20/19	575.0000	16.9668	9,755.91	15.2500	8,768.75	(987.16)	
TARGET CORP COM	TGT	07/23/19	285.0000	87.9418	25,063.44	125.0100	35,627.85	10,564.41	753
CURRENT YIELD 2.11%		09/09/19	1.7527	107.3201	188.10	125.0100	219.11	31.01	5
Subtotal			286.7527		25,251.54		35,846.96	10,595.42	758
TJX COS INC NEW	TJX	08/20/19	300.0000	50.8170	15,245.10	61.1300	18,339.00	3,093.90	276
CURRENT YIELD 1.50%									
UBER TECHNOLOGIES INC	UBER	11/20/19	750.0000	28.0258	21,019.38	29.6000	22,200.00	1,180.62	
VISA INC CL A SHRS	V	07/02/19	172.0000	174.2337	29,968.20	184.5100	31,735.72	1,767.52	207
CURRENT YIELD 0.65%		08/30/19	0.2382	180.5205	43.00	184.5100	43.95	.95	1
Subtotal			172.2382		30,011.20		31,779.67	1,768.47	208
<b>TOTAL</b>					617,406.46		705,334.00	87,927.54	8,823
YIELD 1.25%									

<b>MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs</b>									
Description		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
INVESCO QQQ TR SER 1		617.3933	109,422.13	205.1000	126,627.36	17,205.23	108,099	18,528	954
CURRENT YIELD 0.75%									

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## YOUR CMA ASSETS

November 01, 2019 - November 29, 2019

MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
SYMBOL: QQQ Initial Purchase: 03/13/18 Equity 100%								
ISHARES 1-3 YEAR TREASURY BOND ETF CURRENT YIELD 2.09% SYMBOL: SHY Initial Purchase: 08/09/18 Fixed Income 100%	595.2556	49,606.47	84.7700	50,459.83	853.36	48,575	1,884	1,057
ISHARES 0-5 YEAR HIGH CORPORATE BOND ETF CURRENT YIELD 5.45% SYMBOL: SHYG Initial Purchase: 08/09/18 Fixed Income 100%	731.9855	34,174.81	46.1200	33,759.17	(415.64)	32,517	1,242	1,842
ISHARES INTEREST RATE HEDGED HIGH YIELD BOND ETF CURRENT YIELD 5.69% SYMBOL: HYGH Initial Purchase: 08/09/18 Fixed Income 100%	184.7556	16,922.75	88.2562	16,305.84	(616.91)	16,325	(19)	929
ISHARES S&P 500 INDEX FUND CL INSTL CURRENT YIELD 1.70% SYMBOL: BSPIX Initial Purchase: 08/31/17 Equity 100%	296.6800	88,089.02	373.9800	110,952.39	22,863.37	84,567	26,385	1,887
LORD ABBETT SHORT DURATION INCOME FD CL F CURRENT YIELD 3.77% SYMBOL: LDLFX Initial Purchase: 05/02/19 Fixed Income 100%	12,181.1800	50,923.93	4.2100	51,282.77	358.84	49,996	1,285	1,937
PERSHING SQUARE HOLDINGS USD PAR ORDINARY SYMBOL: PSHZF Initial Purchase: 07/03/19 Equity 100%	5,555.0000	100,140.54	18.6000	103,323.00	3,182.46	100,140	3,182	
SECTOR SPDR INDUSTRIAL CURRENT YIELD 1.91% SYMBOL: XLI Initial Purchase: 08/09/18	337.9455	25,634.95	82.0400	27,725.05	2,090.10	24,923	2,801	532

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## YOUR CMA ASSETS

November 01, 2019 - November 29, 2019

MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
<i>Equity 100%</i>								
SPDR GOLD TRUST SYMBOL: GLD Initial Purchase: 08/13/19 Alternative Investments 100%	257.0000	36,465.73	137.8600	35,430.02	(1,035.71)	36,465	(1,035)	
SPDR S P BIOTECH CURRENT YIELD 0.01% SYMBOL: XBI Initial Purchase: 11/08/18 Equity 100%	450.0180	38,156.38	93.6500	42,144.19	3,987.81	38,154	3,989	7
VANGUARD 500 INDEX FUND SHS ETF CURRENT YIELD 1.88% SYMBOL: VOO Initial Purchase: 10/25/17 Equity 100%	622.5591	151,360.58	288.6500	179,701.69	28,341.11	145,592	34,108	3,382
Subtotal (Fixed Income)				151,807.61				
Subtotal (Equities)				590,473.68				
Subtotal (Alternative Investments)				35,430.02				
<b>TOTAL</b> YIELD 1.61%		700,897.29		777,711.31	76,814.02		92,350	12,527
<b>LONG PORTFOLIO</b>								
		Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income		
<b>TOTAL</b> YIELD 1.42%		1,613,338.88	1,778,150.00	164,811.12	103.80	25,219		

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

## YOUR CMA ASSETS

November 01, 2019 - November 29, 2019

**Sales Charge Discounts or Waivers:** Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETNs (collectively, "ETPs"). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor; and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPF&S and its affiliates.

**Notes**

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

## YOUR CMA TRANSACTIONS

**DIVIDENDS/INTEREST INCOME TRANSACTIONS**

<i>Date</i>	<i>Description</i>	<i>Transaction Type</i>	<i>Quantity</i>	<i>Reinvestment</i>	<i>Income</i>	<i>Income Year To Date</i>
<b>Taxable Interest</b>						
11/29	BANK DEPOSIT INTEREST	Bank Interest			.87	
11/29	BANK DEPOSIT INTEREST	Bank Interest			.70	
	ML BANK DEPOSIT PROGRAM	Income Total			5.00	
	PREFERRED DEPOSIT	Income Total			174.00	
	<b>Subtotal (Taxable Interest)</b>				<b>180.57</b>	<b>2,659.13</b>
<b>Taxable Dividends</b>						
11/01	JPMORGAN CHASE & CO	Reinvestment Share(s)	1.5604			
	AGENT REINV AMOUNT \$193.94 REINV PRICE \$124.28490 QUANTITY BOT		1.5604			
11/01	LORD ABBETT SHORT	* Dividend			146.67	
	DURATION INCOME FD CL F PAY DATE 10/31/2019					
11/01	LORD ABBETT SHORT	Reinvestment Program		(146.67)		
	DURATION INCOME FD CL F					

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## YOUR CMA TRANSACTIONS

November 01, 2019 - November 29, 2019

### DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Reinvestment	Income	Income Year To Date
<b>Taxable Dividends</b>						
11/01	LORD ABBETT SHORT	Reinvestment Share(s)	34.8380			
	DURATION INCOME FD CL F AGENT REINV AMOUNT \$146.67 REINV PRICE \$4.21000 QUANTITY BOT 34.8380 AS OF 10/31					
11/07	ISHARES 1-3 YEAR	* Dividend			82.93	
	TREASURY BOND ETF HOLDING 594.2757 PAY DATE 11/07/2019					
11/07	ISHARES 1-3 YEAR	Reinvestment Program		(82.93)		
	TREASURY BOND ETF					
11/07	ISHARES 1-3 YEAR	Reinvestment Share(s)	.9799			
	TREASURY BOND ETF PRINCIPAL REINV AMOUNT \$82.93 REINV PRICE \$84.63000 QUANTITY BOT .9799					
11/07	ISHARES 0-5 YEAR HIGH	* Dividend			150.51	
	CORPORATE BOND ETF HOLDING 728.7305 PAY DATE 11/07/2019					
11/07	ISHARES 0-5 YEAR HIGH	Reinvestment Program		(150.51)		
	CORPORATE BOND ETF					
11/07	ISHARES 0-5 YEAR HIGH	Reinvestment Share(s)	3.2550			
	CORPORATE BOND ETF PRINCIPAL REINV AMOUNT \$150.51 REINV PRICE \$46.24000 QUANTITY BOT 3.2550					
11/08	ISHARES INTEREST RATE	* Dividend			59.09	
	HEDGED HIGH YIELD BOND ETF HOLDING 184.0876 PAY DATE 11/08/2019					
11/08	ISHARES INTEREST RATE	Reinvestment Program		(59.09)		
	HEDGED HIGH YIELD BOND ETF					
11/08	ISHARES INTEREST RATE	Reinvestment Share(s)	.6680			
	HEDGED HIGH YIELD BOND ETF PRINCIPAL REINV AMOUNT \$59.09 REINV PRICE \$88.46000 QUANTITY BOT .6680					
11/14	APPLE INC	* Dividend			211.71	
	HOLDING 274.9522 PAY DATE 11/14/2019					
11/14	APPLE INC	Reinvestment Program		(211.71)		
11/14	APPLE INC	Reinvestment Share(s)	.8019			
	PRINCIPAL REINV AMOUNT \$211.71 REINV PRICE \$264.00100 QUANTITY BOT .8019					
11/15	COSTCO WHOLESALE CRP DEL	* Dividend			45.50	
	HOLDING 70.0000 PAY DATE 11/15/2019					
11/15	COSTCO WHOLESALE CRP DEL	Reinvestment Program		(45.50)		

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## YOUR CMA TRANSACTIONS

November 01, 2019 - November 29, 2019

## DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)

Date	Description	Transaction Type	Quantity	Reinvestment	Income	Income Year To Date
<b>Taxable Dividends</b>						
11/15	COSTCO WHOLESALE CRP DEL	Reinvestment Share(s)	.1490			
	PRINCIPAL REINV AMOUNT \$45.50 REINV PRICE \$305.31000 QUANTITY BOT .1490					
11/20	CATERPILLAR INC DEL	* Dividend			162.74	
	HOLDING 158.0000 PAY DATE 11/20/2019					
11/20	CATERPILLAR INC DEL	Reinvestment Program		(162.74)		
11/20	CATERPILLAR INC DEL	Reinvestment Share(s)	1.1426			
	PRINCIPAL REINV AMOUNT \$162.74 REINV PRICE \$142.43500 QUANTITY BOT 1.1426					
11/22	CITIGROUP INC COM NEW	* Dividend			81.60	
	HOLDING 160.0000 PAY DATE 11/22/2019					
11/22	CITIGROUP INC COM NEW	Reinvestment Program		(81.60)		
11/22	CITIGROUP INC COM NEW	Reinvestment Share(s)	1.1011			
	PRINCIPAL REINV AMOUNT \$81.60 REINV PRICE \$74.10900 QUANTITY BOT 1.1011					
11/27	MSCI INC	* Dividend			51.15	
	CLASS A HOLDING 75.2149 PAY DATE 11/27/2019					
11/27	MSCI INC	Reinvestment Program		(51.15)		
	CLASS A					
11/27	MSCI INC	Reinvestment Share(s)	.1959			
	CLASS A PRINCIPAL REINV AMOUNT \$51.15 REINV PRICE \$261.04000 QUANTITY BOT .1959					
<b>Subtotal (Taxable Dividends)</b>					<b>991.90</b>	<b>15,252.20</b>
<b>NET TOTAL</b>				<b>(991.90)</b>	<b>1,172.47</b>	<b>17,911.33</b>

## SECURITY TRANSACTIONS

## TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	(Debit)/ Credit	Accrued Interest Earned/(Paid)
<b>Purchases</b>							
11/04	MCDONALDS CORP COM	Purchase	100.0000	(19,734.99)		(19,734.99)	
	[Redacted] 01 UNIT PRICE 197.3499						

+

NINA FISCHMAN

Account Number: [Redacted] 7155

## YOUR CMA TRANSACTIONS

November 01, 2019 - November 29, 2019

### SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	(Debit)/ Credit	Accrued Interest Earned/(Paid)
<b>Purchases</b>							
11/13	CD BB&T BANK BK & TR CO WINSTON SALEM, NC 01.600% MAY 13 2020 TRADE AS OF NET PX [Redacted] P1 UNIT PRICE 99.9500	Purchase	148,000.0000	(147,926.00)		(147,926.00)	
	11/05/19 WHEN, AS AND IF ISSUED. PAYS ML AFFILIATE 0.10% TED TO CLIENTS).						
11/14	FORTINET INC [Redacted] 09 UNIT PRICE 99.1050	Purchase	200.0000	(19,821.00)		(19,821.00)	
11/21	BOEING COMPANY [Redacted] 05 UNIT PRICE 372.3780	Purchase	55.0000	(20,480.79)		(20,480.79)	
11/22	UBER TECHNOLOGIES INC [Redacted] 00 UNIT PRICE 28.0258	Purchase	750.0000	(21,019.38)		(21,019.38)	
	<b>Subtotal (Purchases)</b>			<b>(228,982.16)</b>		<b>(228,982.16)</b>	
<b>Sales</b>							
11/05	■ PLANET FITNESS INC CL A [Redacted] 01 UNIT PRICE 63.2081	Sale	-333.0000	21,048.30	(.44)	21,047.86	
11/13	■ SPDR GOLD TRUST [Redacted] 07 UNIT PRICE 136.8100	Sale	-250.0000	34,202.50	(.71)	34,201.79	
11/22	■ HOME DEPOT INC [Redacted] 02 UNIT PRICE 224.3153	Sale	-96.0000	21,534.27	(.45)	21,533.82	
11/27	■ GRANITESHARES GOLD SHARES [Redacted] 01 UNIT PRICE 14.4905	Sale	-1,160.0000	16,809.05	(.35)	16,808.70	
	<b>Subtotal (Sales)</b>			<b>93,594.12</b>	<b>(1.95)</b>	<b>93,592.17</b>	
<b>Other Security Transactions</b>							
11/21	HOME DEPOT INC SALE PRICE\$221.94000 QTY SOLD .1736	Fractional Share Sale	-.1736			38.52	
	<b>Subtotal (Other Security Transactions)</b>					<b>38.52</b>	

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NINA FISCHMAN

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## YOUR CMA TRANSACTIONS

November 01, 2019 - November 29, 2019

SECURITY TRANSACTIONS (continued)  
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	(Debit)/ Credit	Accrued Interest Earned/(Paid)
<b>Other Security Transactions</b>							
<b>TOTAL</b>				<b>(135,388.04)</b>	<b>(1.95)</b>	<b>(135,351.47)</b>	
<b>TOTAL SECURITY PURCHASES/(DEBITS)</b>						<b>(228,982.16)</b>	
<b>TOTAL SECURITY SALES/CREDITS</b>						<b>93,630.69</b>	

## REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) ☼ This Statement	Year to Date
GRANITESHARES GOLD	1160.0000	08/09/18	11/25/19	16,808.70	14,027.48	2,781.22	
Subtotal (Long-Term)						2,781.22	(249.44)
HOME DEPOT INC	55.0000	02/27/19	11/20/19	12,337.08	10,144.77	2,192.31	
HOME DEPOT INC	40.0000	05/10/19	11/20/19	8,972.43	7,678.61	1,293.82	
HOME DEPOT INC	.6109	06/21/19	11/20/19	137.03	129.19	7.84	
HOME DEPOT INC	.3891	09/18/19	11/20/19	87.28	89.91	(2.63)	
HOME DEPOT INC	.1736	09/18/19	11/20/19	38.52	40.12	(1.60)	
PLANET FITNESS INC CL A	333.0000	07/02/19	11/01/19	21,047.86	25,150.92	(4,103.06)	
SPDR GOLD TRUST	117.0000	06/24/19	11/11/19	16,006.44	15,553.55	452.89	
SPDR GOLD TRUST	133.0000	08/13/19	11/11/19	18,195.35	18,871.37	(676.02)	
Subtotal (Short-Term)						(836.45)	11,020.95
<b>TOTAL</b>				<b>93,630.69</b>	<b>91,685.92</b>	<b>1,944.77</b>	<b>10,771.51</b>

☼ - Excludes transactions for which we have insufficient data

## ADVISORY AND OTHER FEES

Date	Fee Type	Quantity	Description	Debit	Credit
11/04	Advisory Program Fee		INV. ADVISORY FEE NOV	1,442.39	
<b>NET TOTAL</b>				<b>1,442.39</b>	

+

NINA FISCHMAN

Account Number: [Redacted] 7155

## YOUR CMA MONEY ACCOUNT TRANSACTIONS

November 01, 2019 - November 29, 2019

<i>Date</i>	<i>Description</i>	<i>Withdrawals</i>	<i>Deposits</i>	<i>Date</i>	<i>Description</i>	<i>Withdrawals</i>	<i>Deposits</i>
11/01	ML BANK DEPOSIT PROGRAM		1.00	11/14	ML BANK DEPOSIT PROGRAM	19,821.00	
11/04	ML BANK DEPOSIT PROGRAM	21,177.00		11/21	ML BANK DEPOSIT PROGRAM	20,481.00	
11/06	ML BANK DEPOSIT PROGRAM		21,047.00	11/22	ML BANK DEPOSIT PROGRAM		39.00
11/12	PREFERRED DEPOSIT	150,000.00		11/25	ML BANK DEPOSIT PROGRAM		514.00
11/13	ML BANK DEPOSIT PROGRAM		36,276.00	11/29	ML BANK DEPOSIT PROGRAM		16,809.00
<b>NET TOTAL</b>						<b>136,793.00</b>	

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In accordance with applicable law, rules and regulations, your free credit balance is not segregated and we can use these funds in our business. Your free credit balance is the amount of funds payable upon your demand. You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

For clients enrolled in a sweep program, the balance in any bank deposit account or shares of any money market mutual fund in which you have a beneficial interest can be withdrawn or liquidated on your order and the proceeds returned to your securities account or remitted to you.

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## Options Customers

For all customers, including those who own options, please promptly advise us of any material change in your investment objectives or financial condition. Individual options commission charges have been included in your confirmation. You may request a summary of this information.

## Margin Customers

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## Coverage for your Account

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### **Prices and Valuations**

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Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates.

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Information is based on data from the issuing insurer. We are not responsible for the calculation of policy/contract values. Insurance policies and annuity contracts are generally not held in your MLPF&S account. If we, as custodian or trustee, hold an annuity contract that is a security, SIPC and excess-SIPC coverage apply.

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Estimated Annual Income and Current Yield for certain types of securities could include a return of principal or capital gains in which case the Estimated Annual Income and Current Yield would be overstated. Estimated Annual Income and Current Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Current Yield is based upon Estimated Annual Income and the current price of the security and will fluctuate.

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### **Symbols and Abbreviations**

☐	Interest reported to the IRS
■	Gross Proceeds reported to the IRS
*	Dividends reported to the IRS
:	Transactions reported to the IRS
OCC	Options Clearing Corporation
#	Transaction you requested same day payment. Prior day's dividend retained to offset cost of advancing payment on your behalf
N/A	Price, value and/or cost data not available
N/C	Not-Calculated
N/N	Non-negotiable securities
N/O	Securities registered in your name
N/O CUST	Non-negotiable securities registered in the name of the custodian
↑ ↓	Indicates that BofA Merrill Lynch Research has upgraded (↑) or downgraded (↓) its fundamental equity opinion on a security.

Primary Account: [Redacted] 7155

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

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call 24-Hour Assistance:  
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Your Financial Advisor:  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK NY 11021-5306  
alexander.fischman@ml.com  
1-800-876-8770

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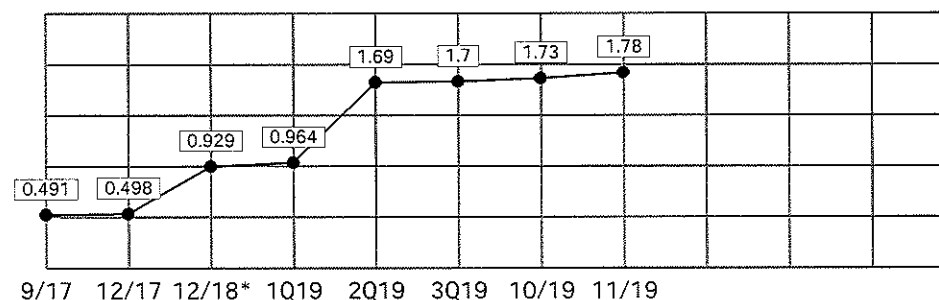
## WEALTH MANAGEMENT REPORT

November 01, 2019 - November 29, 2019

PORTFOLIO SUMMARY	November 29	October 31	Month Change
<b>Net Portfolio Value</b>	<b>\$1,778,253.80</b>	<b>\$1,730,869.83</b>	<b>\$47,383.97 ▲</b>
Your assets	\$1,778,253.80	\$1,730,869.83	\$47,383.97 ▲
Your liabilities	-	-	
Your Net Cash Flow (Inflows/Outflows)	(\$1,442.39)	(\$1,418.74)	
Securities You Transferred In/Out	-	-	
<b>Subtotal Net Contributions</b>	<b>(\$1,442.39)</b>	<b>(\$1,418.74)</b>	
Your Dividends/Interest Income	\$1,172.47	\$2,544.30	
Your Market Gains/(Losses)	\$47,653.89	\$27,251.66	
<b>Subtotal Investment Earnings</b>	<b>\$48,826.36</b>	<b>\$29,795.96</b>	

\* Link relationship change.

Total Value (Net Portfolio Value plus Assets Not Held/Valued By MLPF&S, if any) in millions, 2017-2019



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Primary Account: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## ■ YOUR ACCOUNTS

November 01, 2019 - November 29, 2019

	Account No.	Account Type/Managing Firm	November 29	October 31	Page
■	<b>INVESTMENTS &amp; CASH MANAGEMENT</b>				
	NINA FISCHMAN	[Redacted] 7155 CMA/Investment Advisory Program	<b>1,778,253.80</b>	1,730,869.83	<b>6</b>

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*These summary reports are provided for informational purposes only and contain information from accounts linked for delivery in a single package. The underlying accounts may have different owners and use of "you" or "your" in these reports refer to all owners. The enclosed separate account statements are the official record for each account.*

Primary Account: [Redacted] 7155

# ■ YOUR BALANCE SHEET (for your Merrill accounts)

November 01, 2019 - November 29, 2019

## ASSETS

	November 29	October 31
Cash/Money Accounts	147,109.13	283,722.42
Fixed Income	147,995.56	-
Equities	705,334.00	638,081.98
Mutual Funds	777,711.31	809,065.43
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	1,778,150.00	1,730,869.83
Estimated Accrued Interest	103.80	-
<b>TOTAL ASSETS</b>	<b>\$1,778,253.80</b>	<b>\$1,730,869.83</b>

## LIABILITIES

Margin Loan/Debit Balance	-	-
Short Market Value	-	-
Subtotal	-	-
<b>NET PORTFOLIO VALUE</b>	<b>\$1,778,253.80</b>	<b>\$1,730,869.83</b>

## OTHER LIABILITIES (not included in Net Portfolio Value)

Loan Management Account <sup>(1)</sup>	-	-
Mortgages	-	-
Home Equity Loans	-	-
Business Loans	-	-
Subtotal	-	-
<b>TOTAL LIABILITIES</b>	<b>-</b>	<b>-</b>

<sup>(1)</sup> Secured by assets in a Merrill account

## CASH FLOW

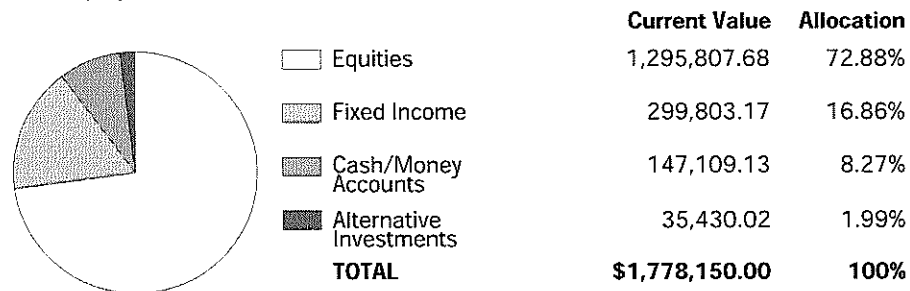
	This Report	Year to Date
<b>Opening Cash/Money Accounts</b>	<b>\$283,722.42</b>	
<b>CREDITS</b>		
Funds Received	-	694,490.59
Electronic Transfers	-	74,950.00
Other Credits	-	27,615.05
Subtotal	-	797,055.64
<b>DEBITS</b>		
Electronic Transfers	-	(150,000.00)
Margin Interest Charged	-	-
Other Debits	-	(7,000.00)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Advisory and other fees	(1,442.39)	(11,256.93)
Subtotal	(1,442.39)	(168,256.93)
<b>Net Cash Flow</b>	<b>(\$1,442.39)</b>	<b>\$628,798.71</b>
Dividends/Interest Income	1,172.47	17,911.33
Dividend Reinvestments	(991.90)	(14,422.57)
Security Purchases/Debits	(228,982.16)	(1,238,139.93)
Security Sales/Credits	93,630.69	596,762.14
<b>Closing Cash/Money Accounts</b>	<b>\$147,109.13</b>	
<b>Fees Included in Transactions Above</b>		
Commissions/Trading Fees	(1.95)	(11.55)

# YOUR PORTFOLIO REVIEW

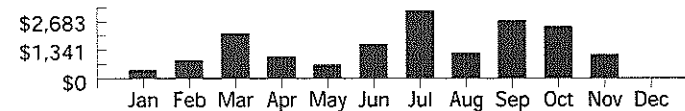
November 01, 2019 - November 29, 2019

## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



## CURRENT INCOME



	This Report	Year To Date
Tax-Exempt Interest	-	-
Taxable Interest	180.57	2,659.13
Tax-Exempt Dividends	-	-
Taxable Dividends	991.90	15,252.20
<b>Total</b>	<b>\$1,172.47</b>	<b>\$17,911.33</b>
<b>Your Estimated Annual Income</b>		<b>\$25,219.29</b>

## BOND MATURITY SCHEDULE

Does not include Fixed Income Mutual Funds

Maturity Years	% of Total Bond Assets	Par Value	Estimated Market Value
<1	100%	148,000	147,995.56
<b>Total</b>	<b>100%</b>	<b>148,000</b>	<b>\$147,995.56</b>

## TOP FIVE PORTFOLIO HOLDINGS

Based on Estimated Market Value

	Current Value	% of Portfolio
VANGUARD 500 INDEX FUND	179,701.69	10.10%
CD BB&T BANK BK & TR CO	147,995.56	8.32%
INVESCO QQQ TR SER 1	126,627.36	7.12%
ISHARES S&P 500	110,952.39	6.23%
✚PREFERRED DEPOSIT	106,936.00	6.01%
✚FDIC INSURED NOT SIPC COVERED		

Primary Account: [Redacted] 7155

## ■ YOUR MONTHLY INCOME & GAIN/(LOSS) REVIEW

November 01, 2019 - November 29, 2019

### INCOME SUMMARY

Account No.	This Report					Year to Date				
	Tax-Exempt Interest	Taxable Interest	Tax-Exempt Dividends	Taxable Dividends	Total This Report Income	Tax-Exempt Interest	Taxable Interest	Tax-Exempt Dividends	Taxable Dividends	Total YTD Income
Non-Retirement [Redacted] 7155	-	181	-	992	<b>1,172</b>	-	2,659	-	15,252	<b>17,911</b>
<b>TOTAL</b>	-	\$181	-	\$992	<b>\$1,172</b>	-	\$2,659	-	\$15,252	<b>\$17,911</b>

### GAIN/(LOSS) SUMMARY

Account No.	Realized Gains/(Losses)				Long Term Capital Gain Distributions	Unrealized Gains/(Losses)	
	This Report Short Term	YTD Short Term	This Report Long Term	YTD Long Term	Year To Date	Short Term	Long Term
Non-Retirement [Redacted] 7155	(836.45)	11,020.95	2,781.22	(249.44)	-	47,100.82	117,710.30
<b>TOTAL</b>	<b>(\$836.45)</b>	<b>\$11,020.95</b>	<b>\$2,781.22</b>	<b>(\$249.44)</b>	-	<b>\$47,100.82</b>	<b>\$117,710.30</b>

Online at: [www.mymerrill.com](http://www.mymerrill.com)

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

**Net Portfolio Value:** **\$1,730,869.83**

**Your Financial Advisor:**  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK NY 11021-5306  
[alexander.fischman@ml.com](mailto:alexander.fischman@ml.com)  
1-800-876-8770

## ■ CMA® ACCOUNT

This account is enrolled in the Merrill Lynch Investment Advisory Program

October 01, 2019 - October 31, 2019

	<i>This Statement</i>	<i>Year to Date</i>
<b>Opening Value</b> (10/01)	<b>\$1,702,492.61</b>	
Total Credits	2,544.30	813,794.50
Total Debits	(1,418.74)	(166,814.54)
Securities You Transferred In/Out	-	459,294.56
Market Gains/(Losses)	27,251.66	135,386.92
<b>Closing Value</b> (10/31)	<b>\$1,730,869.83</b>	

<b>ASSETS</b>	<i>October 31</i>	<i>September 30</i>
Cash/Money Accounts	283,722.42	295,912.37
Fixed Income	-	-
Equities	638,081.98	610,987.79
Mutual Funds	809,065.43	795,592.45
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	<b>1,730,869.83</b>	<b>1,702,492.61</b>
<b>TOTAL ASSETS</b>	<b>\$1,730,869.83</b>	<b>\$1,702,492.61</b>

<b>LIABILITIES</b>		
Debit Balance	-	-
Short Market Value	-	-
<b>TOTAL LIABILITIES</b>	<b>-</b>	<b>-</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$1,730,869.83</b>	<b>\$1,702,492.61</b>



This statement is eligible for online delivery. Go to [ml.com/gopaperless](http://ml.com/gopaperless) or scan this code with your phone's camera to get started.

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Investment products:

**Are Not FDIC Insured**

**Are Not Bank Guaranteed**

**May Lose Value**

## CMA® ACCOUNT

October 01, 2019 - October 31, 2019

## CASH FLOW

	<i>This Statement</i>	<i>Year to Date</i>
<b>Opening Cash/Money Accounts</b>	<b>\$295,912.37</b>	
<b>CREDITS</b>		
Funds Received	-	694,490.59
Electronic Transfers	-	74,950.00
Other Credits	-	27,615.05
<i>Subtotal</i>	-	797,055.64
<b>DEBITS</b>		
Electronic Transfers	-	(150,000.00)
Margin Interest Charged	-	-
Other Debits	-	(7,000.00)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Advisory and other fees	(1,418.74)	(9,814.54)
<i>Subtotal</i>	<b>(1,418.74)</b>	<b>(166,814.54)</b>
<b>Net Cash Flow</b>	<b>(\$1,418.74)</b>	<b>\$630,241.10</b>

## OTHER TRANSACTIONS

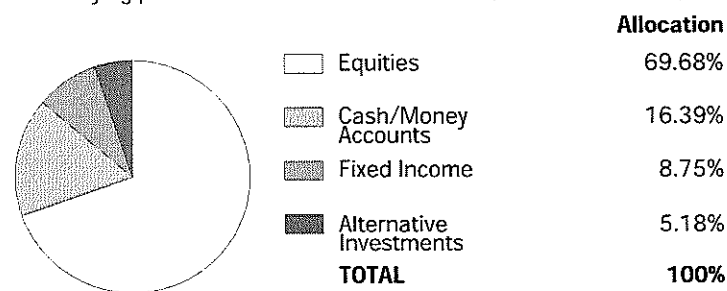
Dividends/Interest Income	2,544.30	16,738.86
Dividend Reinvestments	(2,156.37)	(13,430.67)
Security Purchases/Debits	(49,825.02)	(1,009,157.77)
Security Sales/Credits	38,665.88	503,131.45
<b>Closing Cash/Money Accounts</b>	<b>\$283,722.42</b>	

## Fees Included in Transactions Above

Commissions/Trading Fees	(0.80)	(9.60)
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## ASSET ALLOCATION\*

\* Estimated Accrued Interest not included; may not reflect all holdings; does not include asset categories less than 1%; includes the categorical values for the underlying portfolio of individual mutual funds, closed end funds, and UITs.



## DOCUMENT PREFERENCES THIS PERIOD

	<i>Mail</i>	<i>Online Delivery</i>
Statements	X	
Performance Reports	X	
Trade Confirms	X	
Shareholders Communication	X	
Prospectus	X	
Service Notices	X	
Tax Statements	X	

NINA FISCHMAN

Account Number: [Redacted] 7155

## ACCOUNT INVESTMENT OBJECTIVE

October 01, 2019 - October 31, 2019

**TOTAL RETURN:** Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## INVESTMENT ADVISORY PROGRAM

We encourage you to contact your Financial Advisor(s) if there have been any changes in your financial situation or investment objectives, or if you wish to impose any reasonable restrictions on the management of your account(s) or reasonably modify existing restrictions.

Upon your request, and at no charge, we will provide to you more detailed information regarding the Program Fees that you pay. Please contact your Financial Advisor(s) if you would like to request this detailed Account fee information.

If you would like to receive a free copy of the current Form ADV Brochure(s) for the Investment Advisory Program, please send a written request with your account number(s) to: Managed Accounts Processing and Services, 4800 Deer Lake Drive West, Building 1, 3rd Fl., Jacksonville, FL 32246. You may also obtain a copy of the Investment Advisory Program Form ADV Brochure(s) by accessing the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

As part of the Investment Advisory Program, you will be provided with periodic performance measurement reports to help you monitor and assess the performance of your account(s). Should you have any questions regarding these reports or would like performance measurement reports on a more frequent basis, please contact your Financial Advisor(s).

## YOUR CMA BANK DEPOSIT INTEREST SUMMARY

<i>Money Account Description</i>	<i>Opening Balance</i>	<i>Average Deposit Balance</i>	<i>Current Yield%</i>	<i>Interest on Deposits</i>	<i>Closing Balance</i>
Bank of America, N.A.	9,522	40,427	.40	13.71	<b>26,959</b>
<b>TOTAL ML Bank Deposit Program</b>	9,522			13.71	<b>26,959</b>

<i>Money Account Description</i>	<i>Opening Balance</i>	<i>Average Deposit Balance</i>	<i>Current Yield%</i>	<i>Interest on Deposits</i>	<i>Closing Balance</i>
Bank of America, N.A.	286,388	284,452	1.56	374.22	<b>256,762</b>
<b>TOTAL Preferred Deposit</b>	286,388			374.22	<b>256,762</b>

NINA FISCHMAN

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

October 01, 2019 - October 31, 2019

CASH/MONEY ACCOUNTS			Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%	
Description									
CASH			1.42	1.42		1.42			
+ML BANK DEPOSIT PROGRAM			26,959.00	26,959.00	1.0000	26,959.00	108	.40	
+FDIC INSURED NOT SIPC COVERED									
+PREFERRED DEPOSIT			256,762.00	256,762.00	1.0000	256,762.00	4,005	1.56	
+FDIC INSURED NOT SIPC COVERED									
TOTAL				283,722.42		283,722.42	4,113	1.45	
EQUITIES			Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
Description	Symbol	Acquired							
AMAZON COM INC COM	AMZN	11/27/17	35.0000	1,207.9362	42,277.77	1,776.6600	62,183.10	19,905.33	
		01/16/19	11.0000	1,702.0445	18,722.49	1,776.6600	19,543.26	820.77	
		05/09/19	7.0000	1,887.8000	13,214.60	1,776.6600	12,436.62	(777.98)	
Subtotal			53.0000		74,214.86		94,162.98	19,948.12	
APPLE INC	AAPL	11/27/17	213.0000	175.8575	37,457.66	248.7600	52,985.88	15,528.22	657
CURRENT YIELD 1.23%		02/16/18	1.0000	175.9100	175.91	248.7600	248.76	72.85	4
		05/18/18	1.0000	180.7100	180.71	248.7600	248.76	68.05	4
		05/18/18	1.0000	191.0200	191.02	248.7600	248.76	57.74	4
		08/17/18	1.0000	203.1200	203.12	248.7600	248.76	45.64	4
		08/17/18	1.0000	214.5500	214.55	248.7600	248.76	34.21	4
		11/16/18	1.0000	190.5300	190.53	248.7600	248.76	58.23	4
		02/15/19	1.0000	190.0400	190.04	248.7600	248.76	58.72	4
		02/15/19	1.0000	171.4700	171.47	248.7600	248.76	77.29	4
		05/09/19	50.0000	198.3414	9,917.07	248.7600	12,438.00	2,520.93	154
		05/17/19	0.9165	173.2896	158.82	248.7600	227.99	69.17	3
		05/17/19	2.0000	187.0500	374.10	248.7600	497.52	123.42	7
		08/14/19	1.0357	203.6497	210.92	248.7600	257.64	46.72	4
Subtotal			274.9522		49,635.92		68,397.11	18,761.19	857
BEYOND MEAT INC REG SHS	BYND	10/29/19	230.0000	87.1778	20,050.90	84.4500	19,423.50	(627.40)	
REG SHS									

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NINA FISCHMAN

Account Number: [Redacted] 7155

## YOUR CMA ASSETS

October 01, 2019 - October 31, 2019

<b>EQUITIES</b> (continued)								
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual Income
CATERPILLAR INC DEL CURRENT YIELD 2.98%	CAT	09/09/19	158.0000	125.6800	19,857.44	137.8000	<b>21,772.40</b>	1,914.96 <b>651</b>
CITIGROUP INC COM NEW CURRENT YIELD 2.83%	C	08/14/19	160.0000	61.6500	9,864.00	71.8600	<b>11,497.60</b>	1,633.60 <b>327</b>
COSTCO WHOLESALE CRP DEL CURRENT YIELD 0.87%	COST	09/26/19	70.0000	288.0940	20,166.58	297.1100	<b>20,797.70</b>	631.12 <b>182</b>
DISNEY (WALT) CO COM STK CURRENT YIELD 1.35%	DIS	05/08/19	124.0000	134.8500	16,721.40	129.9200	16,110.08	(611.32) 219
		07/25/19	0.4971	143.4319	71.30	129.9200	64.58	(6.72) 1
		07/25/19	1.0000	143.4200	143.42	129.9200	129.92	(13.50) 2
<i>Subtotal</i>			<b>125.4971</b>		<b>16,936.12</b>		<b>16,304.58</b>	<b>(631.54) 222</b>
FACEBOOK INC CLASS A COMMON STOCK	FB	10/29/19	157.0000	189.6440	29,774.12	191.6500	<b>30,089.05</b>	314.93
GOLDMAN SACHS GROUP INC CURRENT YIELD 2.34%	GS	01/16/19	103.0000	189.2339	19,491.10	213.3800	21,978.14	2,487.04 515
		05/10/19	40.0000	200.0445	8,001.78	213.3800	8,535.20	533.42 200
		06/28/19	1.0000	198.8800	198.88	213.3800	213.38	14.50 5
		06/28/19	0.0268	203.3582	5.45	213.3800	5.72	.27 1
		09/26/19	0.8579	209.8496	180.03	213.3800	183.06	3.03 5
<i>Subtotal</i>			<b>144.8847</b>		<b>27,877.24</b>		<b>30,915.50</b>	<b>3,038.26 726</b>
HCA HEALTHCARE INC CURRENT YIELD 1.19%	HCA	08/21/19	200.0000	123.5213	24,704.26	133.5400	26,708.00	2,003.74 320
		09/27/19	0.6728	118.9060	80.00	133.5400	89.85	9.85 2
<i>Subtotal</i>			<b>200.6728</b>		<b>24,784.26</b>		<b>26,797.85</b>	<b>2,013.59 322</b>
HOME DEPOT INC CURRENT YIELD 2.31%	HD	02/27/19	55.0000	184.4503	10,144.77	234.5800	12,901.90	2,757.13 300
		05/10/19	40.0000	191.9652	7,678.61	234.5800	9,383.20	1,704.59 218
		06/21/19	0.6109	211.4748	129.19	234.5800	143.30	14.11 4
		09/18/19	0.5627	231.0822	130.03	234.5800	132.00	1.97 4
<i>Subtotal</i>			<b>96.1736</b>		<b>18,082.60</b>		<b>22,560.40</b>	<b>4,477.80 526</b>

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NINA FISCHMAN

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

October 01, 2019 - October 31, 2019

<b>EQUITIES</b> (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
JPMORGAN CHASE & CO	JPM	06/15/18	111.0000	107.2699	11,906.96	124.9200	13,866.12	1,959.16	400
CURRENT YIELD 2.88%		11/02/18	1.0000	112.7700	112.77	124.9200	124.92	12.15	4
		02/01/19	1.0000	105.1800	105.18	124.9200	124.92	19.74	4
		05/01/19	1.0000	112.9800	112.98	124.9200	124.92	11.94	4
		05/01/19	0.0078	115.3846	0.90	124.9200	.97	.07	1
		05/10/19	100.0000	111.6455	11,164.55	124.9200	12,492.00	1,327.45	360
		07/30/19	1.4858	115.2308	171.21	124.9200	185.61	14.40	6
<i>Subtotal</i>			<b>215.4936</b>		<b>23,574.55</b>		<b>26,919.46</b>	<b>3,344.91</b>	<b>779</b>
MCDONALDS CORP COM	MCD	04/30/19	152.0000	197.3300	29,994.16	196.7000	29,898.40	(95.76)	760
CURRENT YIELD 2.54%		05/10/19	40.0000	197.9352	7,917.41	196.7000	7,868.00	(49.41)	200
		06/18/19	0.0861	205.1103	17.66	196.7000	16.94	(0.72)	1
		06/18/19	1.0000	205.0700	205.07	196.7000	196.70	(8.37)	5
		09/16/19	1.0770	207.9665	223.98	196.7000	211.85	(12.13)	6
<i>Subtotal</i>			<b>194.1631</b>		<b>38,358.28</b>		<b>38,191.89</b>	<b>(166.39)</b>	<b>972</b>
MICROSOFT CORP	MSFT	07/22/19	200.0000	138.8262	27,765.24	143.3700	28,674.00	908.76	408
CURRENT YIELD 1.42%		09/11/19	0.6684	137.6421	92.00	143.3700	95.83	3.83	2
<i>Subtotal</i>			<b>200.6684</b>		<b>27,857.24</b>		<b>28,769.83</b>	<b>912.59</b>	<b>410</b>
MSCI INC	MSCI	07/02/19	75.0000	243.6668	18,275.01	234.5600	17,592.00	(683.01)	204
CLASS A		08/29/19	0.2149	237.3196	51.00	234.5600	50.41	(0.59)	1
CURRENT YIELD 1.15%									
<i>Subtotal</i>			<b>75.2149</b>		<b>18,326.01</b>		<b>17,642.41</b>	<b>(683.60)</b>	<b>205</b>
↑ NIKE INC CL B	NKE	03/19/19	285.0000	87.5825	24,961.04	89.5500	25,521.75	560.71	251
CURRENT YIELD 0.98%		05/10/19	100.0000	82.8946	8,289.46	89.5500	8,955.00	665.54	88
		07/02/19	0.9911	85.4605	84.70	89.5500	88.75	4.05	1
		09/27/19	0.9178	92.5256	84.92	89.5500	82.19	(2.73)	1
<i>Subtotal</i>			<b>386.9089</b>		<b>33,420.12</b>		<b>34,647.69</b>	<b>1,227.57</b>	<b>341</b>
PEPSICO INC	PEP	09/12/19	150.0000	137.6151	20,642.27	137.1700	20,575.50	(66.77)	574
CURRENT YIELD 2.78%									

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NINA FISCHMAN

Account Number: [Redacted] 7155

## YOUR CMA ASSETS

October 01, 2019 - October 31, 2019

<b>EQUITIES</b> (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
PLANET FITNESS INC CL A	PLNT	07/02/19	333.0000	75.5282	25,150.92	63.6600	<b>21,198.78</b>	(3,952.14)	
↑ SNAP INC CL A	SNAP	09/20/19	575.0000	16.9668	9,755.91	15.0600	<b>8,659.50</b>	(1,096.41)	
TARGET CORP COM	TGT	07/23/19	285.0000	87.9418	25,063.44	106.9100	30,469.35	5,405.91	753
CURRENT YIELD 2.46%		09/09/19	1.7527	107.3201	188.10	106.9100	187.38	(0.72)	5
Subtotal			286.7527		25,251.54		<b>30,656.73</b>	5,405.19	<b>758</b>
TJX COS INC NEW	TJX	08/20/19	300.0000	50.8170	15,245.10	57.6500	<b>17,295.00</b>	2,049.90	<b>276</b>
CURRENT YIELD 1.59%									
VISA INC CL A SHRS	V	07/02/19	172.0000	174.2337	29,968.20	178.8600	30,763.92	795.72	207
CURRENT YIELD 0.67%		08/30/19	0.2382	180.5205	43.00	178.8600	42.60	(0.40)	1
Subtotal			172.2382		30,011.20		<b>30,806.52</b>	795.32	<b>208</b>
<b>TOTAL</b> YIELD 1.31%					578,837.18		<b>638,081.98</b>	59,244.80	<b>8,336</b>

<b>MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs</b>									
Description		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
GRANITESHARES GOLD		1,160.0000	14,027.48	15.0500	<b>17,458.00</b>	3,430.52	14,027	<b>3,430</b>	
SHARES									
SYMBOL: BAR	Initial Purchase: 08/09/18								
Alternative Investments 100%									
INVESCO QQQ TR SER 1		617.3933	109,422.13	197.0800	<b>121,675.87</b>	12,253.74	108,099	<b>13,576</b>	<b>954</b>
CURRENT YIELD 0.78%									
SYMBOL: QQQ	Initial Purchase: 03/13/18								
Equity 100%									
ISHARES 1-3 YEAR		594.2757	49,523.54	84.9500	<b>50,483.73</b>	960.19	48,575	<b>1,908</b>	<b>1,064</b>
TREASURY BOND ETF	CURRENT YIELD 2.10%								
SYMBOL: SHY	Initial Purchase: 08/09/18								
Fixed Income 100%									
ISHARES 0-5 YEAR HIGH		728.7305	34,024.30	46.2900	<b>33,732.93</b>	(291.37)	32,517	<b>1,215</b>	<b>1,861</b>
CORPORATE BOND ETF	CURRENT YIELD 5.51%								
SYMBOL: SHYG	Initial Purchase: 08/09/18								

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NINA FISCHMAN

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## YOUR CMA ASSETS

October 01, 2019 - October 31, 2019

MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
<i>Fixed Income 100%</i>								
ISHARES INTEREST RATE HEDGED HIGH YIELD BOND ETF CURRENT YIELD 5.91% SYMBOL: HYGH Initial Purchase: 08/09/18	184.0876	16,863.66	87.8600	<b>16,173.94</b>	(689.72)	16,325	<b>(151)</b>	<b>957</b>
<i>Fixed Income 100%</i>								
ISHARES S&P 500 INDEX FUND CL INSTL CURRENT YIELD 1.76% SYMBOL: BSPIX Initial Purchase: 08/31/17	296.6800	88,089.02	360.9200	<b>107,077.74</b>	18,988.72	84,567	<b>22,510</b>	<b>1,886</b>
<i>Equity 100%</i>								
LORD ABBETT SHORT DURATION INCOME FD CL F CURRENT YIELD 3.80% SYMBOL: LDLFX Initial Purchase: 05/02/19	12,146.3420	50,777.26	4.2100	<b>51,136.10</b>	358.84	49,996	<b>1,139</b>	<b>1,944</b>
<i>Fixed Income 100%</i>								
PERSHING SQUARE HOLDINGS USD PAR ORDINARY SYMBOL: PSHZF Initial Purchase: 07/03/19	5,555.0000	100,140.54	18.4400	<b>102,434.20</b>	2,293.66	100,140	<b>2,293</b>	
<i>Equity 100%</i>								
SECTOR SPDR INDUSTRIAL CURRENT YIELD 2.00% SYMBOL: XLI Initial Purchase: 08/09/18	337.9455	25,634.95	78.5100	<b>26,532.10</b>	897.15	24,923	<b>1,608</b>	<b>532</b>
<i>Equity 100%</i>								
SPDR GOLD TRUST SYMBOL: GLD Initial Purchase: 06/24/19	507.0000	70,890.65	142.4300	<b>72,212.01</b>	1,321.36	70,890	<b>1,321</b>	
<i>Alternative Investments 100%</i>								
SPDR S P BIOTECH CURRENT YIELD 0.01% SYMBOL: XBI Initial Purchase: 11/08/18	450.0180	38,156.38	81.6300	<b>36,734.97</b>	(1,421.41)	38,154	<b>(1,419)</b>	<b>7</b>
<i>Equity 100%</i>								
VANGUARD 500 INDEX FUND	622.5591	151,360.58	278.5500	<b>173,413.84</b>	22,053.26	145,592	<b>27,820</b>	<b>3,382</b>

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NINA FISCHMAN

Account Number: [Redacted] 7155

## YOUR CMA ASSETS

October 01, 2019 - October 31, 2019

MUTUAL FUNDS/CLOSED END FUNDS/UITs/ETPs (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Income
SHS ETF CURRENT YIELD 1.94% SYMBOL: VOO Initial Purchase: 10/25/17 Equity 100%								
Subtotal (Fixed Income)				151,526.70				
Subtotal (Equities)				567,868.72				
Subtotal (Alternative Investments)				89,670.01				
<b>TOTAL</b> YIELD 1.56%		748,910.49		<b>809,065.43</b>	60,154.94		<b>75,250</b>	<b>12,587</b>

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income
<b>TOTAL</b> YIELD 1.45%	1,611,470.09	<b>1,730,869.83</b>	119,399.74		<b>25,036</b>

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

**Sales Charge Discounts or Waivers:** Many funds offer various sales charge discounts or waivers depending on the terms of the prospectus and/or statement of additional information. You should consult a fund's prospectus and/or statement of additional information to determine whether you may qualify for a discount or waiver. Notify your Financial Advisor, Financial Solutions Advisor or Investment Center representative if you believe you qualify for any of these or any other discounts or waivers. Please contact your Financial Advisor, Financial Solutions Advisor or Investment Center representative for further information on available sales charge discounts and waivers.

Bank of America Merrill Lynch Global Research publishes research reports and ratings ("Research Ratings") regarding a select universe of ETFs and ETNs (collectively, "ETPs"). Research Ratings on ETPs are intended to assess the potential for outperformance of ETP peers in the same coverage category. Bank of America Merrill Lynch Global Research and other business areas, including CIO, apply different methodologies in their review of ETPs, and may arrive at different or inconsistent conclusions with respect to one or more ETPs. Neither the CIO review process nor the internal business review performed by product teams will rely on or otherwise use the Research Ratings on ETPs as an input or factor; and the CIO review process and product team's internal business review will determine an ETP's inclusion, status, and availability for solicitation through the Global Wealth & Investment Management area of MLPF&S and its affiliates.

NINA FISCHMAN

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## YOUR CMA TRANSACTIONS

October 01, 2019 - October 31, 2019

## DIVIDENDS/INTEREST INCOME TRANSACTIONS

Date	Description	Transaction Type	Quantity	Reinvestment	Income	Income Year To Date
<b>Taxable Interest</b>						
10/31	BANK DEPOSIT INTEREST	Bank Interest			.71	
10/31	BANK DEPOSIT INTEREST	Bank Interest			.22	
	ML BANK DEPOSIT PROGRAM	Income Total			13.00	
	PREFERRED DEPOSIT	Income Total			374.00	
	<b>Subtotal (Taxable Interest)</b>				<b>387.93</b>	<b>2,478.56</b>
<b>Taxable Dividends</b>						
10/01	VANGUARD 500 INDEX FUND SHS ETF HOLDING 619.6107 PAY DATE 10/01/2019	* Dividend			806.36	
10/01	VANGUARD 500 INDEX FUND SHS ETF	Reinvestment Program		(806.36)		
10/01	VANGUARD 500 INDEX FUND SHS ETF PRINCIPAL REINV AMOUNT \$806.36 REINV PRICE \$273.49500 QUANTITY BOT	Reinvestment Share(s)	2.9484			
10/01	LORD ABBETT SHORT DURATION INCOME FD CL F PAY DATE 09/30/2019	* Dividend			156.78	
10/01	LORD ABBETT SHORT DURATION INCOME FD CL F	Reinvestment Program		(156.78)		
10/01	LORD ABBETT SHORT DURATION INCOME FD CL F AGENT REINV AMOUNT \$156.78 REINV PRICE \$4.21000 QUANTITY BOT	Reinvestment Share(s)	37.2400			
10/01	ISHARES S&P 500 INDEX FUND CL INSTL PAY DATE 09/30/2019	* Dividend			478.45	
10/01	ISHARES S&P 500 INDEX FUND CL INSTL	Reinvestment Program		(478.45)		
10/01	ISHARES S&P 500 INDEX FUND CL INSTL AGENT REINV AMOUNT \$478.45 REINV PRICE \$351.52000 QUANTITY BOT	Reinvestment Share(s)	1.3610			
10/07	ISHARES 1-3 YEAR TREASURY BOND ETF HOLDING 593.3332 PAY DATE 10/07/2019	* Dividend			80.10	
10/07	ISHARES 1-3 YEAR TREASURY BOND ETF	Reinvestment Program		(80.10)		

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NINA FISCHMAN

Account Number: [Redacted] 7155

## YOUR CMA TRANSACTIONS

October 01, 2019 - October 31, 2019

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)							Income
Date	Description	Transaction Type	Quantity	Reinvestment		Income	Year To Date
<b>Taxable Dividends</b>							
10/07	ISHARES 1-3 YEAR	Reinvestment Share(s)	.9425				
	TREASURY BOND ETF PRINCIPAL REINV AMOUNT \$80.10 REINV PRICE \$84.99000 QUANTITY BOT		.9425				
10/07	ISHARES 0-5 YEAR HIGH	* Dividend				145.45	
	CORPORATE BOND ETF HOLDING 725.5795 PAY DATE 10/07/2019						
10/07	ISHARES 0-5 YEAR HIGH	Reinvestment Program		(145.45)			
	CORPORATE BOND ETF						
10/07	ISHARES 0-5 YEAR HIGH	Reinvestment Share(s)	3.1510				
	CORPORATE BOND ETF PRINCIPAL REINV AMOUNT \$145.45 REINV PRICE \$46.16000 QUANTITY BOT		3.1510				
10/08	ISHARES INTEREST RATE	* Dividend				58.58	
	HEDGED HIGH YIELD BOND ETF HOLDING 183.4143 PAY DATE 10/08/2019						
10/08	ISHARES INTEREST RATE	Reinvestment Program		(58.58)			
	HEDGED HIGH YIELD BOND ETF						
10/08	ISHARES INTEREST RATE	Reinvestment Share(s)	.6733				
	HEDGED HIGH YIELD BOND ETF PRINCIPAL REINV AMOUNT \$58.58 REINV PRICE \$87.00000 QUANTITY BOT		.6733				
10/31	INVESTCO QQQ TR SER 1	* Dividend				236.71	
	HOLDING 616.1949 PAY DATE 10/31/2019						
10/31	INVESTCO QQQ TR SER 1	Reinvestment Program		(236.71)			
10/31	INVESTCO QQQ TR SER 1	Reinvestment Share(s)	1.1984				
	PRINCIPAL REINV AMOUNT \$236.71 REINV PRICE \$197.52000 QUANTITY BOT		1.1984				
10/31	JPMORGAN CHASE & CO	* Dividend				193.94	
	HOLDING 215.4936 PAY DATE 10/31/2019						
10/31	JPMORGAN CHASE & CO	Reinvestment Program		(193.94)			
<b>Subtotal (Taxable Dividends)</b>						<b>2,156.37</b>	<b>14,260.30</b>
<b>NET TOTAL</b>				<b>(2,156.37)</b>		<b>2,544.30</b>	<b>16,738.86</b>

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NINA FISCHMAN

Account Number: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## YOUR CMA TRANSACTIONS

October 01, 2019 - October 31, 2019

## SECURITY TRANSACTIONS

## TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Description	Transaction Type	Quantity	Transaction Amount	Commissions/ Trading Fees	(Debit)/ Credit	Accrued Interest Earned/(Paid)
Purchases							
10/31	BEYOND MEAT INC REG SHS REG SHS [Redacted]	Purchase 09 UNIT PRICE 87.1778	230.0000	(20,050.90)		(20,050.90)	
10/31	FACEBOOK INC CLASS A COMMON STOCK [Redacted]	Purchase 02 UNIT PRICE 189.6440	157.0000	(29,774.12)		(29,774.12)	
Subtotal (Purchases)				(49,825.02)		(49,825.02)	
Sales							
10/01	■ ROKU INC [Redacted]	CL A 02 UNIT PRICE 100.8426	-195.0000	19,664.31	(.41)	19,663.90	
10/09	■ DUNKIN BRANDS GROUP INC [Redacted]	Sale 00 UNIT PRICE 75.6561	-251.0000	18,989.69	(.39)	18,989.30	
Subtotal (Sales)				38,654.00	(.80)	38,653.20	
Other Security Transactions							
10/18	DUNKIN BRANDS GROUP INC SALE PRICE \$76.05000 QTY SOLD	Fractional Share Sale .1668	-.1668			12.68	
Subtotal (Other Security Transactions)						12.68	
TOTAL				(11,171.02)	(.80)	(11,159.14)	
TOTAL SECURITY PURCHASES/(DEBITS)						(49,825.02)	
TOTAL SECURITY SALES/CREDITS						38,665.88	

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NINA FISCHMAN

Account Number: [Redacted] 7155

## YOUR CMA TRANSACTIONS

October 01, 2019 - October 31, 2019

### REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) <sup>⊕</sup>	
						This Statement	Year to Date
Subtotal (Long-Term)							(3,030.66)
DUNKIN BRANDS GROUP INC	250.0000	08/28/19	10/07/19	18,913.65	20,788.05	(1,874.40)	
DUNKIN BRANDS GROUP INC	1.0000	09/11/19	10/07/19	75.65	80.35	(4.70)	
DUNKIN BRANDS GROUP INC	.1668	09/11/19	10/17/19	12.68	13.40	(.72)	
ROKU INC CL A	158.0000	05/08/19	09/27/19	15,932.80	10,243.80	5,689.00	
✧ ROKU INC CL A	33.0000	09/09/19	09/27/19	3,327.74	6,161.10	(2,833.36)	
ROKU INC CL A	4.0000	09/18/19	09/27/19	403.36	529.89	(126.53)	
Subtotal (Short-Term)						849.29	11,857.40
<b>TOTAL</b>				<b>38,665.88</b>	<b>37,816.59</b>	<b>849.29</b>	<b>8,826.74</b>

⊕ - Excludes transactions for which we have insufficient data

✧ This transaction has been affected by a "Wash Sale" based on IRS regulations. There are two different types of adjustments that may be occurring.

(A) If the gain/loss displays as N/C, this transaction has been identified as a "Wash Sale" based on IRS regulations and the loss has been added to the cost basis of the related purchase.

(B) If the gain/loss is calculated, the cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and your gain or loss will be inclusive of this amount.

### UNSETTLED TRADES

Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
10/31	11/04	MCDONALDS CORP COM	MCD	Purchase	100.0000	197.3499	(19,734.99)
<b>NET TOTAL</b>							<b>(19,734.99)</b>

### ADVISORY AND OTHER FEES

Date	Fee Type	Quantity	Description	Debit	Credit
10/02	Advisory Program Fee		INV. ADVISORY FEE OCT	1,418.74	
<b>NET TOTAL</b>				<b>1,418.74</b>	

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NINA FISCHMAN

Account Number: [Redacted]7155

24-Hour Assistance: (800) MERRILL

YOUR CMA MONEY ACCOUNT TRANSACTIONS

October 01, 2019 - October 31, 2019

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
10/01	ML BANK DEPOSIT PROGRAM		2.00	10/29	PREFERRED DEPOSIT	30,000.00	
10/02	ML BANK DEPOSIT PROGRAM		18,245.00	10/30	ML BANK DEPOSIT PROGRAM		30,000.00
10/10	ML BANK DEPOSIT PROGRAM		18,989.00	10/31	ML BANK DEPOSIT PROGRAM	49,825.00	
10/21	ML BANK DEPOSIT PROGRAM		13.00				
NET TOTAL						12,576.00	

## Customer Service

Please promptly report any inaccuracy, discrepancy, and/or concern by calling Wealth Management Client Support at (800-MERRILL) within ten (10) business days after delivery of or communication of the account statement. You should re-confirm any oral communications in writing to protect your rights.

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## Additional Information

We will route your equity and option orders to market centers consistent with our duty of best execution.

Except for certain custodial accounts, we hold bonds and preferred stocks in bulk segregation. If there is a partial call for those securities, securities will be randomly selected from those held in bulk. The probability of your holdings being selected is proportional to the total number of customer holdings of that particular security that we hold.

This statement serves as a confirmation of certain transactions during the period permitted to be reported periodically. Additional information, including the time of execution for any trade, is available upon written request.

In accordance with applicable law, rules and regulations, your free credit balance is not segregated and we can use these funds in our business. Your free credit balance is the amount of funds payable upon your demand. You have the right to receive, in the normal course of business, any free credit balance and any fully paid securities to which you are entitled, subject to any obligations you owe in any of your accounts.

For clients enrolled in a sweep program, the balance in any bank deposit account or shares of any money market mutual fund in which you have a beneficial interest can be withdrawn or liquidated on your order and the proceeds returned to your securities account or remitted to you.

You will have the right to vote full shares and we may solicit voting instructions concerning these full shares in your account. Voting shares in your account will be governed by the then current rules and policies of FINRA and the Securities Exchange Commission or other applicable exchanges or regulatory bodies.

All transactions are subject to the constitution, rules, regulations, customs, usages, rulings and interpretations of the exchange or market, and its clearinghouse, if any, where the transactions are executed, and if not executed on any exchange, FINRA.

You may obtain an investor brochure that includes information describing the FINRA Regulation Public Disclosure Program ("Program"). To obtain a brochure or more information about the Program or your broker contact the FINRA Regulation Public Disclosure Program Hotline at (800)289-9999 or access the FINRA website

at [www.finra.org](http://www.finra.org)

We receive a fee from ISA® banks of up to 2% per annum of the average daily balances. We receive a fee from our affiliated banks of up to \$100 per annum for each account that sweeps balances to the banks under the RASP<sup>SM</sup> and ML bank deposit programs. We also receive a fee from Bank of America, N.A. based on the average daily Preferred Deposit® and Preferred Deposit for Business® balances.

## Options Customers

For all customers, including those who own options, please promptly advise us of any material change in your investment objectives or financial condition. Individual options commission charges have been included in your confirmation. You may request a summary of this information.

## Margin Customers

If this statement is for a margin account, it is a combined statement of your margin account and special memorandum account maintained for you pursuant to applicable regulations. The permanent record of the separate account, as required by Regulation T, is available for your inspection upon request. You should retain this statement for use with your next statement to calculate interest charges, if any, for the period covered by this statement. The interest charge period will parallel the statement period, except that interest due for the final day of the statement period will be carried over and appear on your next statement.

## Coverage for your Account

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### **Fixed Income Securities**

Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates. These values assume standard market conditions, are not firm bids or offers and may vary from prices achieved in actual transactions, especially for thinly traded securities. These values are generally for transactions of \$1 million or more, which often reflect more favorable pricing than transactions in smaller amounts. You may pay more than these values if you purchase smaller amounts of securities, or receive less if you sell smaller amounts of securities.

### **Prices and Valuations**

While we believe our pricing information to be reliable, we cannot guarantee its accuracy. Pricing information provided for certain thinly traded securities may be stale.

Values on your statement generally are based on estimates obtained from various sources and in certain cases only from affiliates.

Investments such as direct participation program securities (e.g., partnerships, limited liability companies, and real estate trusts which are not listed on any exchange), and alternative investments (e.g., commodity pools, private equity funds, private debt funds, and hedge funds) are generally illiquid investments. No formal trading market exists for these securities and their current values will likely be different from the purchase price. Unless otherwise indicated, and except for certain alternative investment funds sponsored by affiliates of MLPF&S, the value shown on this statement for an investment in these securities has been provided by the management, administrator or sponsor of each program or a third-party vendor, in each case without independent verification by MLPF&S. The values shown may not reflect actual market value or be realized upon a sale. If an estimated value is not provided, accurate valuation information is not available.

### **Cost Data/Realized Capital Gains & Losses**

Cost Data and Realized Capital Gains/Losses are provided in this statement for informational purposes only. Please review for accuracy. Merrill Lynch is not responsible for omitted or restated data. Please consult your tax advisor to determine the tax consequences of your securities transactions. Your statement is not an official accounting of gains/losses. Please refer to your records, trade confirmations, and your Consolidated Tax Reporting Statement (Form 1099).

### **Insurance Policies and Annuity Contracts**

Information is based on data from the issuing insurer. We are not responsible for the calculation of policy/contract values. Insurance policies and annuity contracts are generally not held in your MLPF&S account. If we, as custodian or trustee, hold an annuity contract that is a security, SIPC and excess-SIPC coverage apply.

### **Estimated Annual Income and Current Yield**

Estimated Annual Income and Current Yield for certain types of securities could include a return of principal or capital gains in which case the Estimated Annual Income and Current Yield would be overstated. Estimated Annual Income and Current Yield are estimates and the actual income and yield might be lower or higher than the estimated amounts. Current Yield is based upon Estimated Annual Income and the current price of the security and will fluctuate.

### **Market-Linked Investments (MLI)**

MLIs are debt securities or Certificates of Deposit linked to an underlying reference asset. They are reflected on your statement by their underlying reference asset – equities (e.g., stocks, ETFs, equity indices), alternative investments (e.g., commodities, currencies), or fixed income (e.g., interest rates). This classification method illustrates your asset allocation.

### **Symbols and Abbreviations**

☐	Interest reported to the IRS
■	Gross Proceeds reported to the IRS
*	Dividends reported to the IRS
:	Transactions reported to the IRS
OCC	Options Clearing Corporation
#	Transaction you requested same day payment. Prior day's dividend retained to offset cost of advancing payment on your behalf
N/A	Price, value and/or cost data not available
N/C	Not-Calculated
N/N	Non-negotiable securities
N/O	Securities registered in your name
N/O CUST	Non-negotiable securities registered in the name of the custodian
↑ ↓	Indicates that BofA Merrill Lynch Research has upgraded (↑) or downgraded (↓) its fundamental equity opinion on a security.

Primary Account: [Redacted] 7155

NINA FISCHMAN  
703 CARLYLE ST  
WOODMERE NY 11598-2917

If you have questions on your statement,  
call 24-Hour Assistance:  
(800) MERRILL  
(800) 637-7455

Investment Advice and Guidance:  
Call Your Financial Advisor

Your Financial Advisor:  
ALEXANDER Y FISCHMAN  
1010 NORTHERN BLVD SUITE 490  
GREAT NECK NY 11021-5306  
alexander.fischman@ml.com  
1-800-876-8770

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## WEALTH MANAGEMENT REPORT

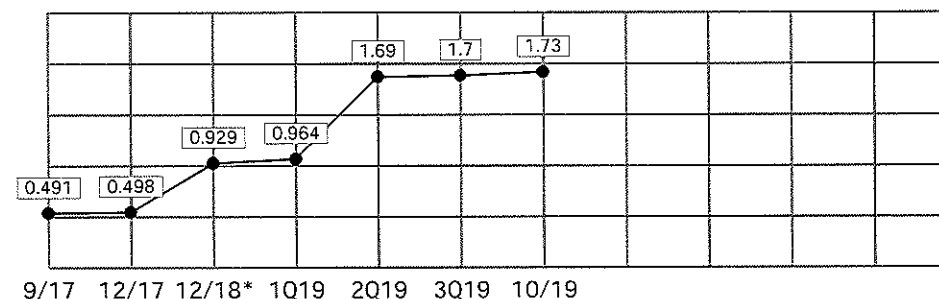
October 01, 2019 - October 31, 2019

### PORTFOLIO SUMMARY

	October 31	September 30	Month Change
<b>Net Portfolio Value</b>	<b>\$1,730,869.83</b>	<b>\$1,702,492.61</b>	<b>\$28,377.22 ▲</b>
Your assets	\$1,730,869.83	\$1,702,492.61	\$28,377.22 ▲
Your liabilities	-	-	
Your Net Cash Flow (Inflows/Outflows)	(\$1,418.74)	(\$1,423.21)	
Securities You Transferred In/Out	-	-	
<b>Subtotal Net Contributions</b>	<b>(\$1,418.74)</b>	<b>(\$1,423.21)</b>	
Your Dividends/Interest Income	\$2,544.30	\$2,834.63	
Your Market Gains/(Losses)	\$27,251.66	(\$6,775.14)	
<b>Subtotal Investment Earnings</b>	<b>\$29,795.96</b>	<b>(\$3,940.51)</b>	

\* Link relationship change.

Total Value (Net Portfolio Value plus Assets Not Held/Valued By MLPF&S, if any) in millions, 2017-2019



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Primary Account: [Redacted] 7155

24-Hour Assistance: (800) MERRILL

## ■ YOUR ACCOUNTS

October 01, 2019 - October 31, 2019

	Account No.	Account Type/Managing Firm	October 31	September 30	Page
■ INVESTMENTS & CASH MANAGEMENT					
NINA FISCHMAN	[Redacted] 7155	CMA/Investment Advisory Program	1,730,869.83	1,702,492.61	6

## ■ RETIREMENT

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*These summary reports are provided for informational purposes only and contain information from accounts linked for delivery in a single package. The underlying accounts may have different owners and use of "you" or "your" in these reports refer to all owners. The enclosed separate account statements are the official record for each account.*

Primary Account: [Redacted] 7155

# ■ YOUR BALANCE SHEET (for your Merrill accounts)

October 01, 2019 - October 31, 2019

## ASSETS

	October 31	September 30
Cash/Money Accounts	283,722.42	295,912.37
Fixed Income	-	-
Equities	638,081.98	610,987.79
Mutual Funds	809,065.43	795,592.45
Options	-	-
Other	-	-
<i>Subtotal (Long Portfolio)</i>	<b>1,730,869.83</b>	<b>1,702,492.61</b>
<b>TOTAL ASSETS</b>	<b>\$1,730,869.83</b>	<b>\$1,702,492.61</b>

## LIABILITIES

Margin Loan/Debit Balance	-	-
Short Market Value	-	-
<i>Subtotal</i>	-	-
<b>NET PORTFOLIO VALUE</b>	<b>\$1,730,869.83</b>	<b>\$1,702,492.61</b>

## OTHER LIABILITIES (not included in Net Portfolio Value)

Loan Management Account <sup>(1)</sup>	-	-
Mortgages	-	-
Home Equity Loans	-	-
Business Loans	-	-
<i>Subtotal</i>	-	-
<b>TOTAL LIABILITIES</b>	-	-

<sup>(1)</sup> Secured by assets in a Merrill account

## CASH FLOW

	This Report	Year to Date
<b>Opening Cash/Money Accounts</b>	<b>\$295,912.37</b>	
<b>CREDITS</b>		
Funds Received	-	694,490.59
Electronic Transfers	-	74,950.00
Other Credits	-	27,615.05
<i>Subtotal</i>	-	797,055.64
<b>DEBITS</b>		
Electronic Transfers	-	(150,000.00)
Margin Interest Charged	-	-
Other Debits	-	(7,000.00)
Visa Purchases	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Advisory and other fees	(1,418.74)	(9,814.54)
<i>Subtotal</i>	<b>(1,418.74)</b>	<b>(166,814.54)</b>
<b>Net Cash Flow</b>	<b>(\$1,418.74)</b>	<b>\$630,241.10</b>
Dividends/Interest Income	2,544.30	16,738.86
Dividend Reinvestments	(2,156.37)	(13,430.67)
Security Purchases/Debits	(49,825.02)	(1,009,157.77)
Security Sales/Credits	38,665.88	503,131.45
<b>Closing Cash/Money Accounts</b>	<b>\$283,722.42</b>	
<b>Fees Included in Transactions Above</b>		
Commissions/Trading Fees	(0.80)	(9.60)